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## ORIGINAL RESEARCH PAPER

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# A Spectroscopic Mechanism for Primary Olfactory Reception

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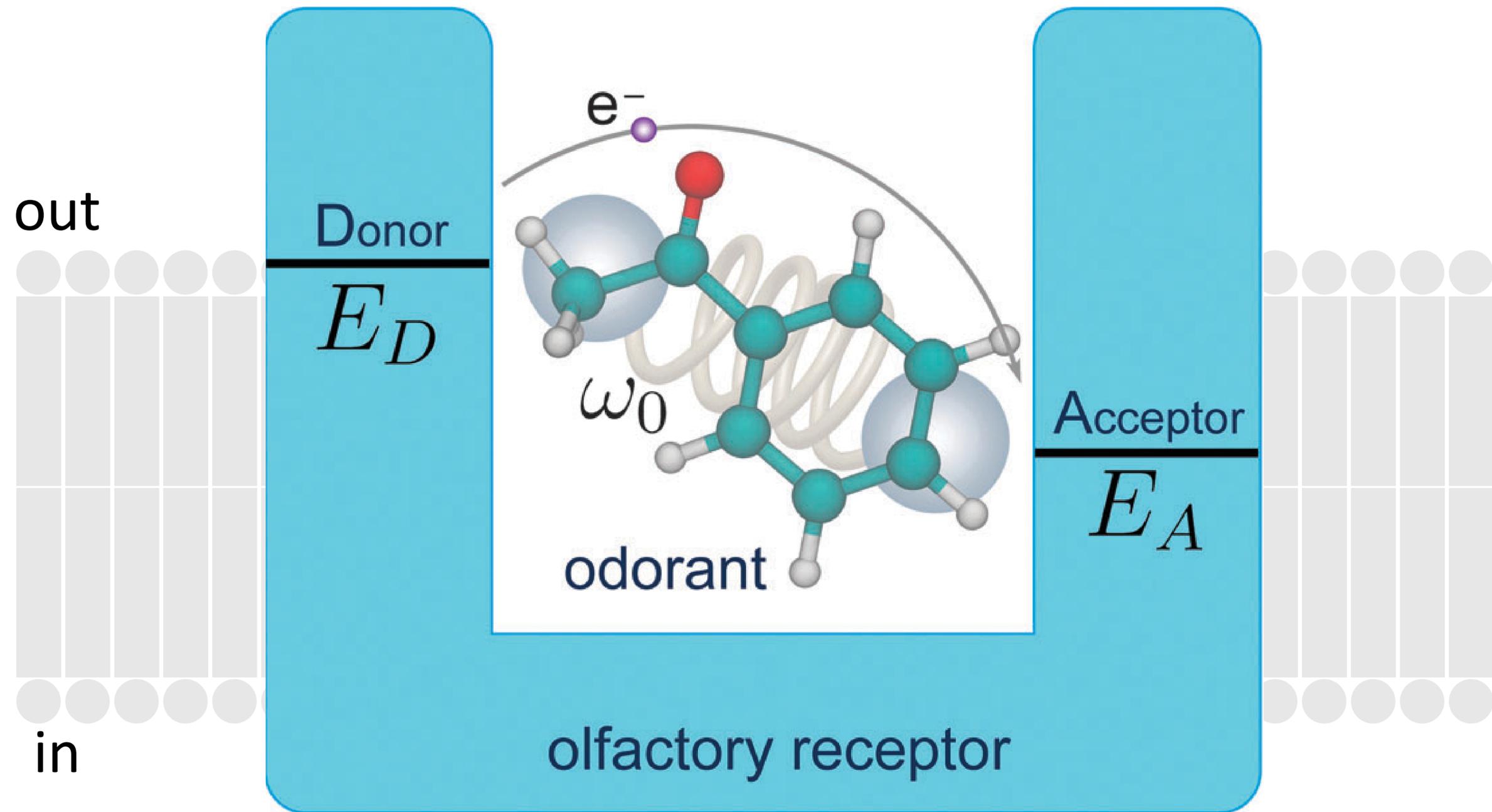
*Correspondence to be sent to: Luca Turin, Department of Anatomy and Developmental Biology, University College London, Gower Street, London WC1E 6BT, UK*

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### **Abstract**

A novel theory of primary olfactory reception is described. It proposes that olfactory receptors respond not to the shape of the molecules but to their vibrations. It differs from previous vibrational theories (Dyson, Wright) in providing a detailed and plausible mechanism for biological transduction of molecular vibrations: inelastic electron tunnelling. Elements of the tunnelling spectroscopy are identified in putative olfactory receptors and their associated G-protein. Means of calculating electron tunnelling spectra of odorant molecules are described. Several examples are given of correlations between tunnelling spectrum and odour in structurally unrelated molecules. As predicted, molecules of very similar shape but differing in vibrations smell different. The most striking instance is that of pure acetophenone and its fully deuterated analogue acetophenone-d<sub>8</sub>, which smell different despite being identical in

# vertebrate olfactory receptor





## Could Humans Recognize Odor by Phonon Assisted Tunneling?

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(Received 10 July 2006; published 16 January 2007)

Our sense of smell relies on sensitive, selective atomic-scale processes that occur when a scent molecule meets specific receptors in the nose. The physical mechanisms of detection are unclear: odorant shape and size are important, but experiment shows them insufficient. One novel proposal suggests receptors are actuated by inelastic electron tunneling from a donor to an acceptor mediated by the odorant, and provides critical discrimination. We test the physical viability of this mechanism using a simple but general model. With parameter values appropriate for biomolecular systems, we find the proposal consistent both with the underlying physics and with observed features of smell. This mechanism suggests a distinct paradigm for selective molecular interactions at receptors (the swipe card model): recognition and actuation involve size and shape, but also exploit other processes.

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PACS numbers: 87.16.Xa, 82.39.Jn, 87.16.Ac, 87.14.Ee



# Molecular vibration-sensing component in *Drosophila melanogaster* olfaction

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<sup>a</sup>Institute of Cellular and Developmental Biology, Biomedical Sciences Research Centre “Alexander Fleming,” Vari 16672, Greece; and <sup>b</sup>Center for Biomedical Engineering, Massachusetts Institute of Technology, Cambridge, MA 02139

Edited by Obaid Siddiqi, National Center for Biological Sciences, Bangalore, India, and approved January 14, 2011 (received for review August 19, 2010)

**A common explanation of molecular recognition by the olfactory system posits that receptors recognize the structure or shape of the odorant molecule. We performed a rigorous test of shape recognition by replacing hydrogen with deuterium in odorants and asking whether *Drosophila melanogaster* can distinguish these identically shaped isotopes. We report that flies not only differentiate between isotopic odorants, but can be conditioned to selectively avoid the common or the deuterated isotope. Furthermore, flies trained to discriminate against the normal or deuterated isotopes of a compound, selectively avoid the corresponding isotope of a different odorant. Finally, flies trained to avoid a deuterated compound exhibit selective aversion to an unrelated molecule with a vibrational mode in the energy range of the carbon–deuterium stretch. These findings are inconsistent with a shape-only model for smell, and instead support the existence of a molecular vibration-sensing component to olfactory reception.**

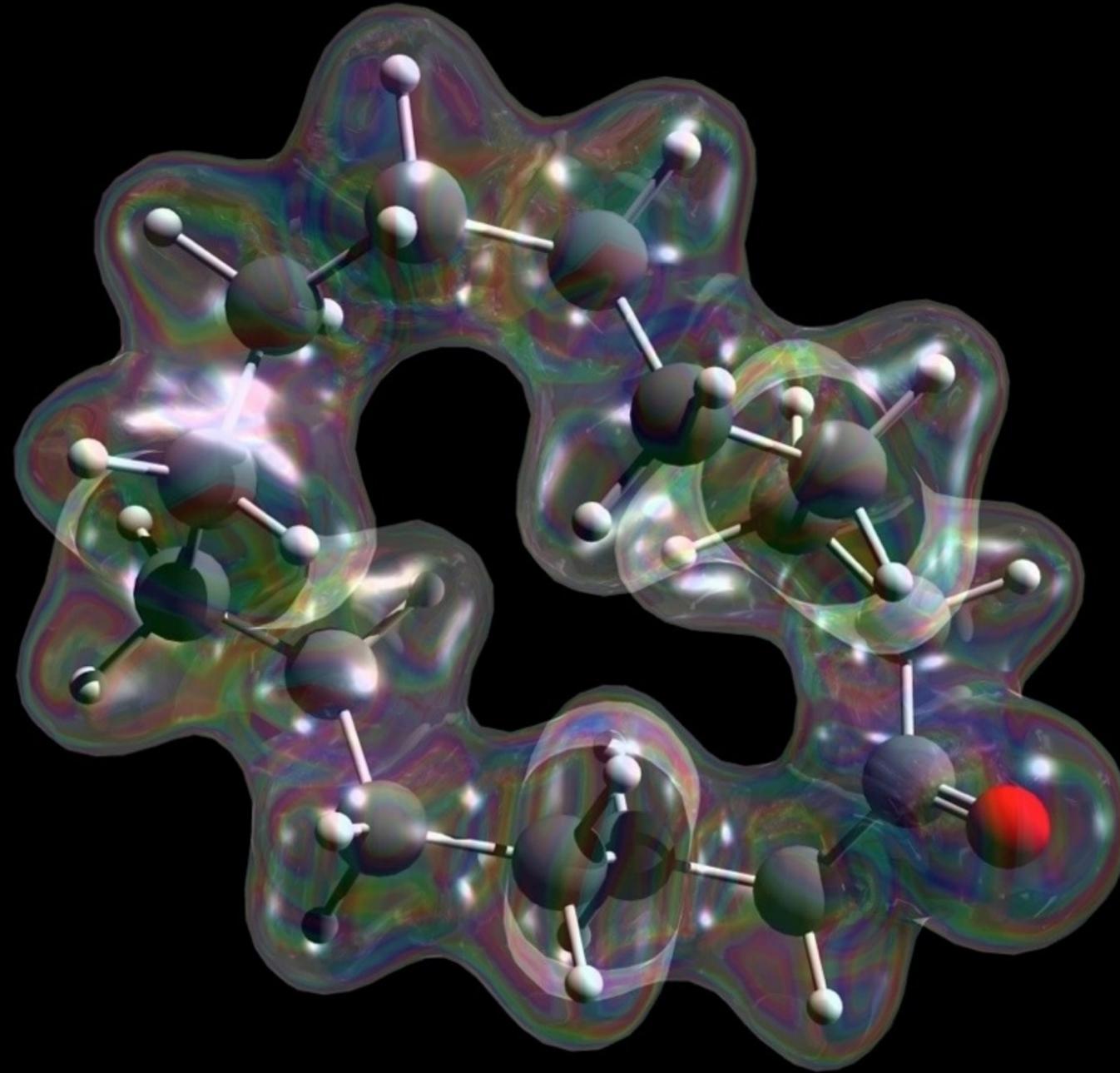
Olfactory systems perform remarkable feats of molecular recognition, but although much is known about the neurophysiology of olfaction (1–5), how olfactory receptors “read” molecular structure remains unknown. Parts of odorant molecules (odotopes) have been proposed to engage particular receptors in a “lock-and-key” manner and this molecular shape recognition mechanism is thought sufficient for odor discrimination (2). An alternative hypothesis (6) posits that molecular vibrations of all atoms, or of particular functional groups of odorant molecules, contribute to odor recognition, and odorants with similar vibrational spectra should elicit similar olfactory responses (7). Molecules in which deuterium replaces nonex-

odor character could be distinct and identifiable, irrespective of the structure and chemical properties of the odorant molecules that carry it. Significantly, we used *Drosophila* as unbiased and objective subjects to address this issue. They possess a relatively well understood olfactory system (10–13), exhibit keen olfactory discrimination (14–16), and can be conditioned to selectively avoid or seek odors with the use of established methodology (17, 18). We ask whether *Drosophila* can detect deuterium as a distinguishing molecular feature in odorant isotopes and a salient cue for conditioning. The results of these experiments provide support for the notion that flies can smell molecular vibrations.

## Results

**Spontaneous Differential Responses to Deuterated Odorants.** Although deuteration does not appreciably change molecular shape, atom size, or bond length or stiffness, it doubles hydrogen mass, thus affecting the overall vibrational modes of an odorant. Therefore, if recognition of molecular shape alone was the sole determinant for odor character (2, 3), then flies should not respond differentially to deuterated [ $d_x$ , where  $d_x$  denotes replacement of  $x$  nonexchangeable hydrogens with deuterium atoms] and nondeuterated/normal (i.e., H-) odorants. To address this hypothesis, we took advantage of the commercial availability of acetophenone (ACP) carrying three, five, or eight deuterium atoms ( $d_3$ ,  $d_5$ , and  $d_8$ ) in place of the respective hydrogens in the normal molecule (h-ACP). Equal amounts (75  $\mu$ L) of each odorant were diluted to 1 mL in isopropyl myristate and we quantified (Fig. 1A) the response of groups of flies to each odorant versus unscented air traversing the arms of a standard T-maze (*Materials and Methods*) (19, 20). When given

cyclopentadecanone [Exaltone ®]





Dimitris Georganakis & Klio Maniati



Simon Gane & Ian Smith

# Molecular Vibration-Sensing Component in Human Olfaction

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**1** Royal National Throat, Nose and Ear Hospital, University College London, London, United Kingdom, **2** Vioryl S.A., Afidnes, Greece, **3** Neurobiology Division, Biomedical Sciences Research Centre "Alexander Fleming", Vari, Greece

## Abstract

Whether olfaction recognizes odorants by their shape, their molecular vibrations, or both remains an open and controversial question. A convenient way to address it is to test for odor character differences between deuterated and undeuterated odorant isotopomers, since these have identical ground-state conformations but different vibrational modes. In a previous paper (Franco et al. (2011) Proc Natl Acad Sci USA 108:9, 3797-802) we showed that fruit flies can recognize the presence of deuterium in odorants by a vibrational mechanism. Here we address the question of whether humans too can distinguish deuterated and undeuterated odorants. A previous report (Keller and Vosshall (2004) Nat Neurosci 7:4, 337-8) indicated that naive subjects are incapable of distinguishing acetophenone and d-8 acetophenone. Here we confirm and extend those results to trained subjects and gas-chromatography [GC]-pure odorants. However, we also show that subjects easily distinguish deuterated and undeuterated musk odorants purified to GC-pure standard. These results are consistent with a vibrational component in human olfaction.

**Citation:** Gane S, Georganakis D, Maniati K, Vamvakias M, Ragoussis N, et al. (2013) Molecular Vibration-Sensing Component in Human Olfaction. PLoS ONE 8(1): e55780. doi:10.1371/journal.pone.0055780

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**Competing Interests:** The authors declare that authors DG, MV and NR are affiliated with Vioryl, a commercial company. The authors attest that no foreseeable commercial applications of the findings described in the paper exist at the present time, no intellectual property arises from it and no funding was provided by Vioryl to the other authors. This does not alter the authors' adherence to all the PLOS ONE policies on sharing data and materials.

\* E-mail: turin@fleming.gr

 These authors contributed equally to this work.



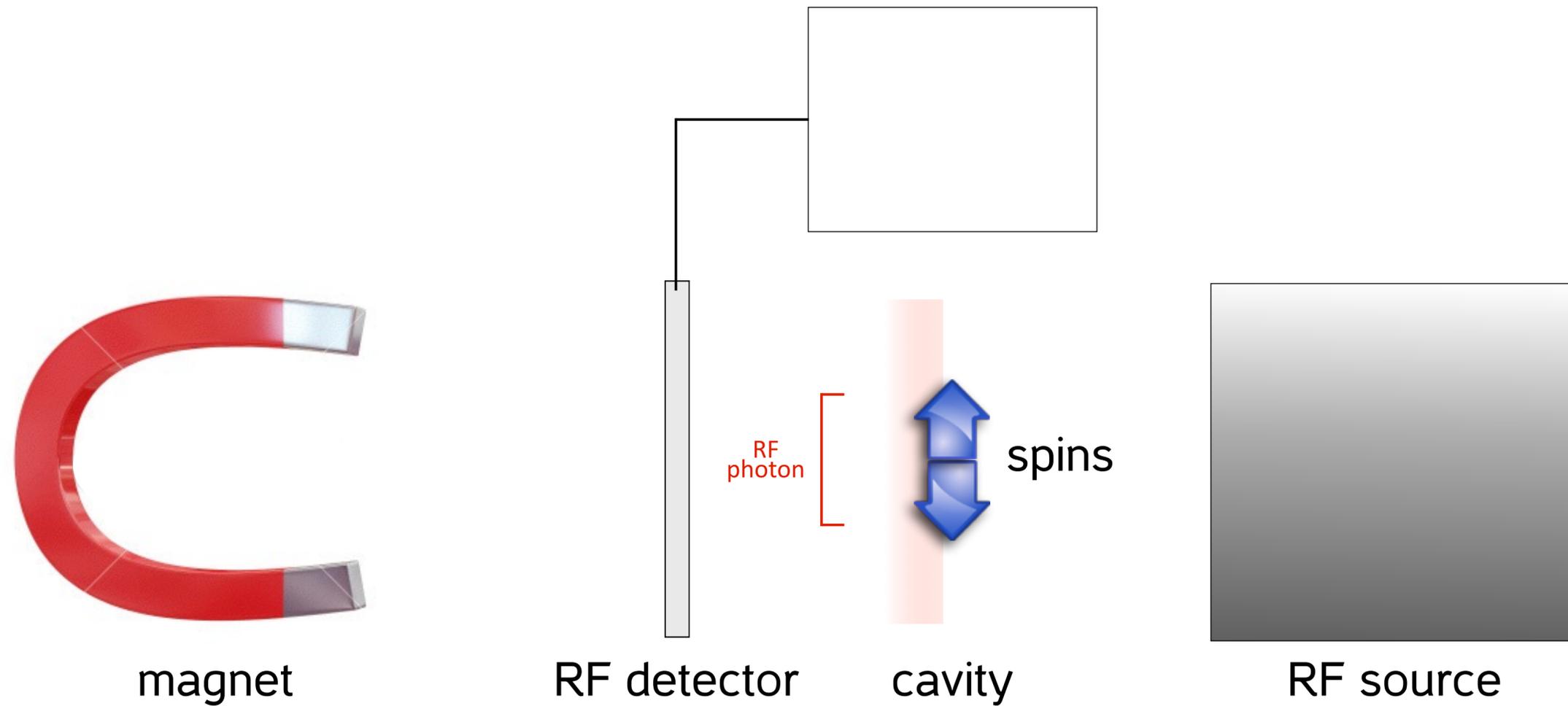
so maybe



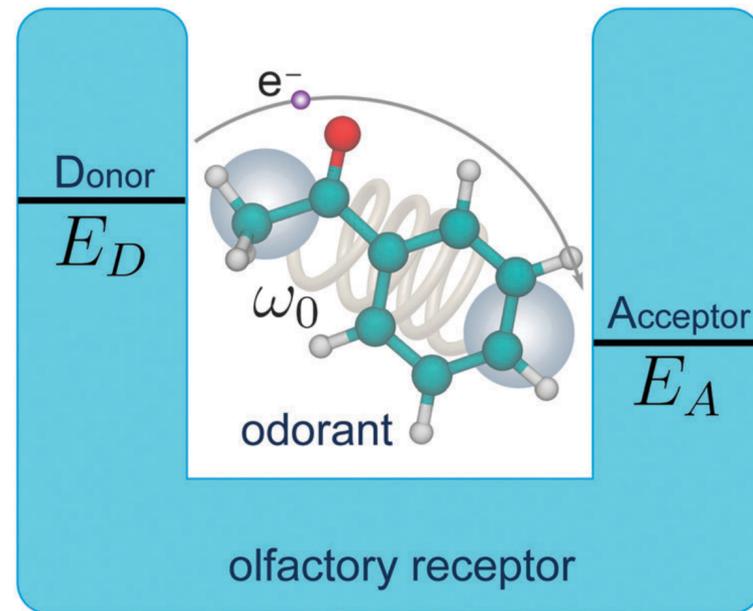
so maybe



# how ESR works

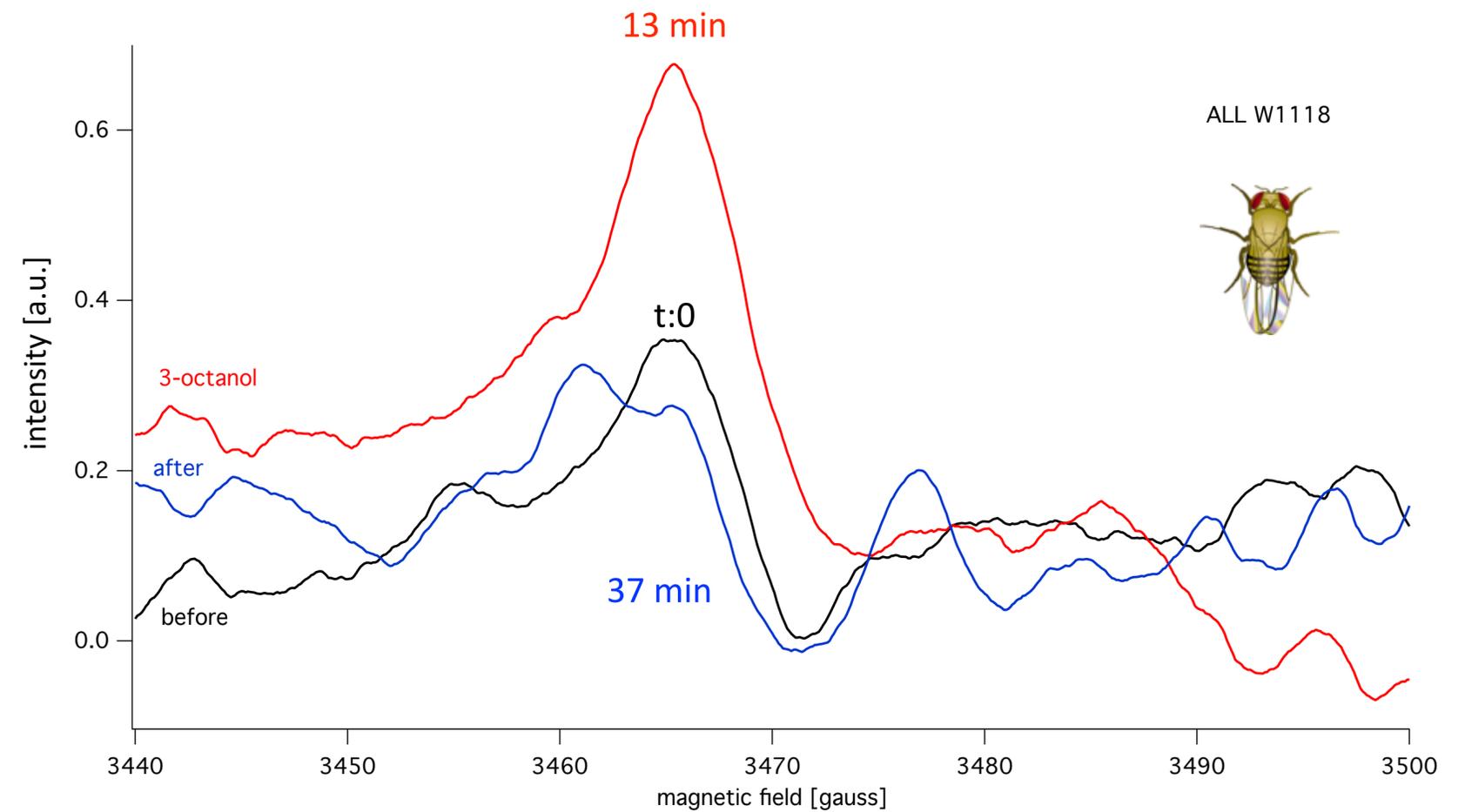


# electrons in olfaction



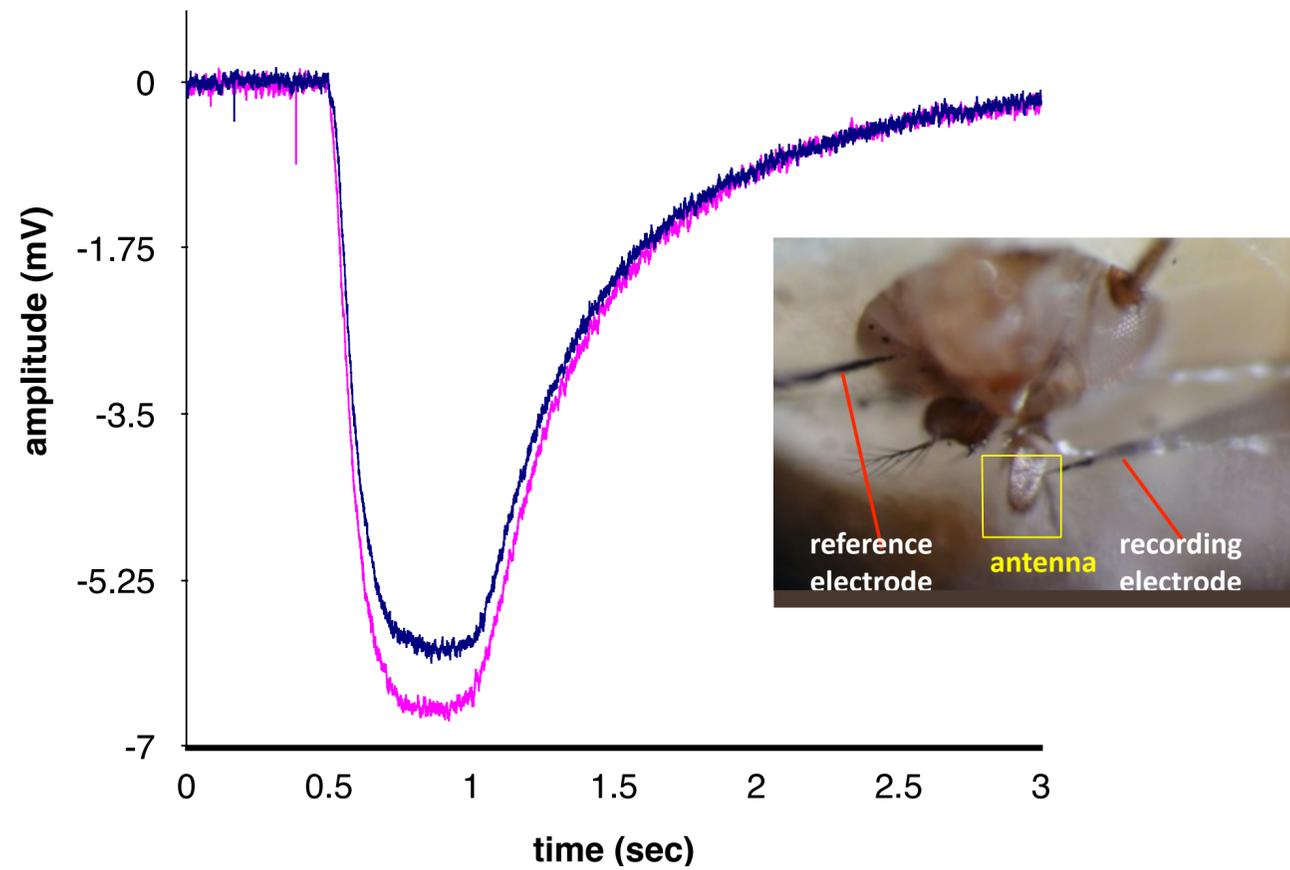
**Fig. 1** Principle of vibrationally assisted olfaction. The odorant molecule with characteristic frequency  $\omega_0$  binds to the olfactory receptor binding pocket forming an electron–donor–acceptor complex with donor energy  $E_D$  and acceptor energy  $E_A$ . Electron tunneling from the donor site to the acceptor site of the olfactory receptor is enhanced if the vibrational frequency of the odorant molecule matches the energy difference  $\Delta\varepsilon = E_D - E_A$ .

effect of octanol on spin signal of *Drosophila*



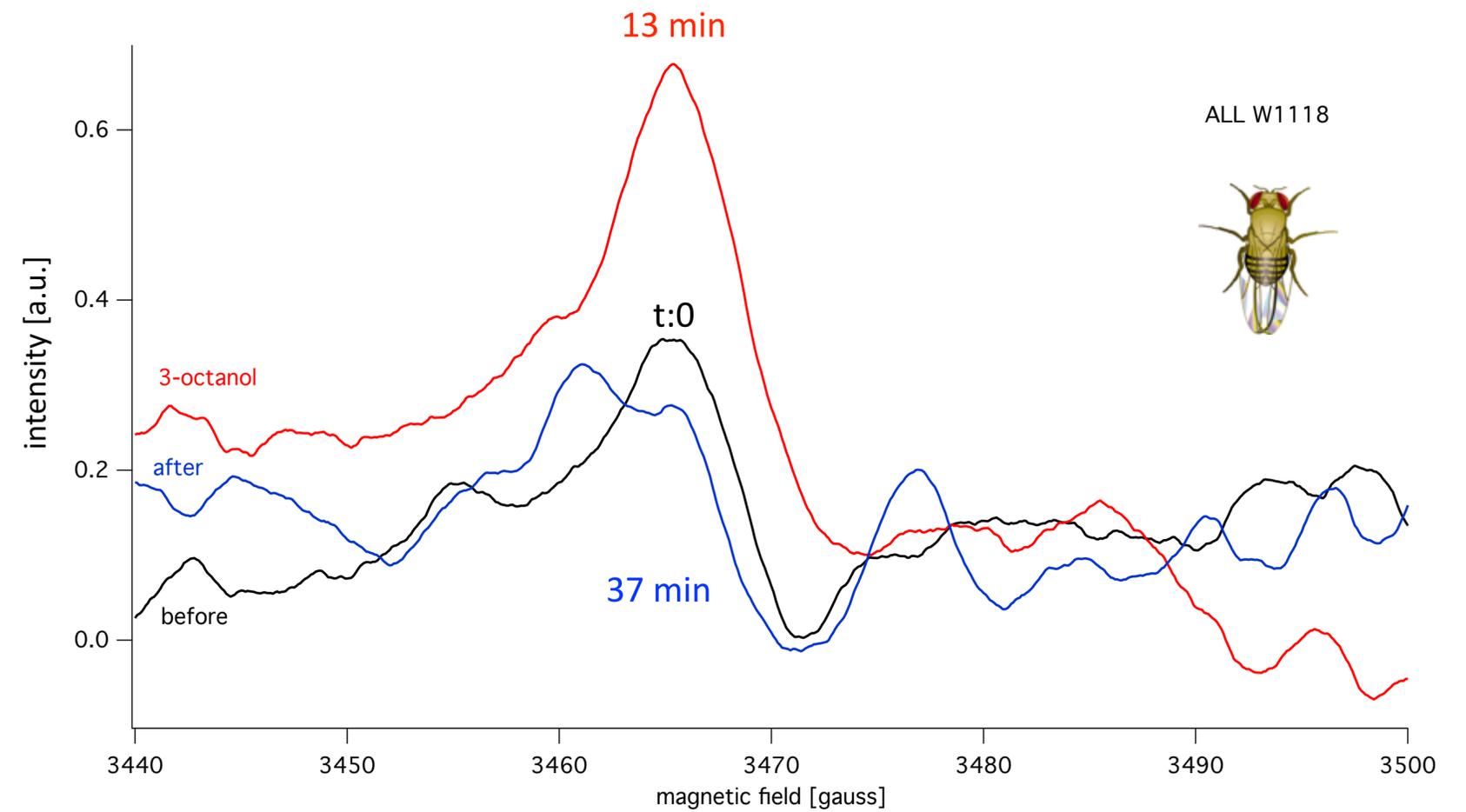
# wrong time scale

Drosophila Electroantennogram



Courtesy of Alexandros Gaitanidis, BSRC Alexander Fleming

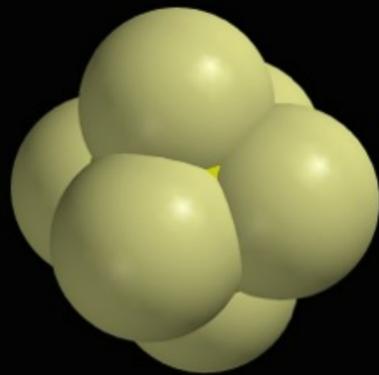
effect of odorant on spin signal of Drosophila



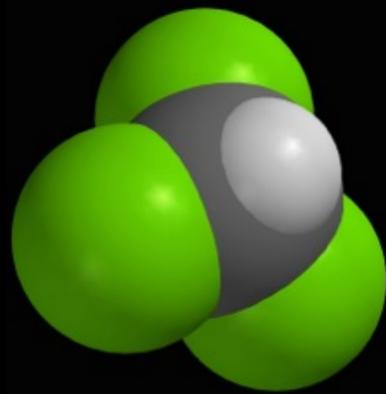
# some general anesthetics



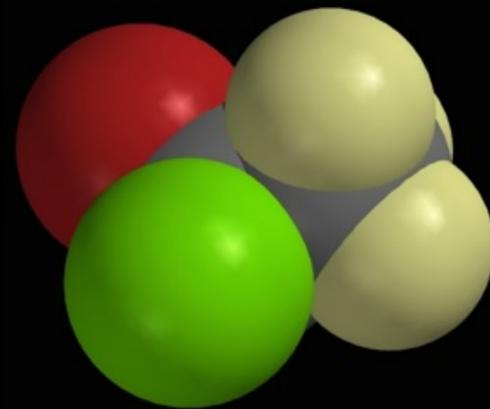
Xe



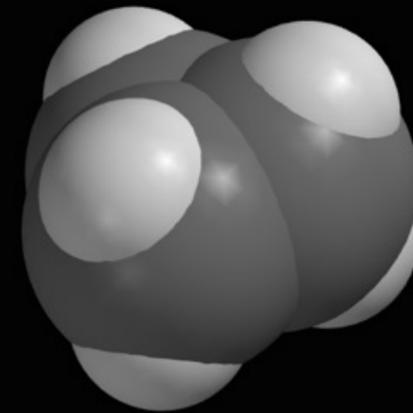
SF<sub>6</sub>



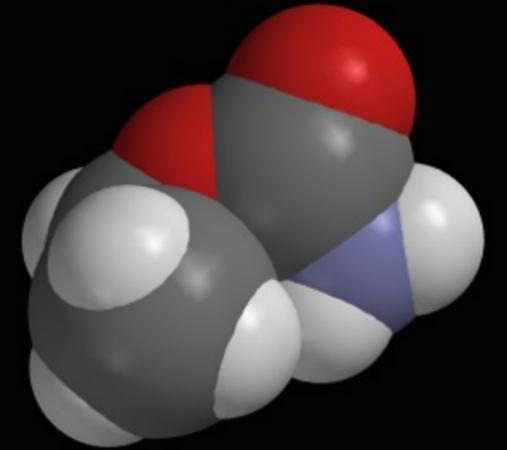
CHCl<sub>3</sub>



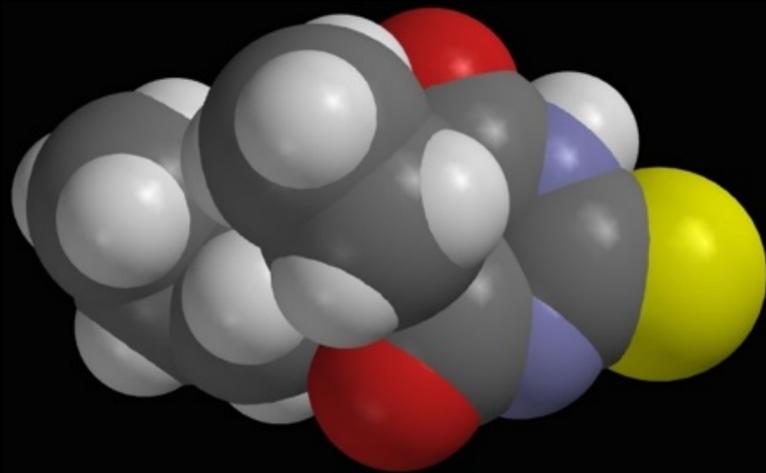
halothane



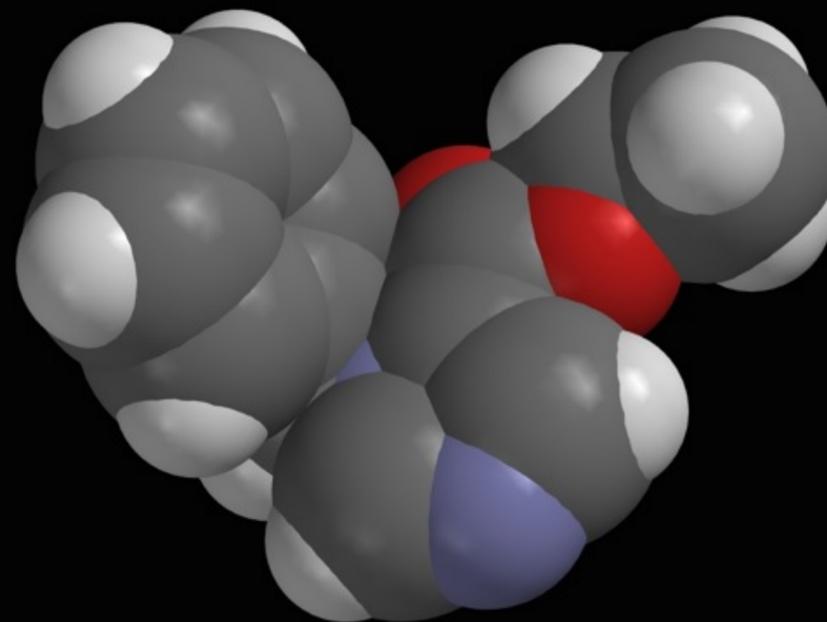
cyclopropane



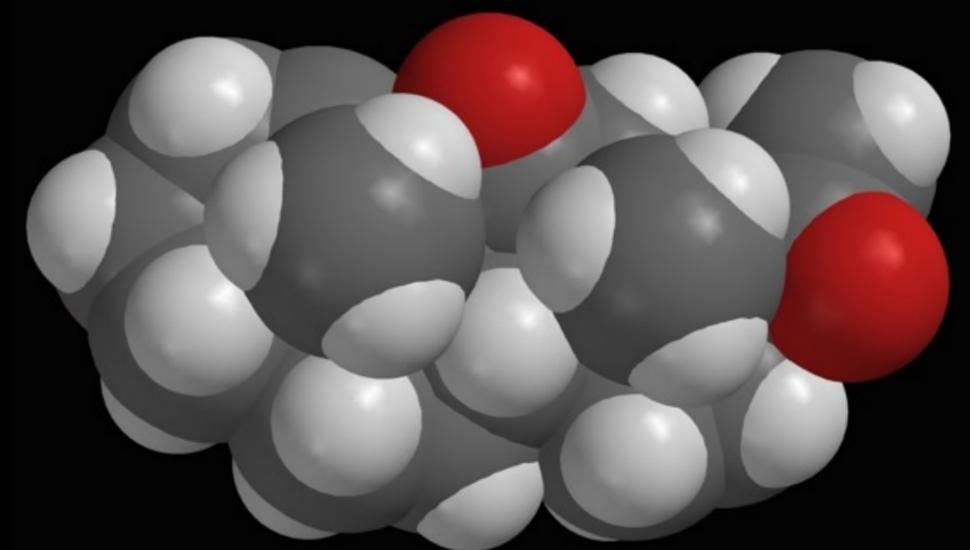
urethane



thiopental

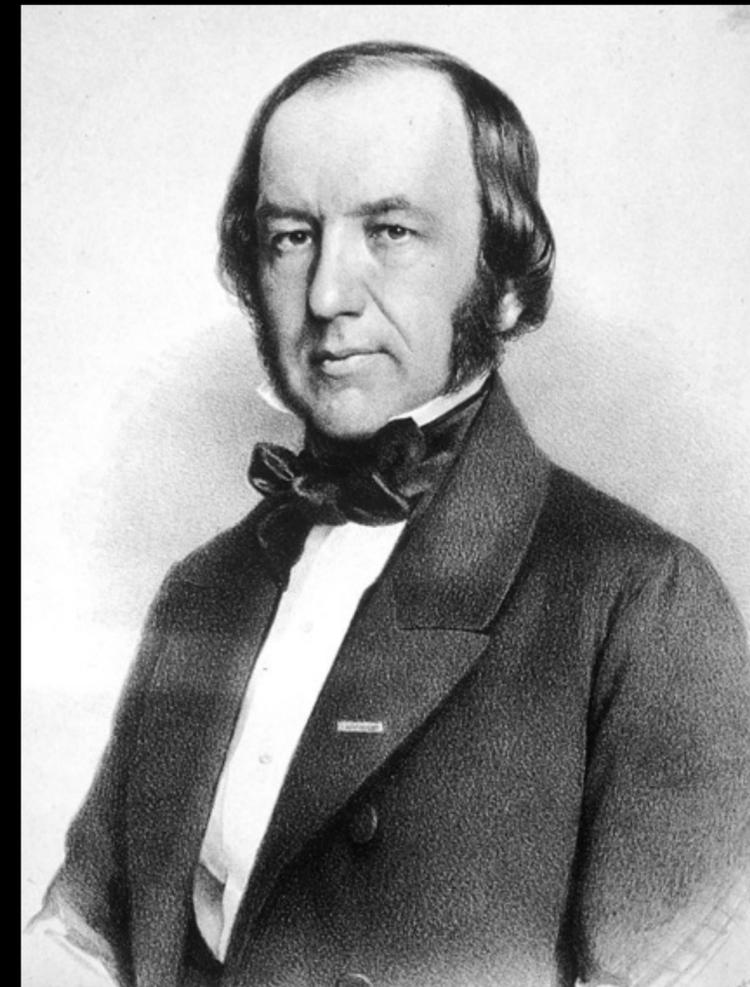
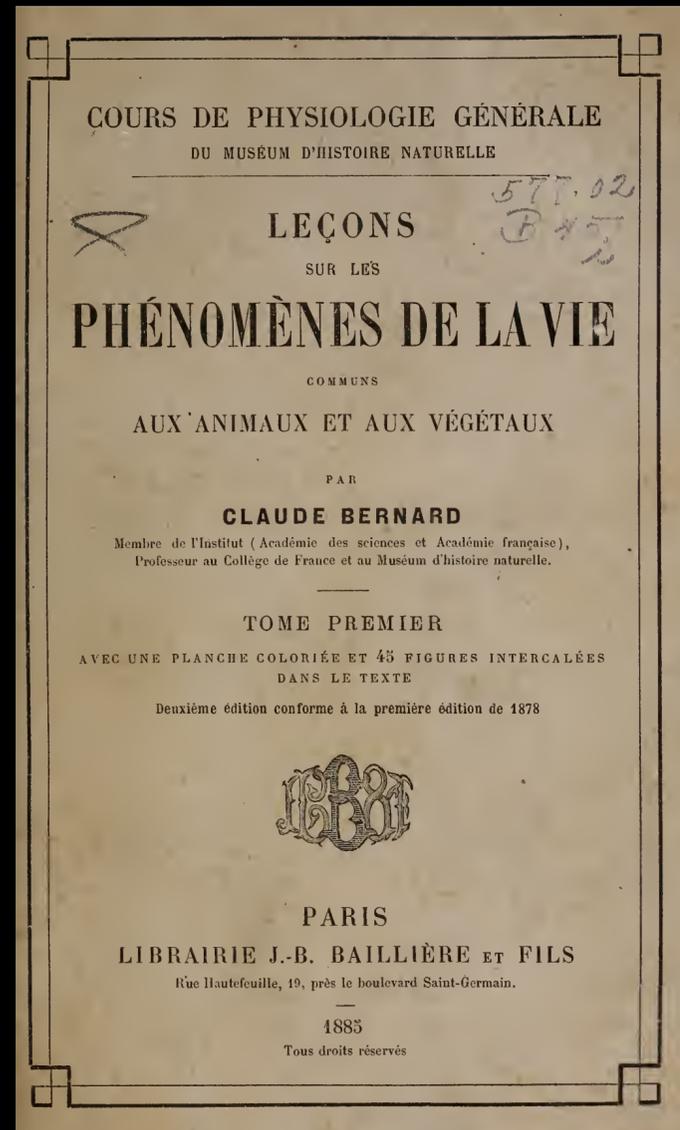


etomidate



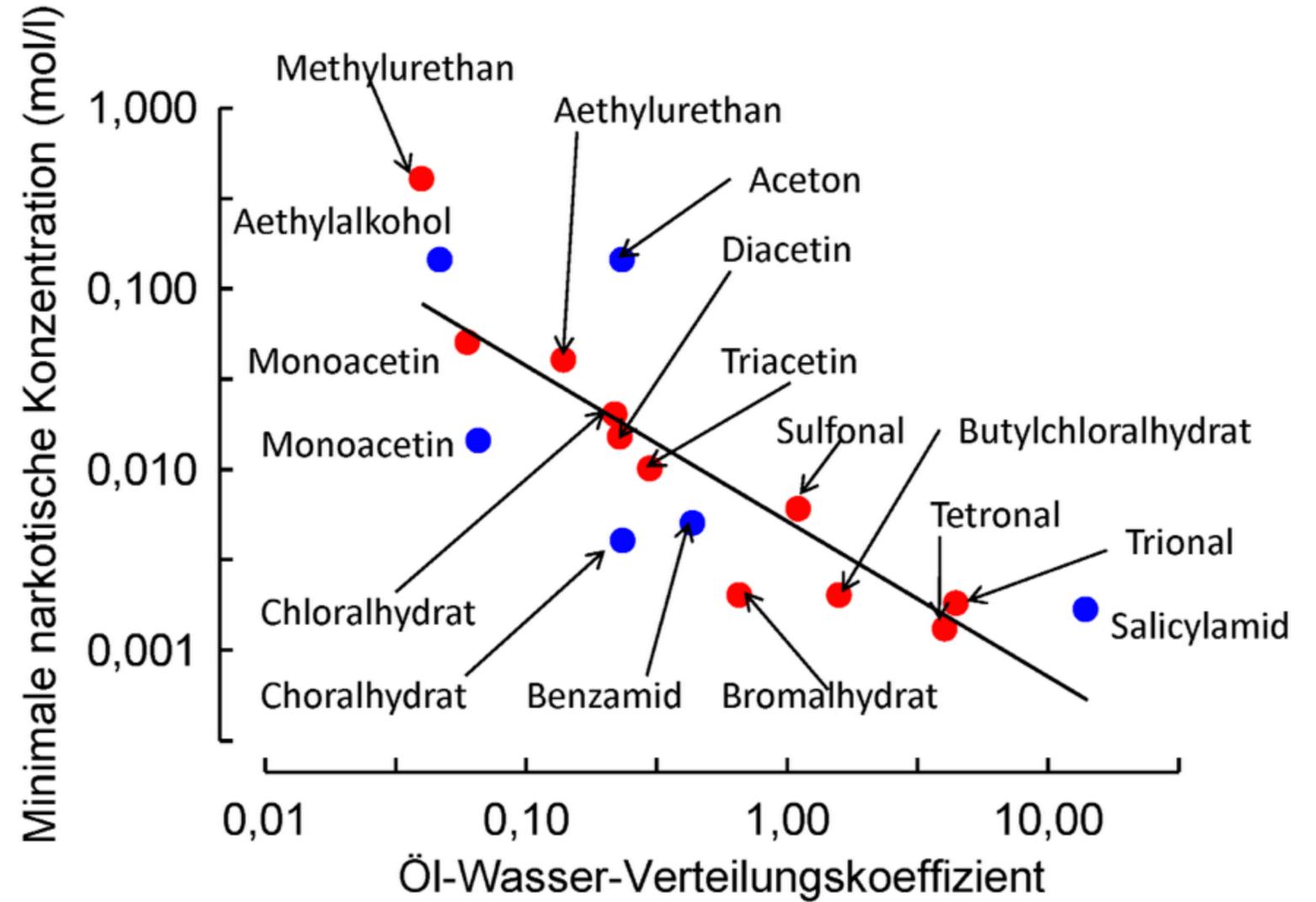
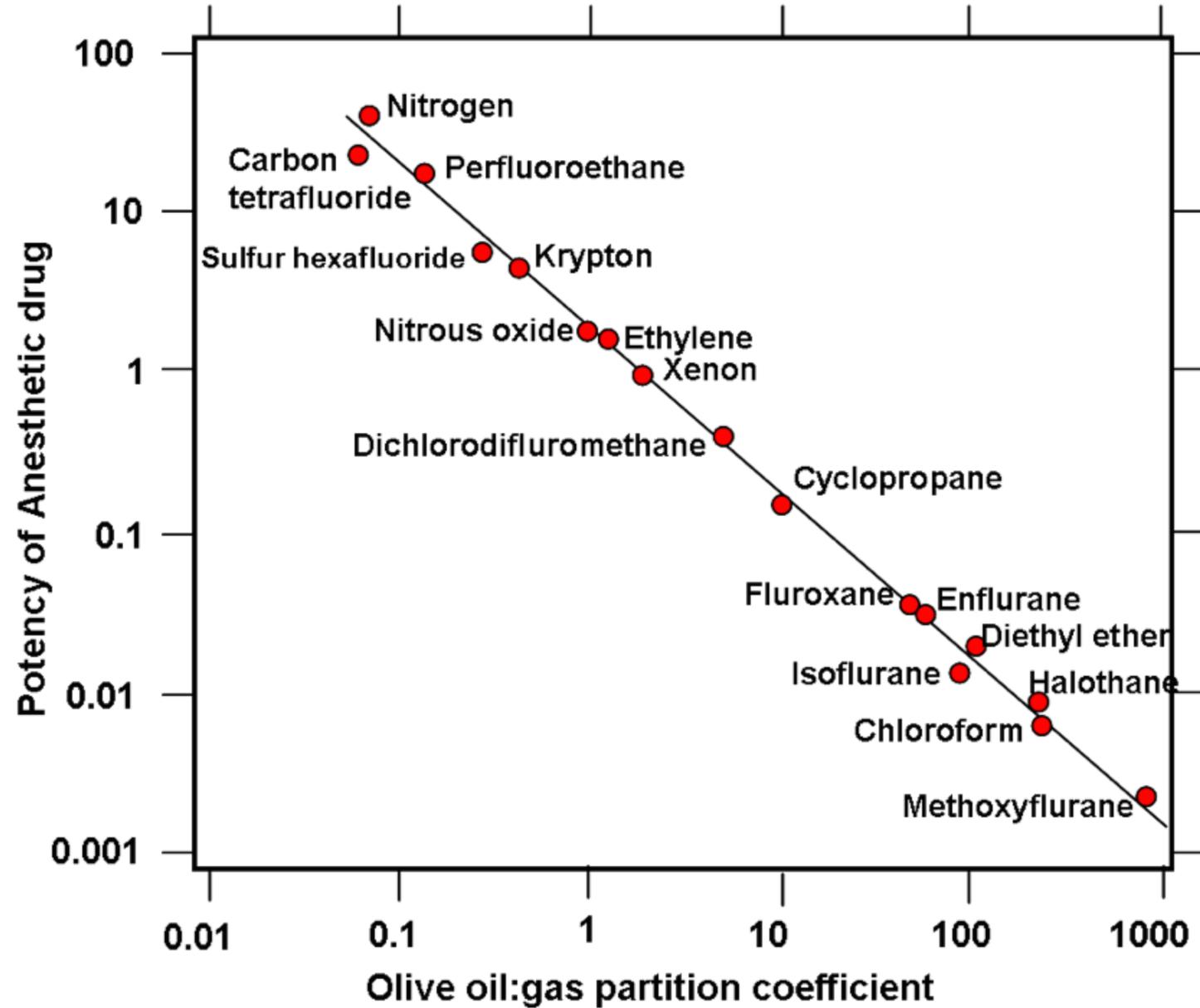
alfaxalone

how general are they ?



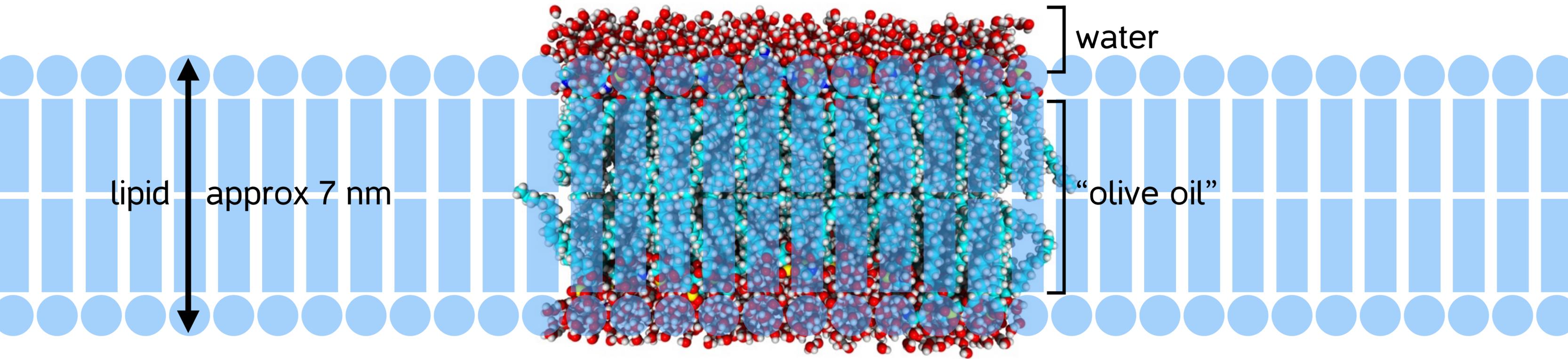
Claude Bernard 1813-1878

# the Meyer-Overton relationship



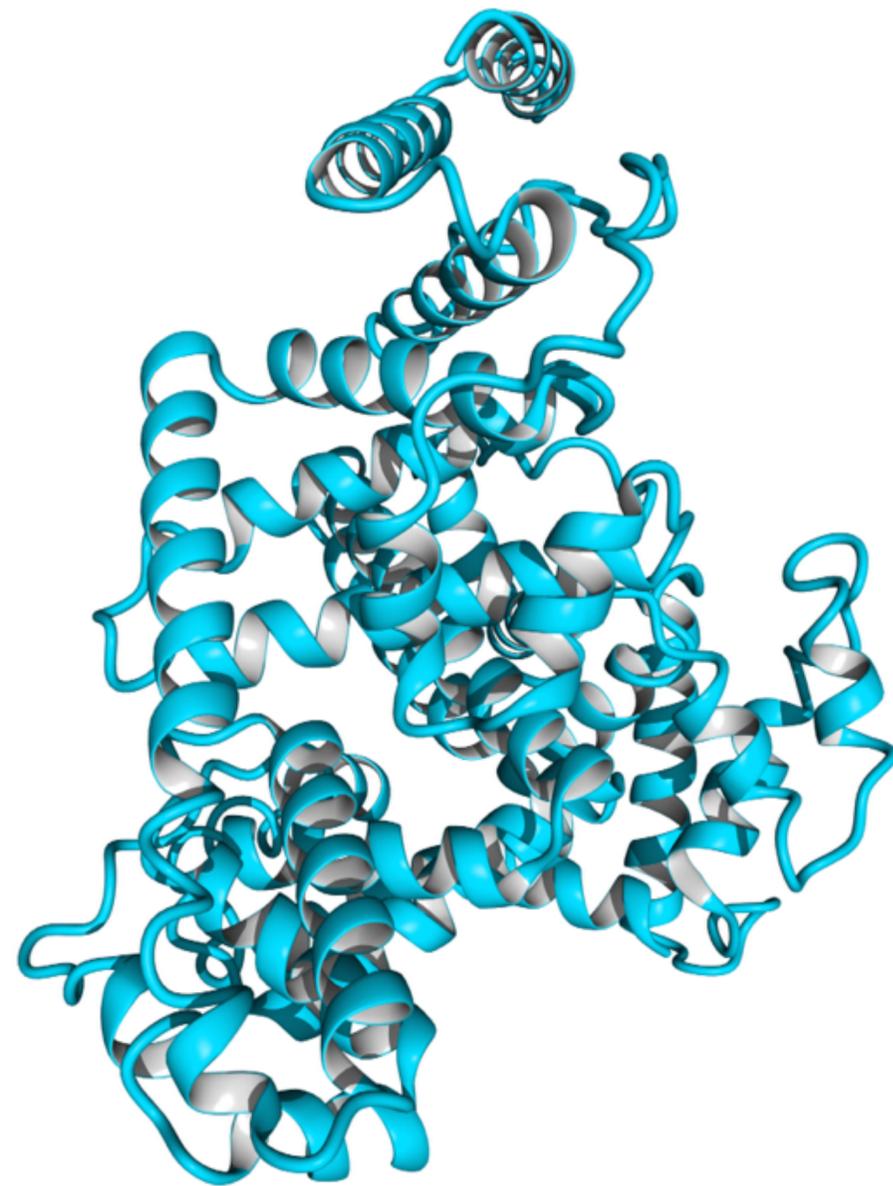
# the cell membrane

extracellular  
water

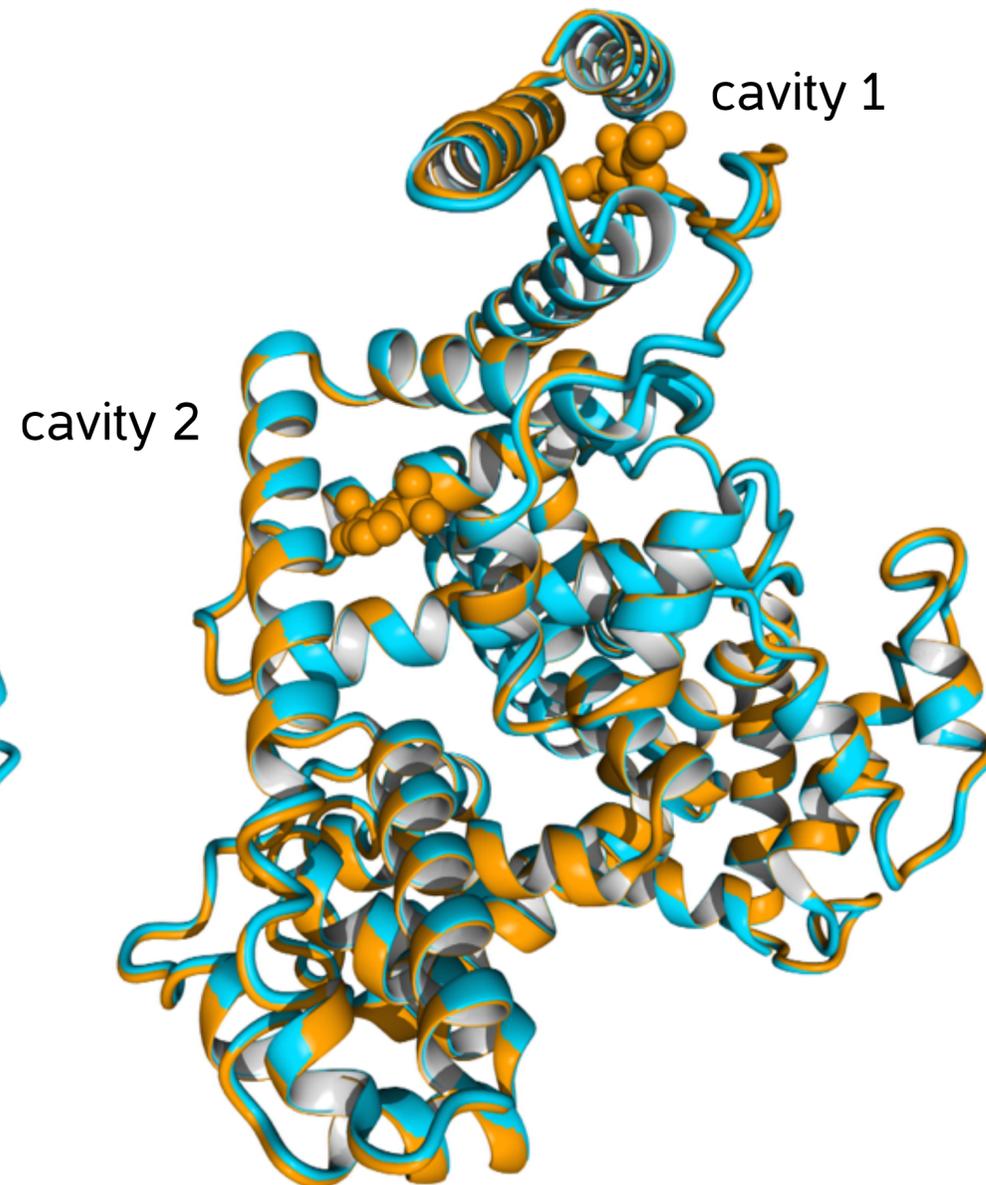


intracellular  
water

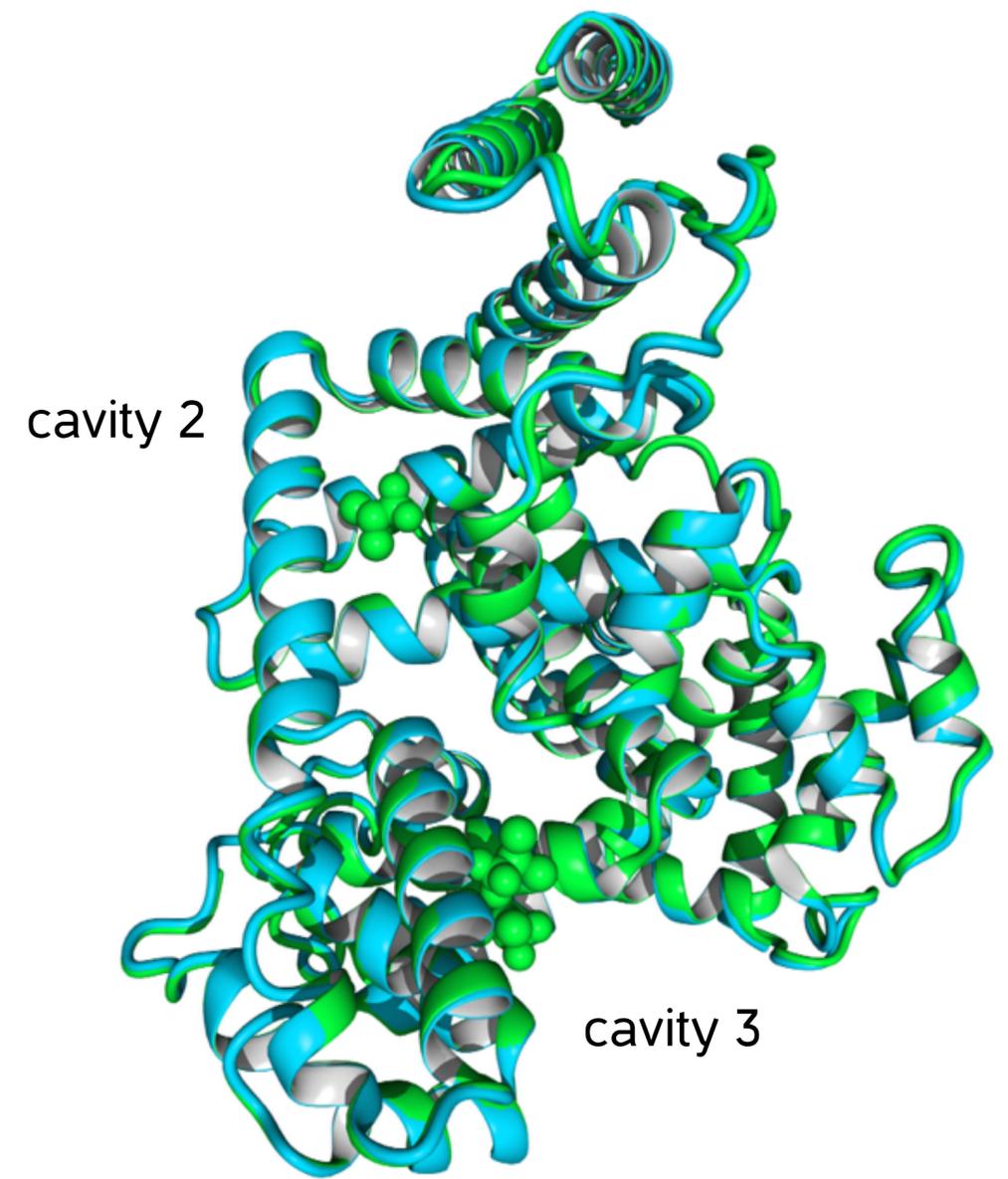
or maybe proteins ?



human serum albumin

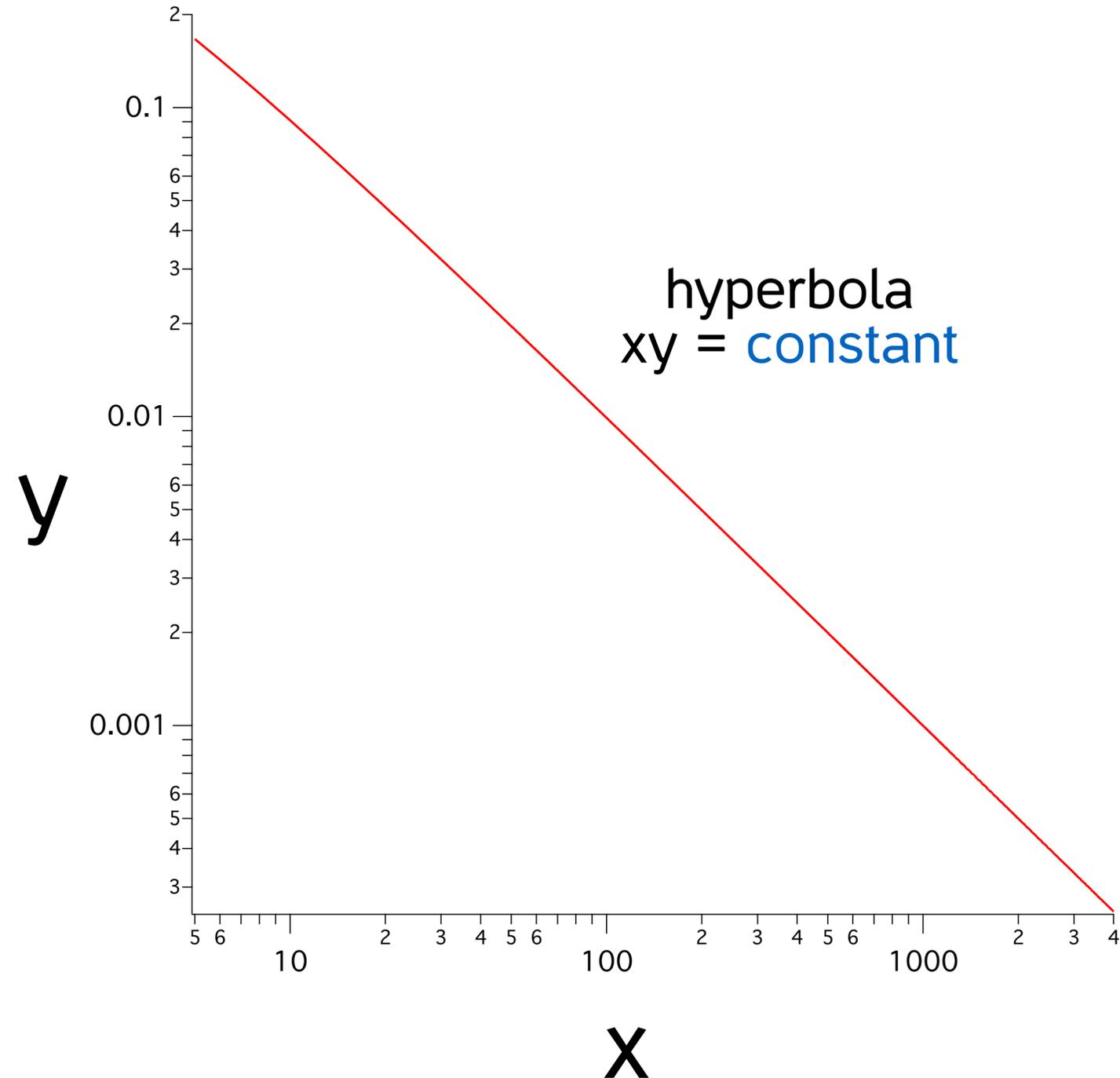


+ propofol



+ halothane

# what the Meyer-Overton graph means



the concentration of anesthetic at the active site[s] sufficient for narcosis is **constant** regardless of the anesthetic

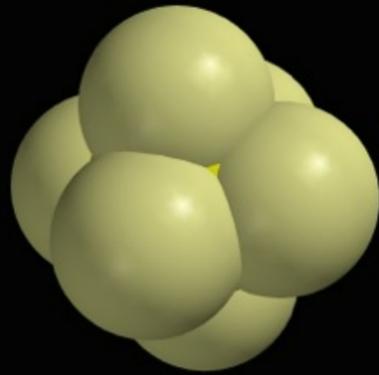


all anesthetics are **equally potent**

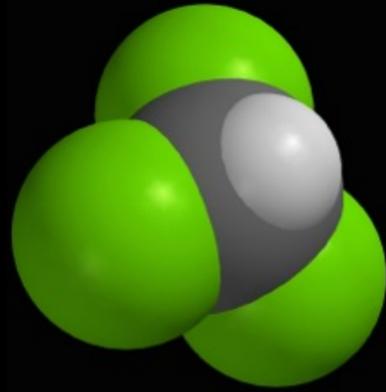
how is that possible ?



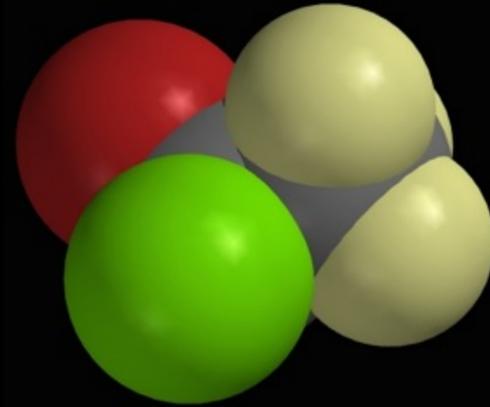
Xe



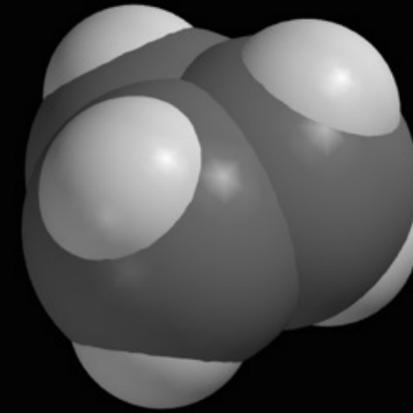
SF<sub>6</sub>



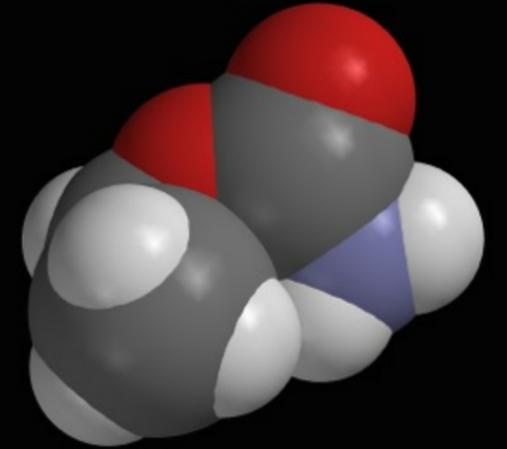
CHCl<sub>3</sub>



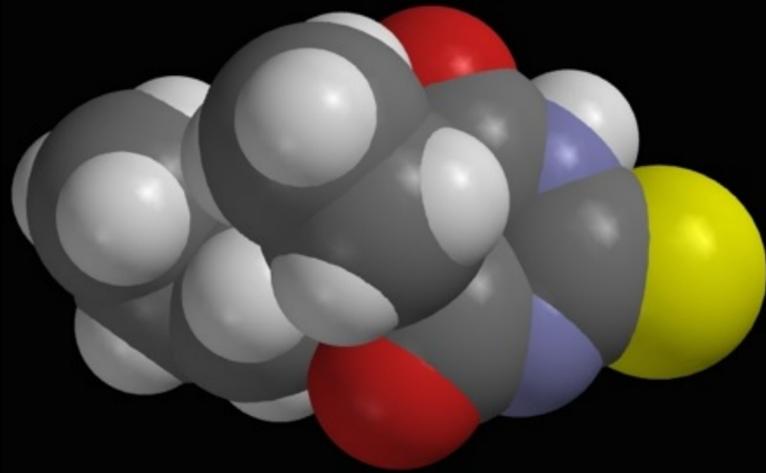
halothane



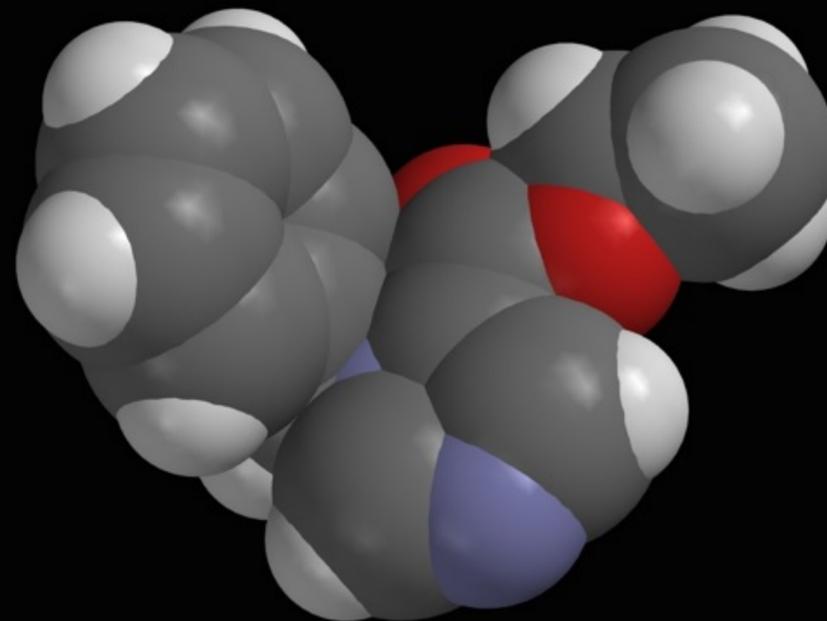
cyclopropane



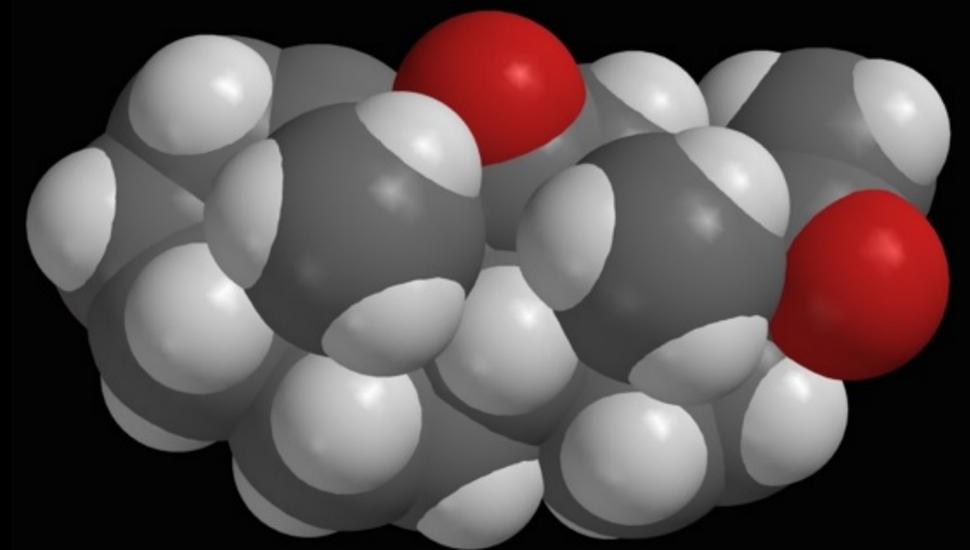
urethane



thiopental

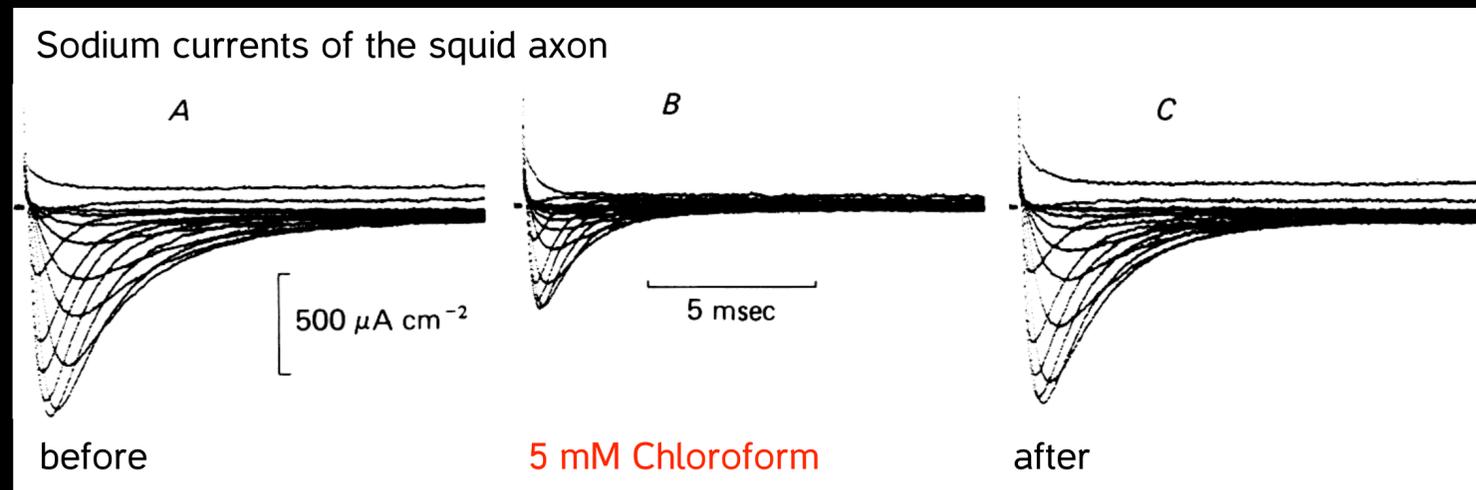


etomidate



alfaxalone

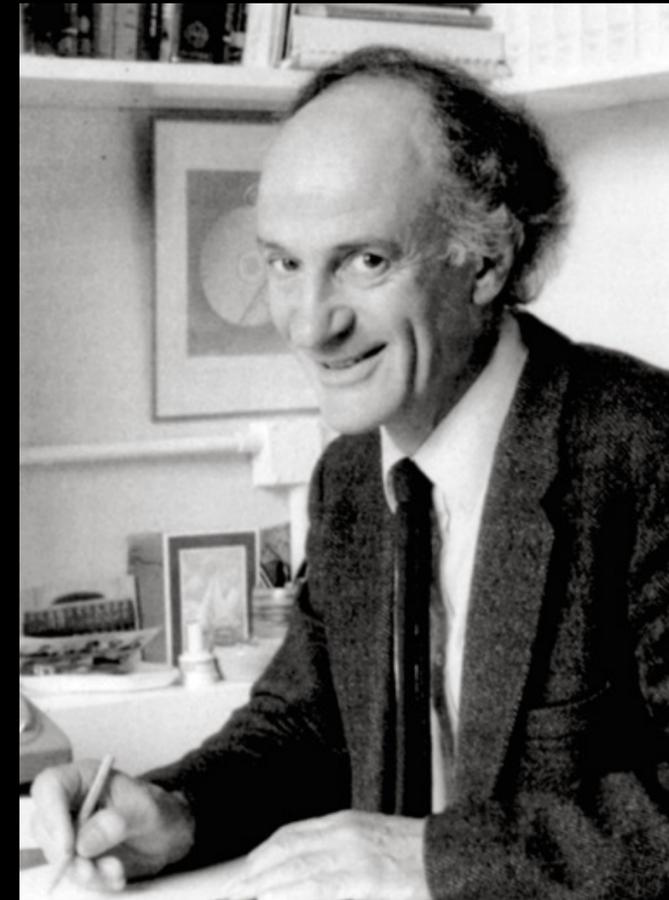
# theories of anesthesia: ion channels



J. Physiol. (1983), 341, pp. 429-439

> .5M in membrane !

ion channel block

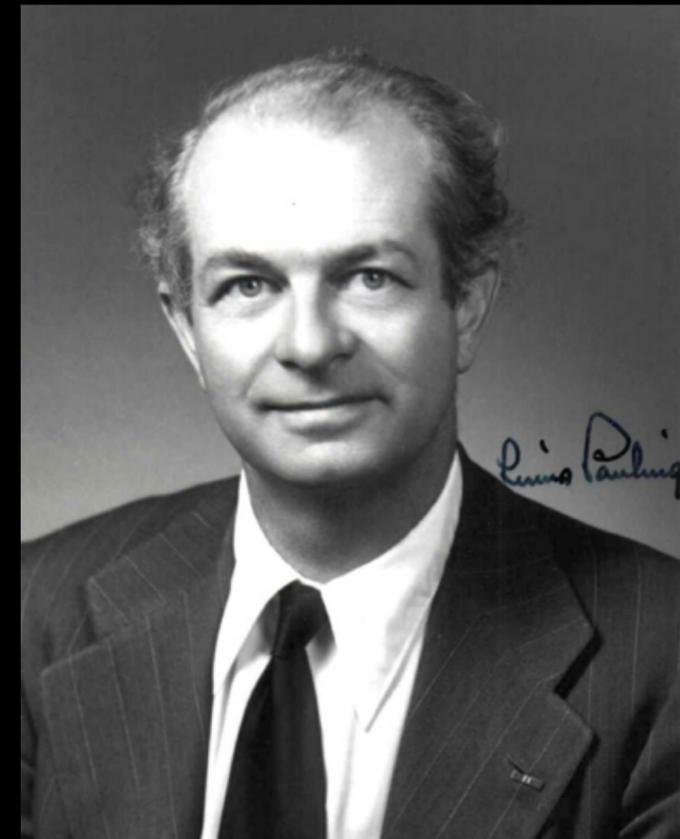


Denis Haydon 1930-1988

# theories of anesthesia: gas hydrates

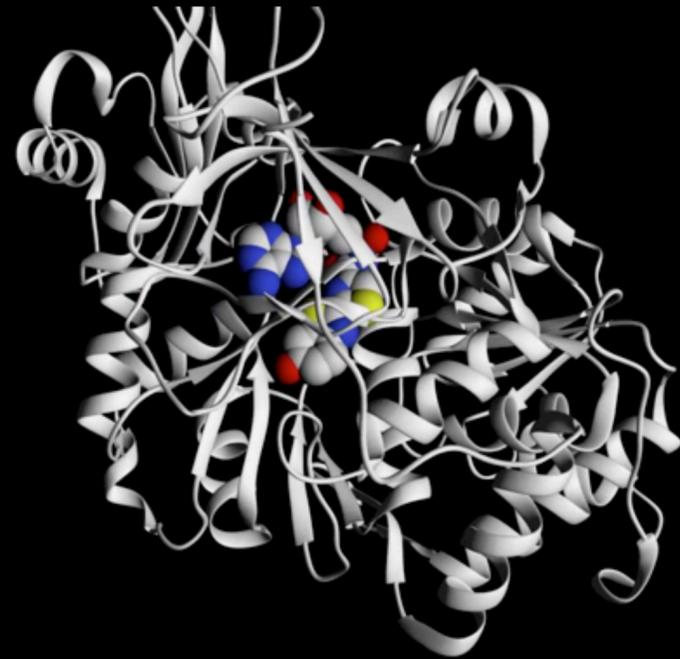


methane hydrate



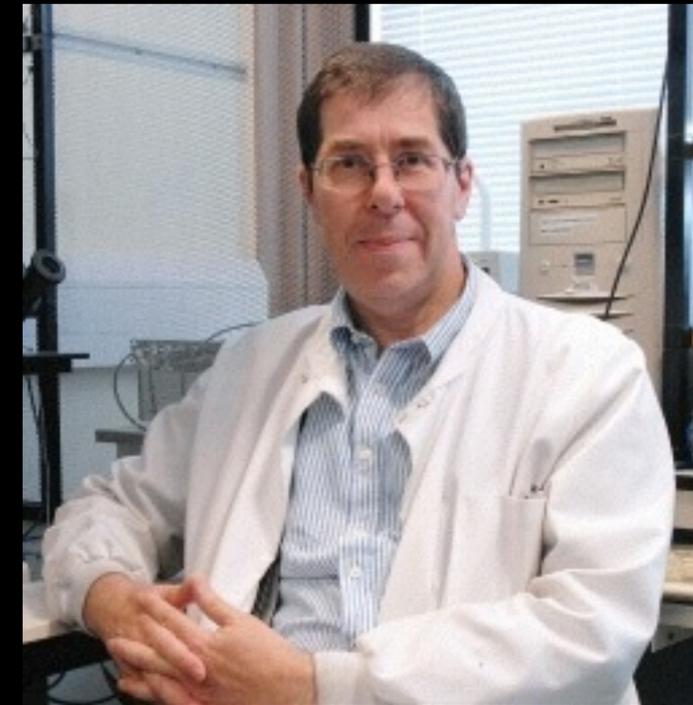
Linus Pauling 1901-1994

# theories of anesthesia: enzyme inhibition



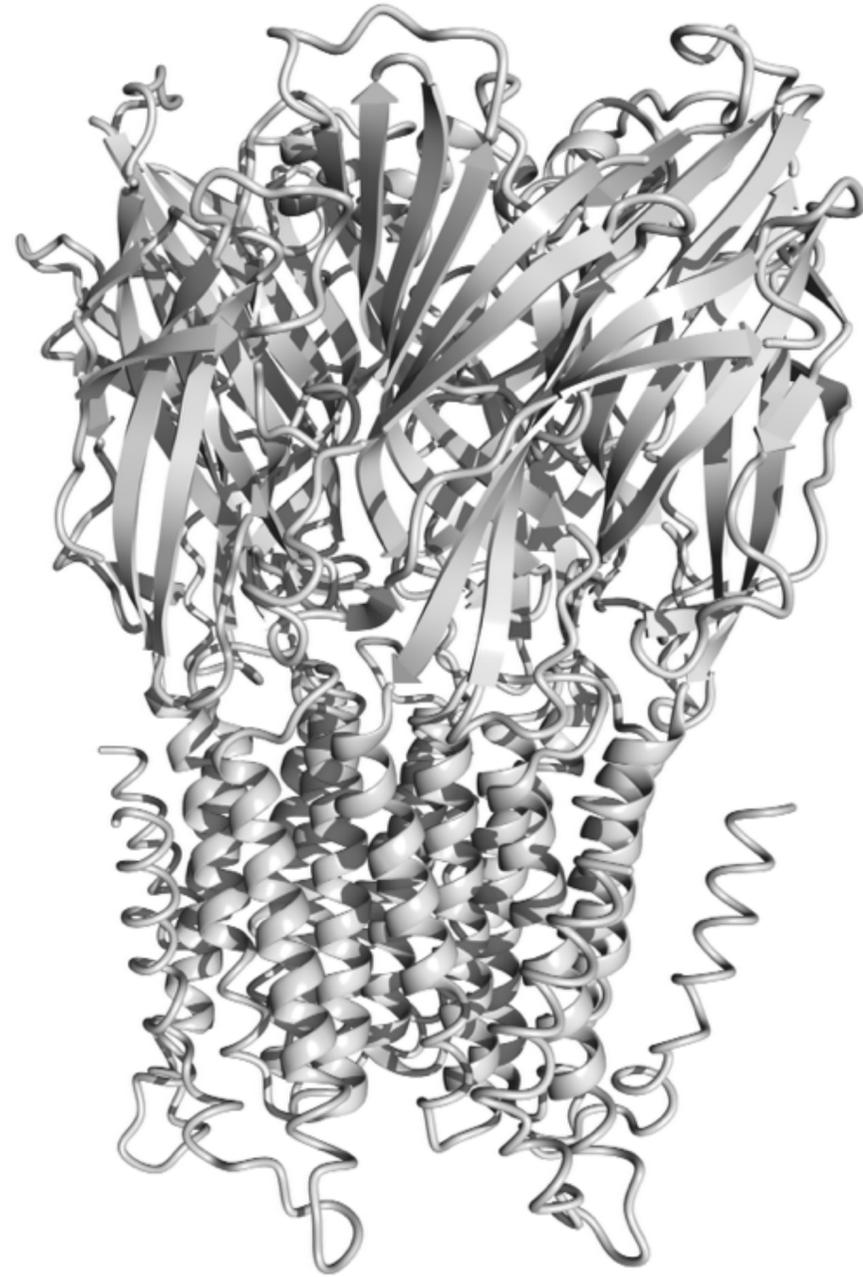
Credit: University of Rhode Island

firefly luciferase



Nick Franks  
(& Bill Lieb)

# theories of anesthesia: receptors



the GABA-A receptor



the GABA-A receptor  
+ Bromoform

# meta-theories of anesthesia

## OLFACTION\*

BY

L. J. MULLINS

Few physiological processes remain today as elusive of analysis and as obscure in mechanism as those involved in olfaction. Such a situation is not the result of any dearth of experimental investigations nor because of any reluctance on the part of physiologists to speculate concerning such mechanisms. Rather, we may suspect that our lack of a working hypothesis is to be traced to certain broad gaps in our knowledge of nervous excitation at both the physicochemical and the physiological level. The discussion that follows is not intended to do more than examine the areas of both physics and physiology to which we must look for explanations, and to consider the nature of the difficulties that arise in any attempt at a precise formulation of a theory of olfaction.

Recent reviews that very adequately summarize present-day knowledge of the histology of olfactory cells, the types of phenomena that have been observed, as well as the various theories of olfaction, are available and may be consulted for various details not presented here.<sup>1-5</sup> The olfactory cell is a primary neuron in contrast with the many specialized types of receptors that respond selectively to various physical stimuli. There are about 10 to 20 million such receptors in man<sup>2</sup> distributed over 5 cm<sup>2</sup> of surface in the upper respiratory passages. This olfactory epithelium, as well as the rest of the nasal surface, also contains bare nerve fibers from the trigeminal nerve and these are generally considered as receptors which signal, by pain, the presence of many types of chemical compounds. The sensitive endings of the olfactory cell are a series of fine hairs (6 to 8 per cell in man, 10 to 14 in the rabbit) with dimensions about 2 × 0.1 microns. The endings of the olfactory cell are covered with a thin film of fluid, secreted by glands in the epithelium. Presumably this fluid is an ultrafiltrate of blood plasma. The fact that the nerve fibers emerging from olfactory receptors are short and non-myelinated has discouraged any serious attempt to investigate the phenomena of olfaction by conventional electrophysiological methods. While it seems likely that, in the near future, technical improvements in neurophysiological technique will be such that direct recording in mammals will be possible, certain theoretical considerations, to be presented later, make the interpretation of such direct recording difficult.\*\* One is faced,

\*Aided by a grant (B-139) from the National Institute for Neurological Diseases and Blindness, United States Public Health Service, Bethesda, Md.

\*\*Doctor Lloyd Beidler has advised me that he has been able to obtain direct recording in animals.

1954a

## SOME PHYSICAL MECHANISMS IN NARCOSIS<sup>1</sup>

L. J. MULLINS

Biophysical Laboratory, Purdue University, Lafayette, Indiana

Received January 8, 1954

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### I. INTRODUCTION

In 1920, Miller (42) offered an explanation of how the addition of 2 per cent sodium chloride to aqueous solutions of phenol increased the toxicity of the phenol for bacteria. His explanation was that the sodium chloride raised the chemical potential of the phenol in solution and hence, effectively, the escaping tendency of phenol toward bacteria. Unfortunately there was no generalization of this suggestion to include phenomena other than toxicity, and it remained for Ferguson (16) to show that the use of thermodynamic indices (chemical potential; activity) was helpful in predicting the aqueous concentrations of various substances that were necessary for toxicity and for narcosis. As will be seen later, the suggestion of Ferguson did not contribute any new information to the understanding of narcosis, but it did free the discussion of such phenomena from the artificiality of the Meyer-Overton hypothesis by showing that the partition coefficient, the vapor pressure of narcotics in solution, and various solubility relationships of narcotics are all derivable in principle from the thermodynamic activity. There is no argument that the concept of partition coefficients is im-

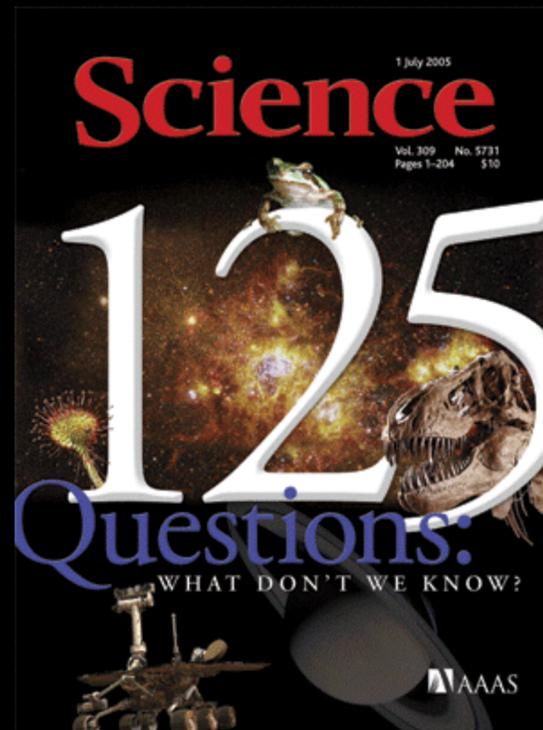
<sup>1</sup> This study has been aided by a grant from the Research Laboratories of Eli Lilly and Company.

1954b



Lorin Mullins 1917-1993

Lorin died after a short illness at his retirement home in Chestertown on April 14 [...]. He was working on a new theory of Anesthesia.



### What is the nature of gravity?

It clashes with quantum theory. It doesn't fit in the Standard Model. Nobody has spotted the particle that is responsible for it. Newton's apple contained a whole can of worms.

### How do general anesthetics work?

Scientists are chipping away at the drugs' effects on individual neurons, but understanding how they render us unconscious will be a tougher nut to crack.

### Is ours the only universe?

A number of quantum theorists and cosmologists are trying to figure out whether our universe is part of a bigger "multiverse." But others suspect that this hard-to-test idea may be a question for philosophers.

### How do prion diseases work?

Even if one accepts that prions are just misfolded proteins, many mysteries remain. How can they go from the gut to the brain, and how do they kill cells once there, for example.

### Imaging Xe with a Low-Temperature Scanning Tunneling Microscope

D. M. Eigler, P. S. Weiss,<sup>(a)</sup> and E. K. Schweizer<sup>(b)</sup>

*IBM Research Division, Almaden Research Center, 650 Harry Road, San Jose, California 95120*

N. D. Lang

*IBM Research Division, Thomas J. Watson Research Center, Yorktown Heights, New York 10598*

(Received 19 October 1990)

We have obtained images of individual Xe atoms absorbed on a Ni(110) surface using a low-temperature scanning tunneling microscope (STM). The atom-on-jellium model has been used to calculate the apparent height of a Xe atom as imaged with the STM and the result is found to be in good agreement with experiment. We conclude that the Xe 6s resonance, although lying close to the vacuum level, is the origin of the Fermi-level local state density which renders Xe "visible" in the STM.

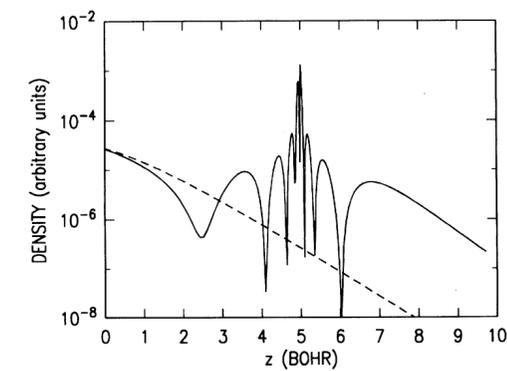
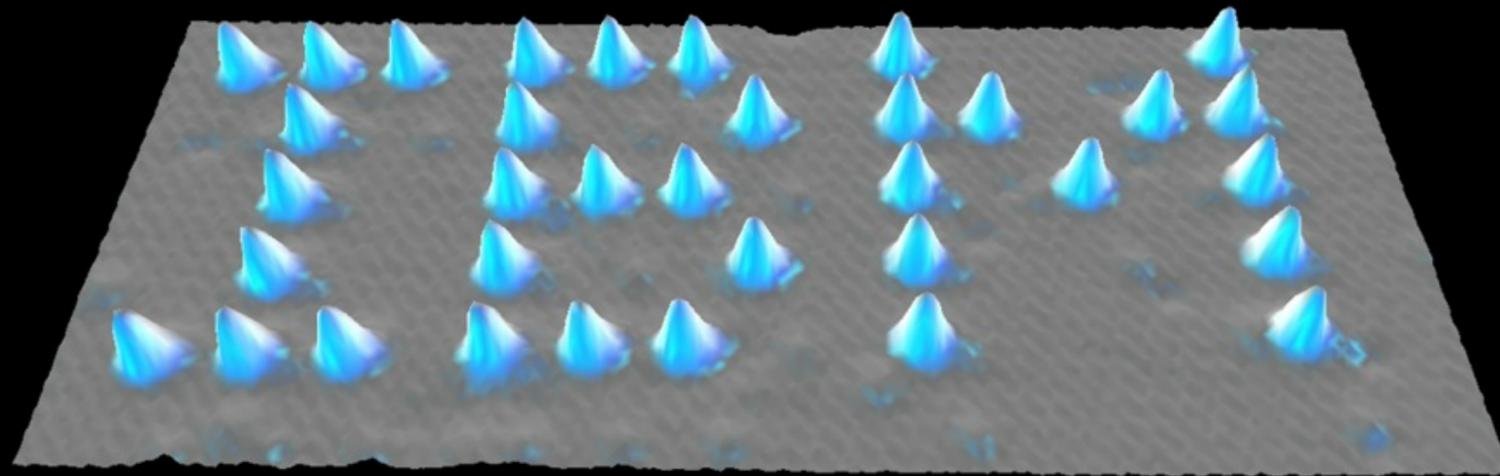


FIG. 2. The Fermi-level conduction-electron density along a normal to the surface through the nucleus of a Xe atom adsorbed at a distance of 5 bohrs from a metal modeled as  $r_s = 2$  jellium (solid curve). The bare-metal density (dashed curve) is shown in order to emphasize the form and extent of the conduction-electron density redistribution. The conduction electrons extend further out into the vacuum at the Xe atom.

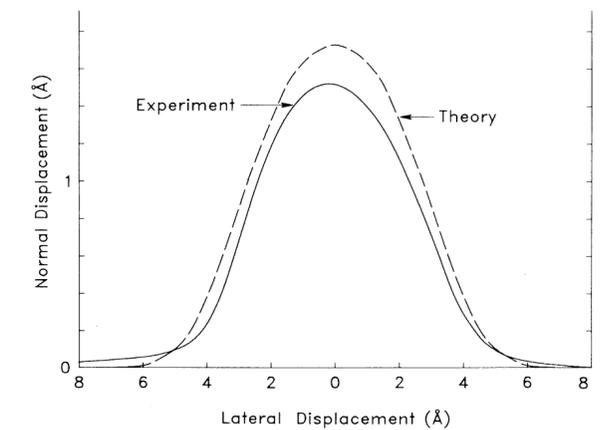
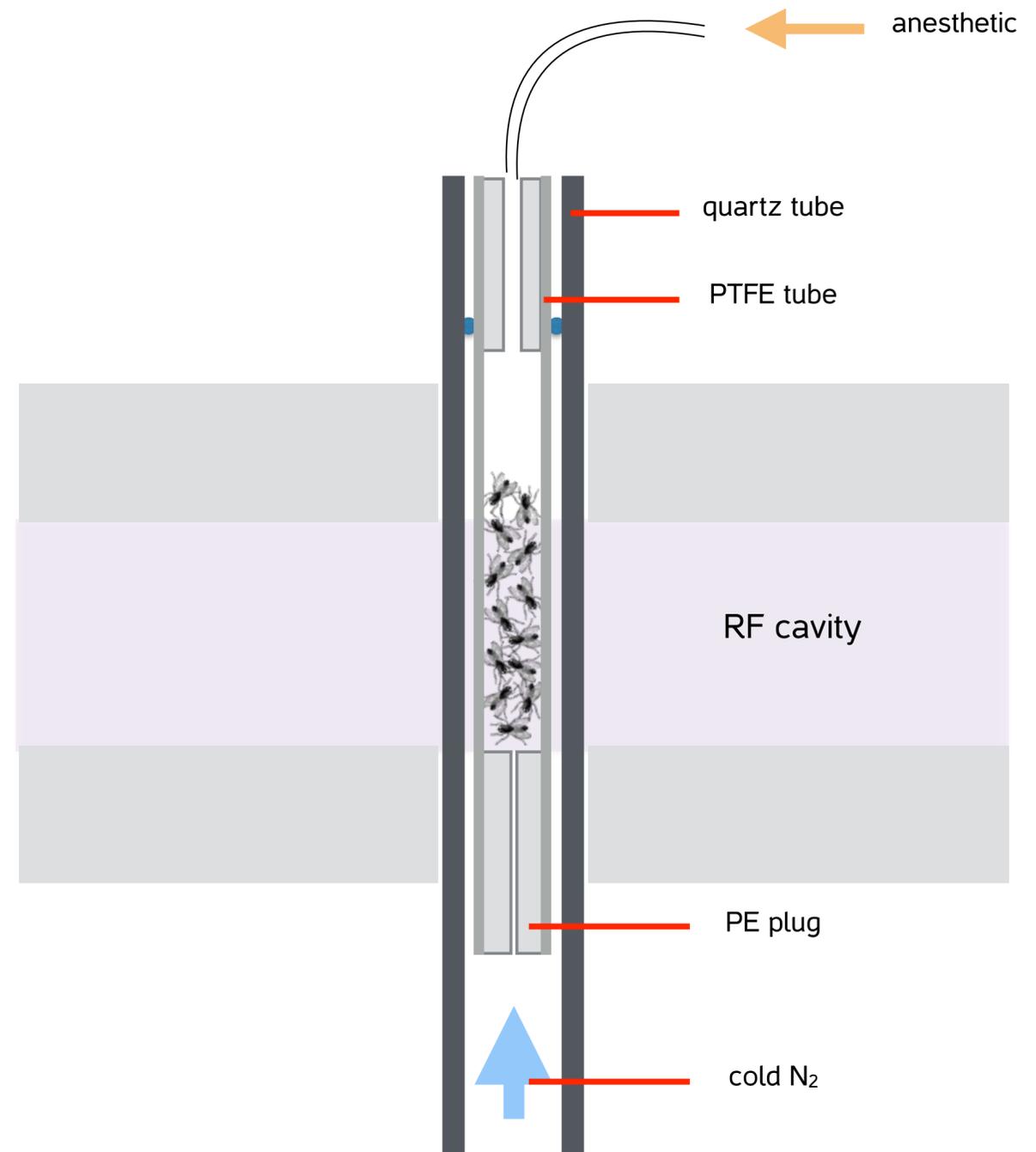


FIG. 3. A comparison of theoretical and experimental normal tip displacement ( $\text{\AA}$ ) vs lateral tip displacement ( $\text{\AA}$ ) curve for Xe adsorbed on a metal surface. The experimental curve is derived by taking a slice out of the data presented in Fig. 1. The theoretical curve is calculated using the atom-on-jellium model of Lang (Refs. 2 and 3) as described in the text.

# electron spin resonance [ESR] setup



# melanin ESR

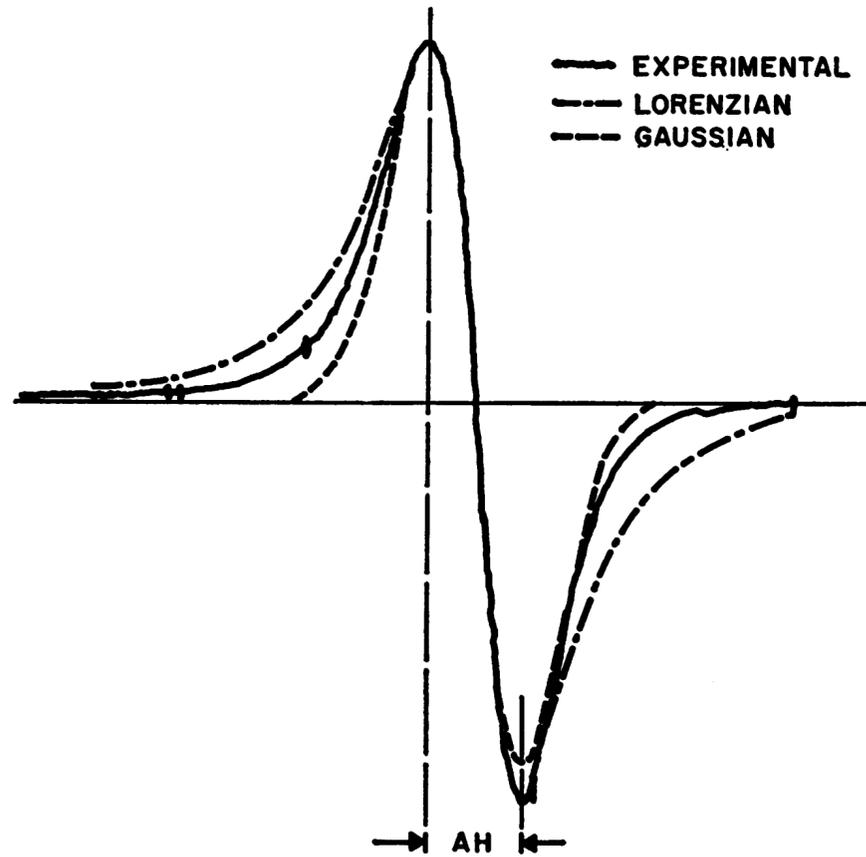
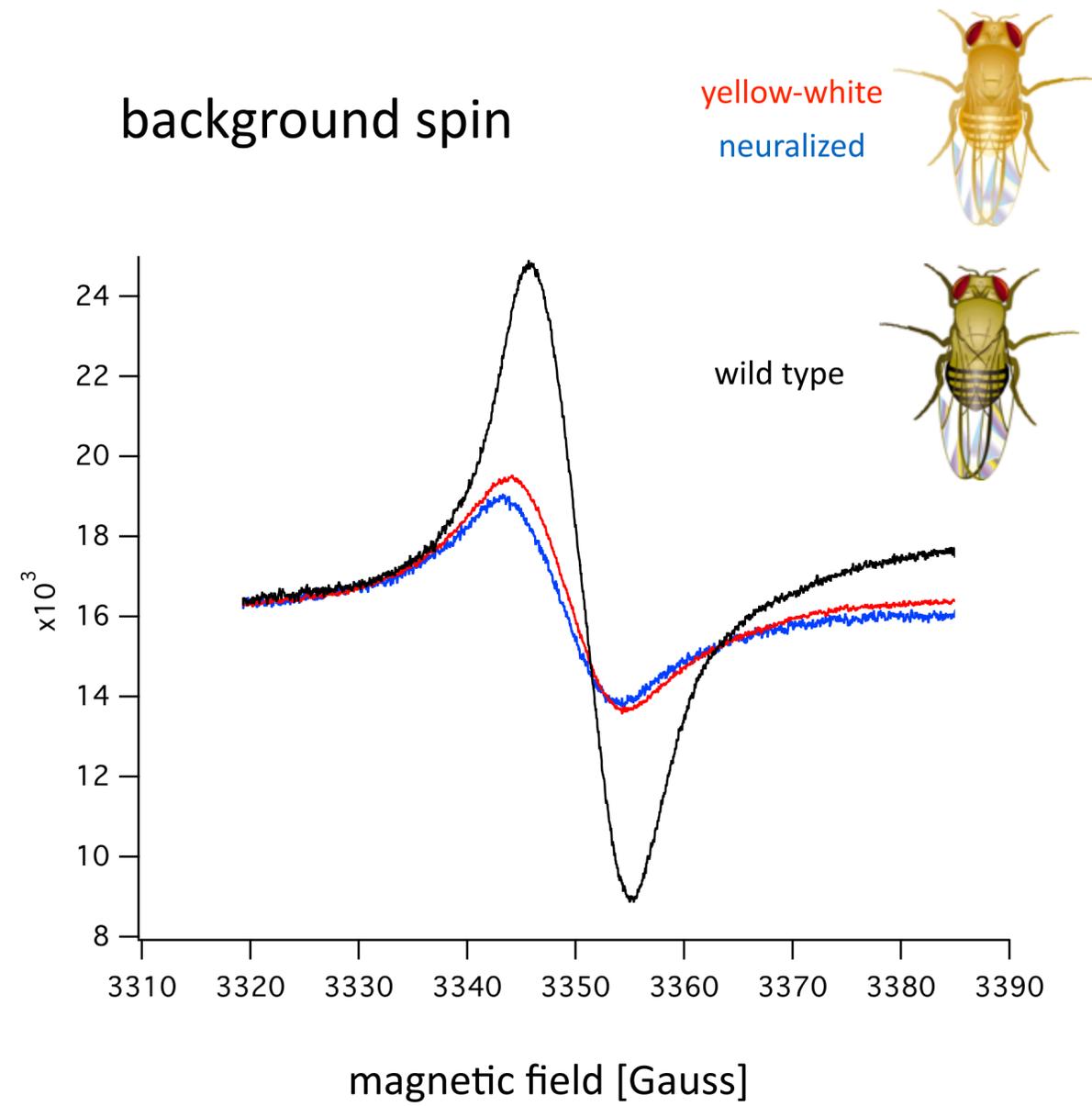
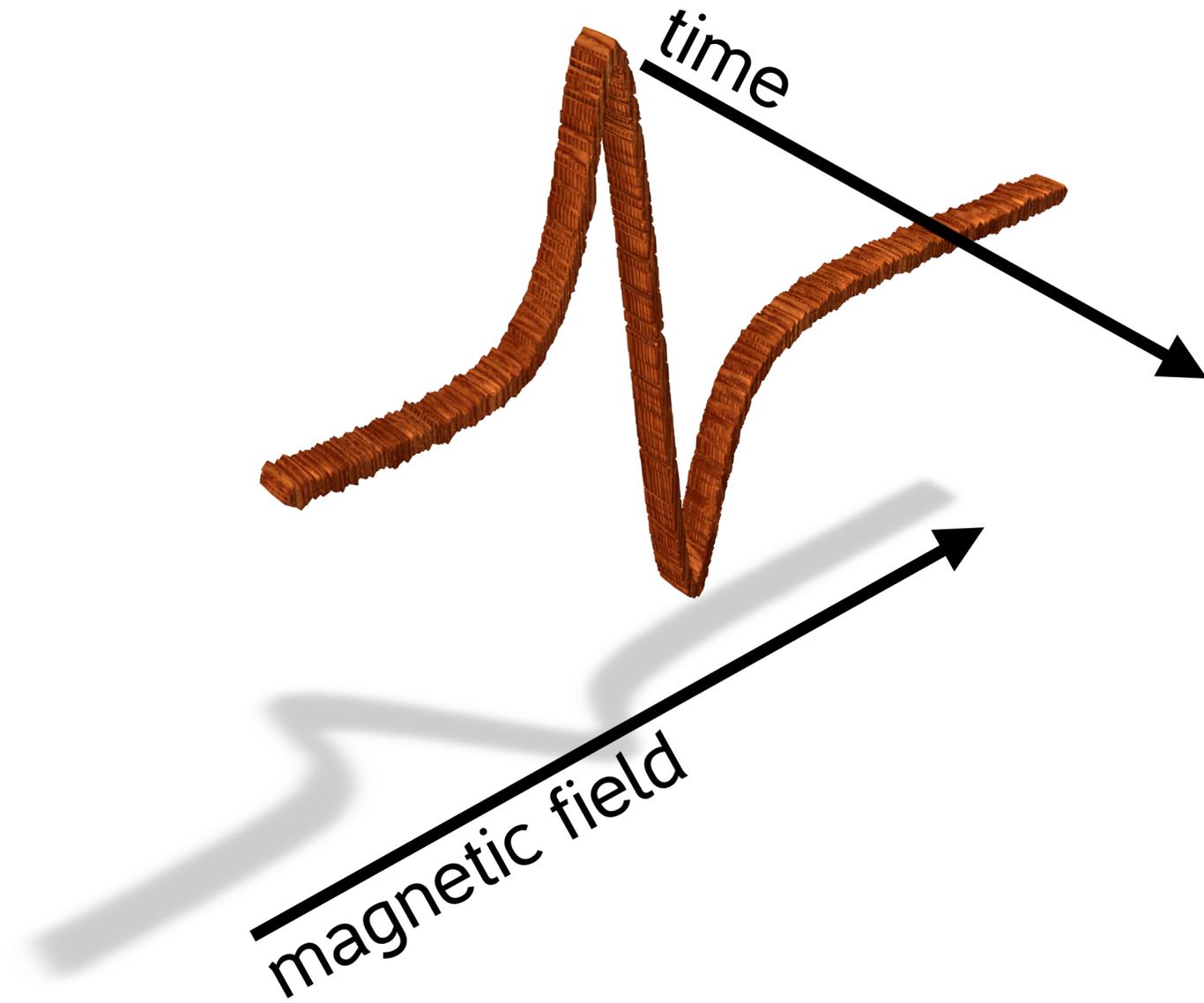


FIGURE 2 The e.s.r. absorption of squid melanin (first derivative trace).

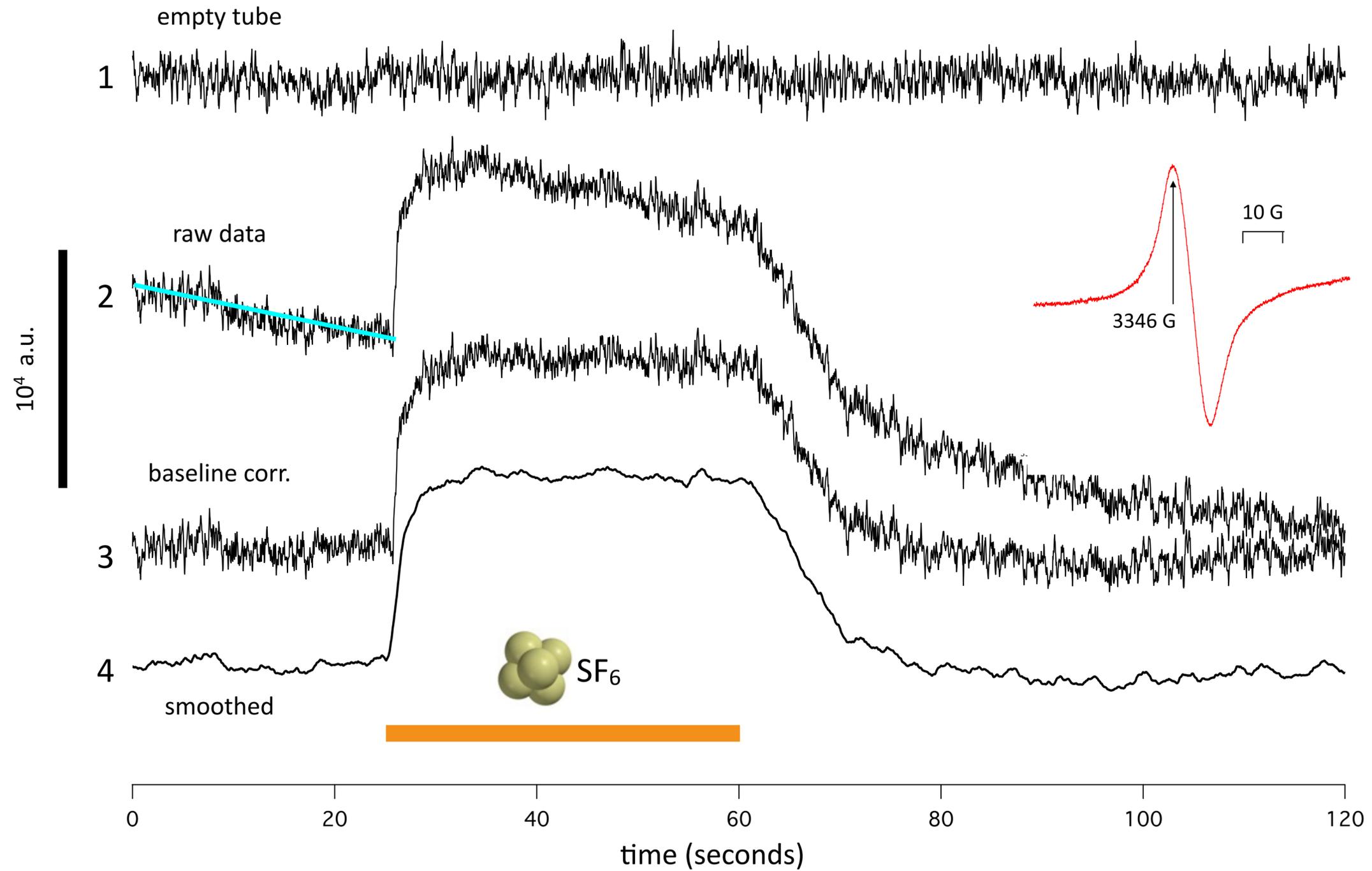
Blois, Zahlan and Maling, Biophys J 4:471 (1964)



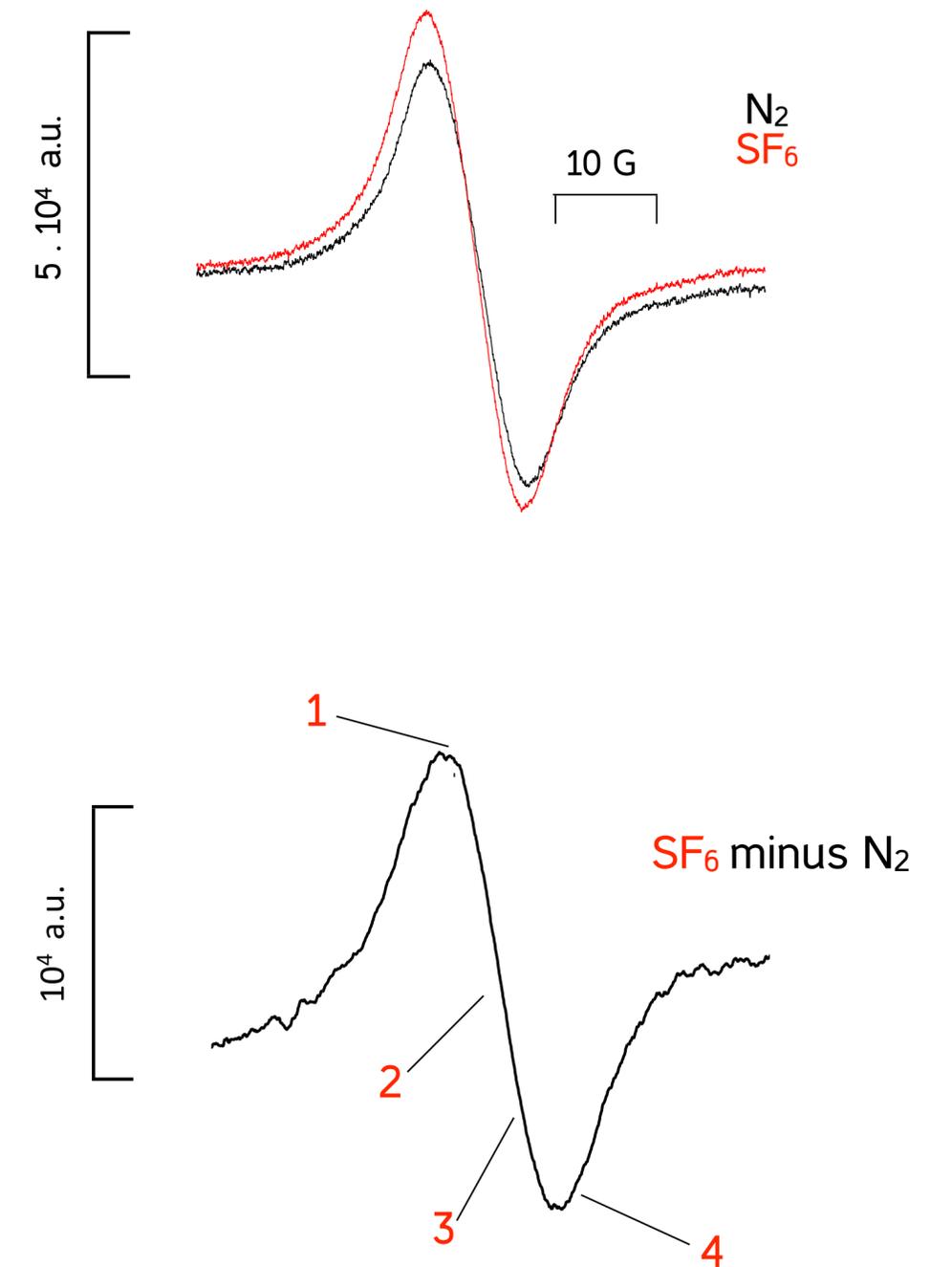
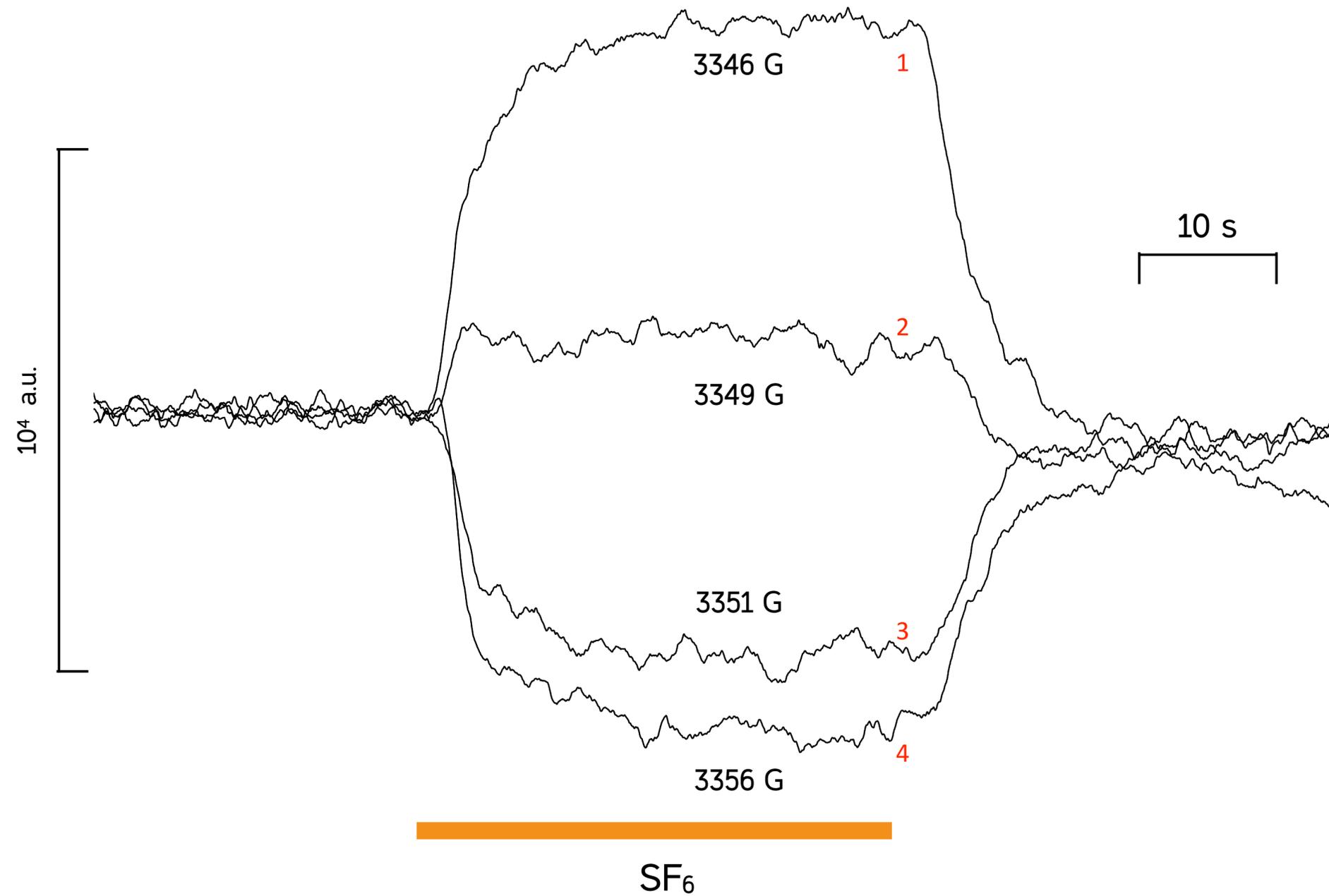
# two types of ESR measurement



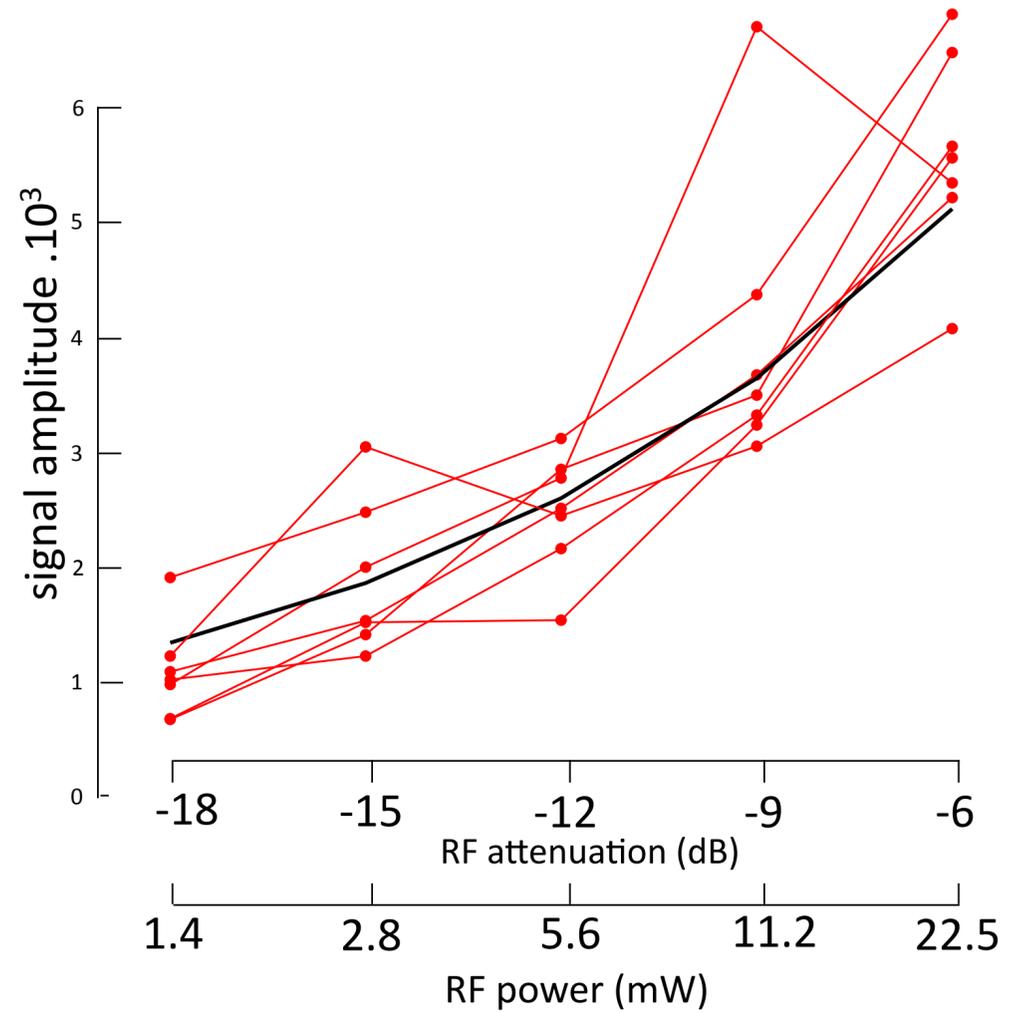
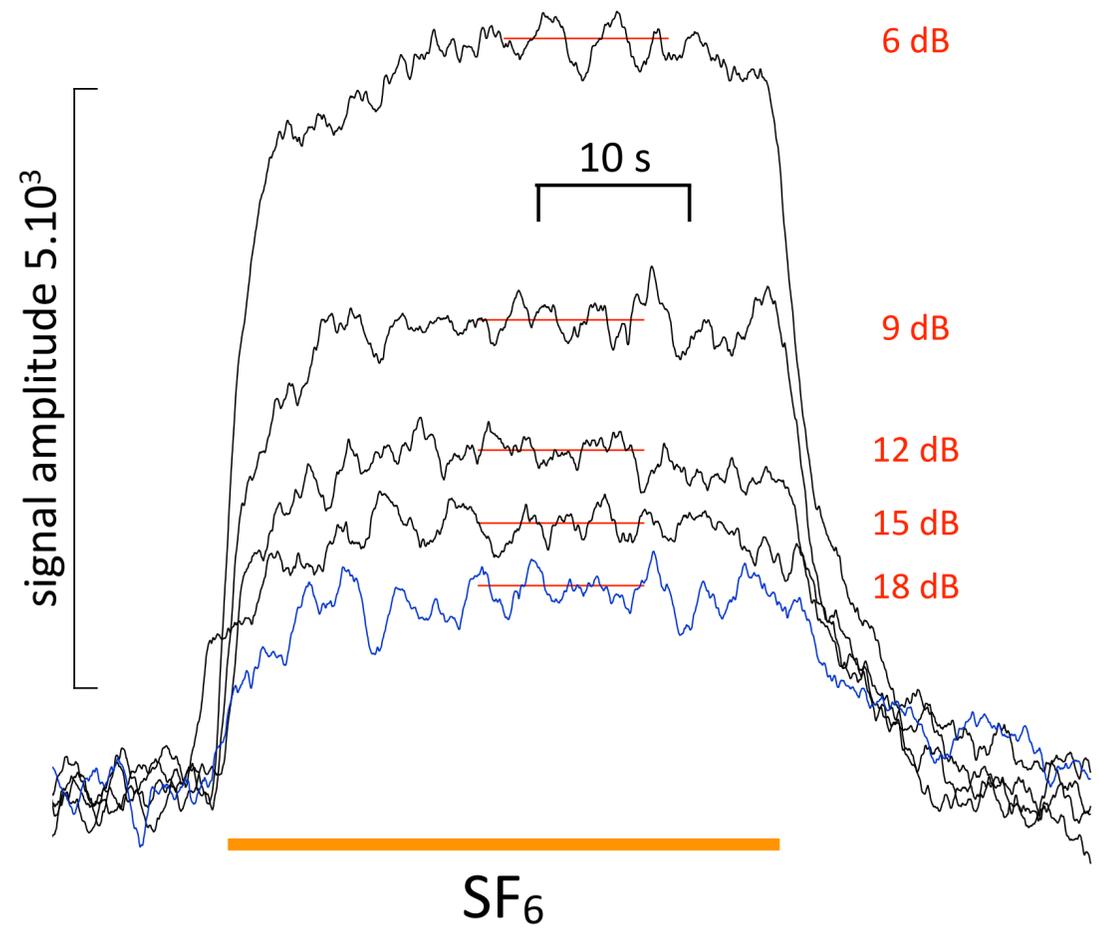
# spin changes during SF<sub>6</sub> anesthesia



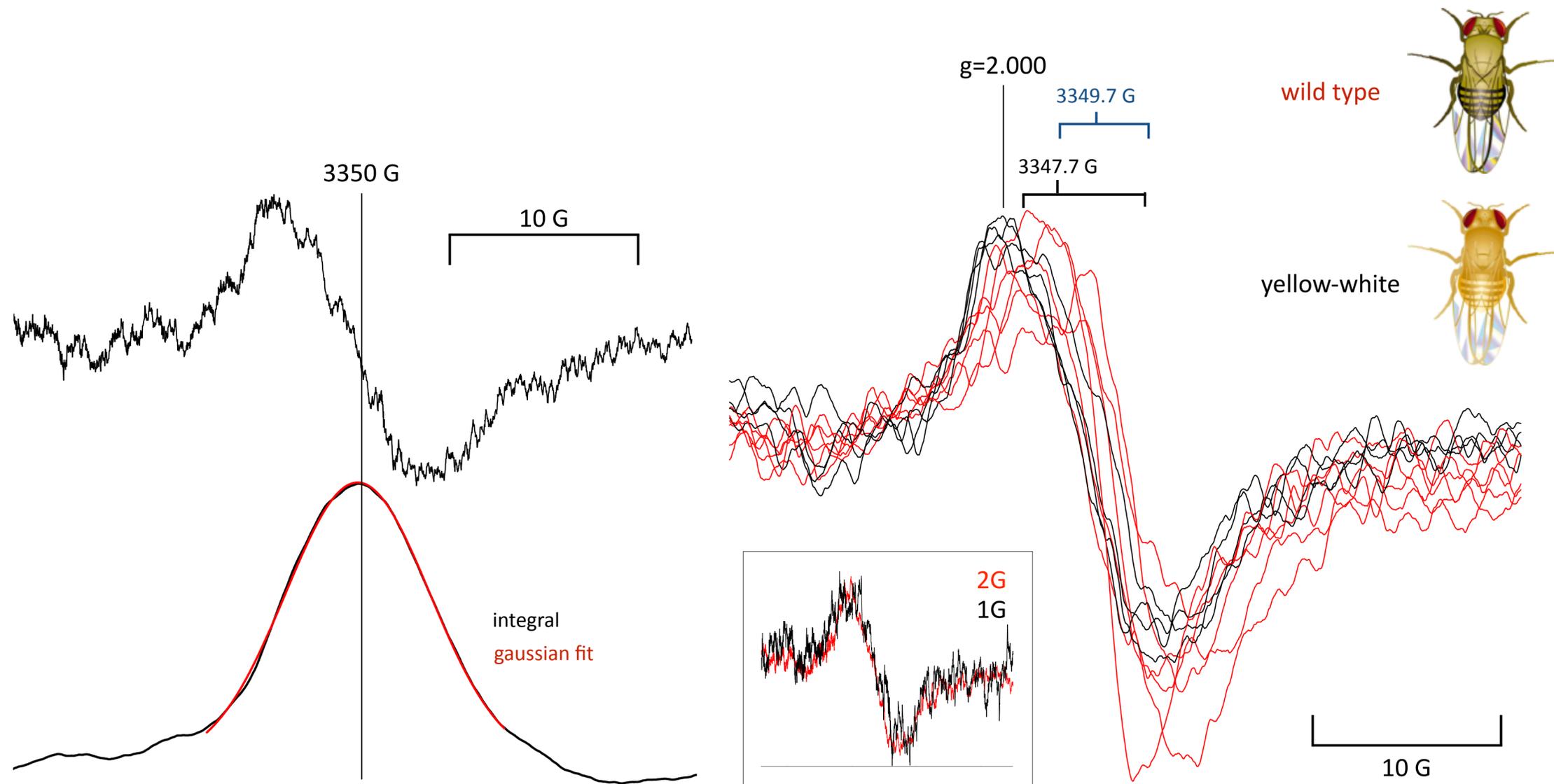
# spin change depends on magnetic field value



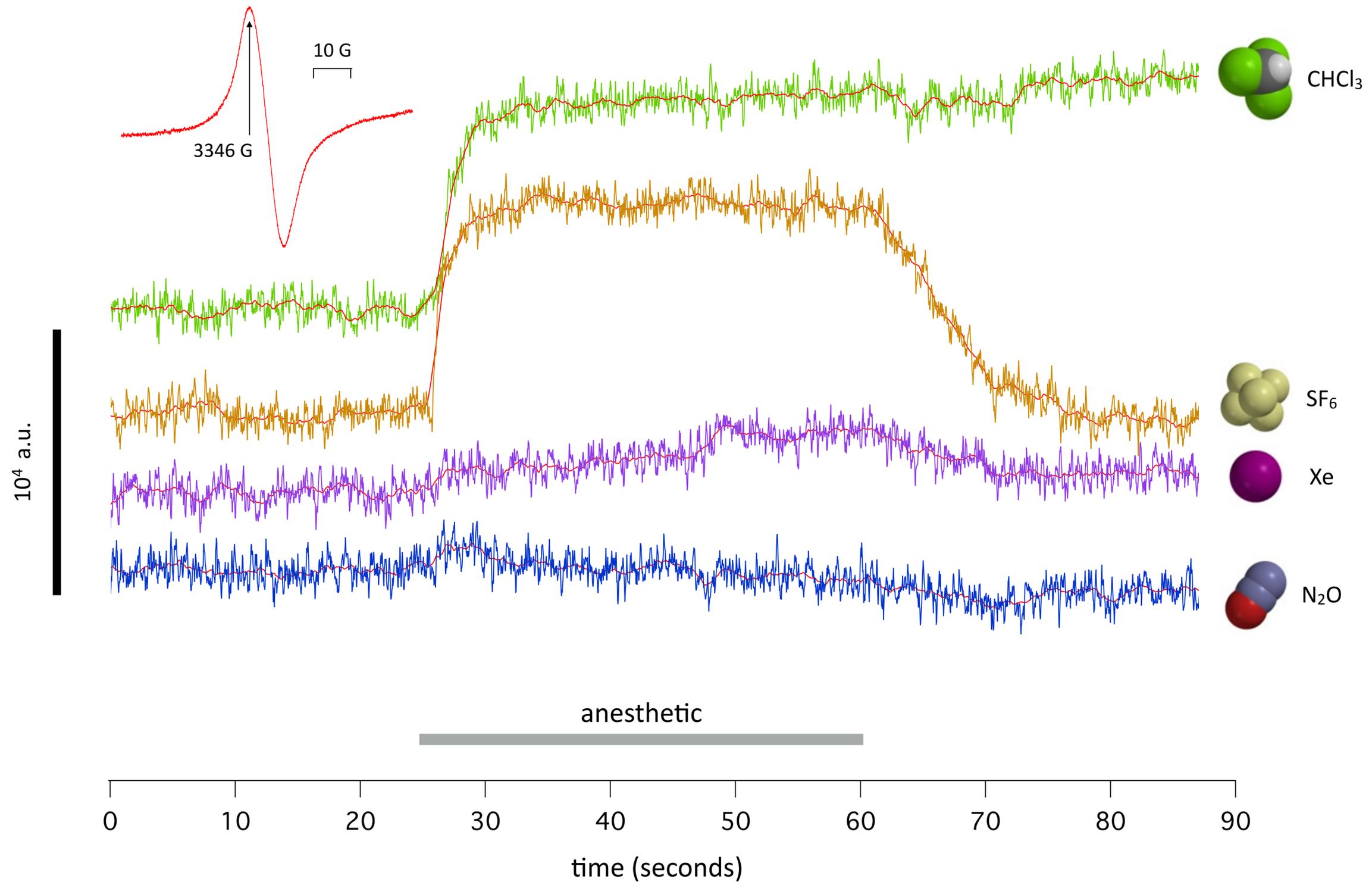
# saturation behaviour of anesthetic signal



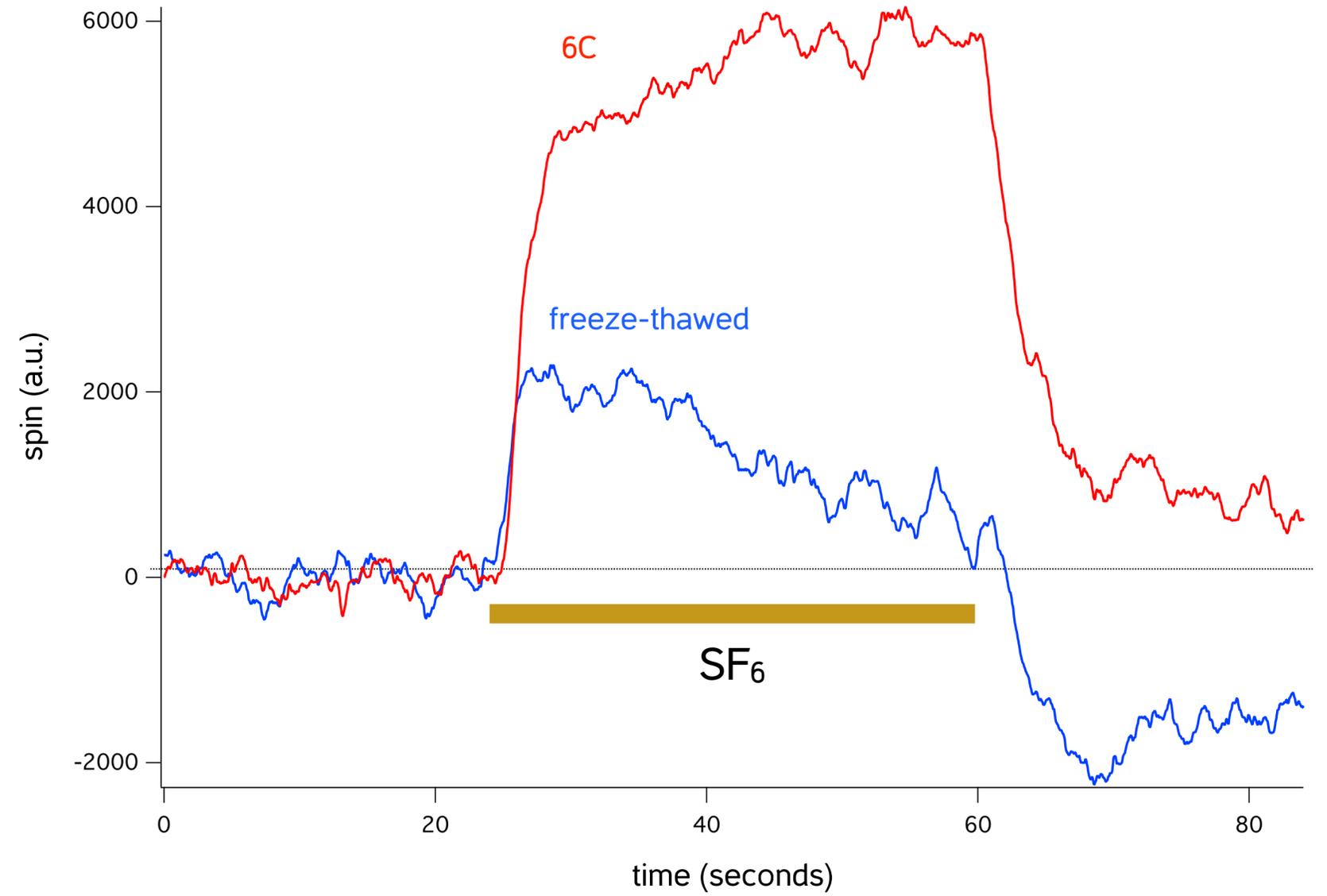
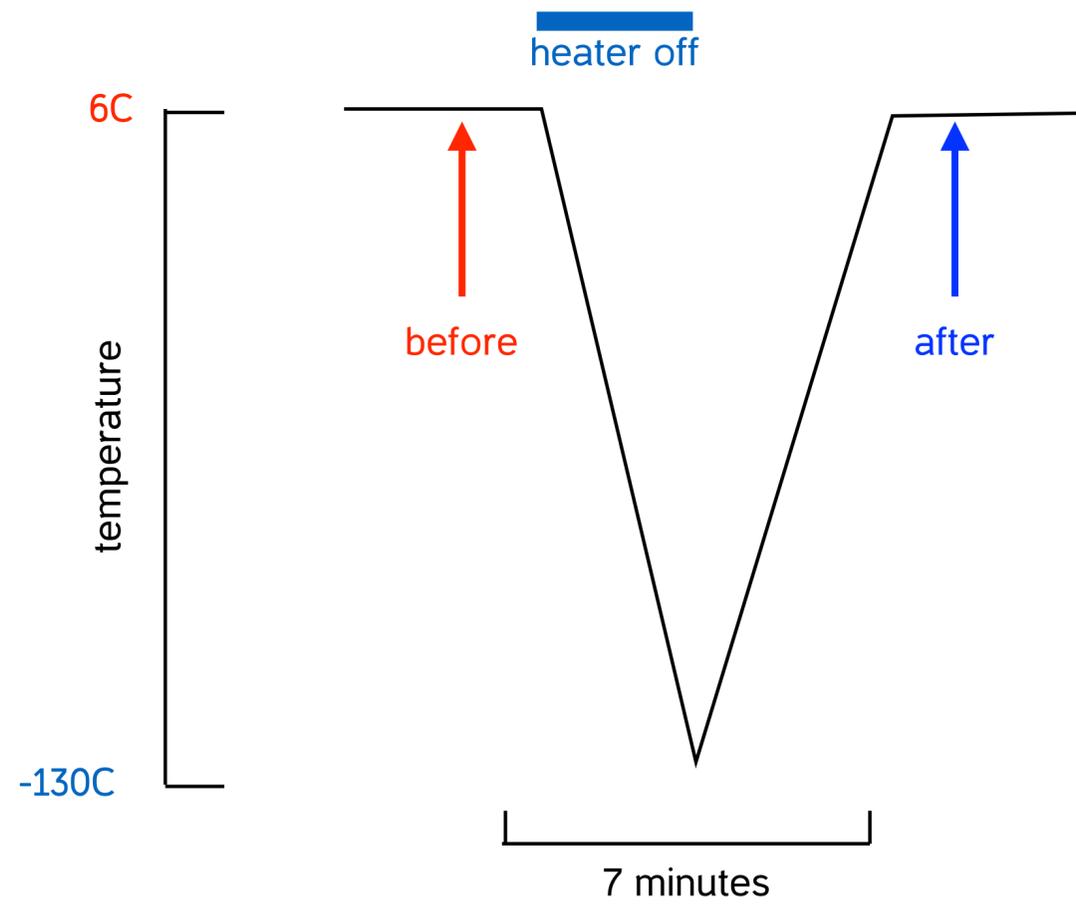
# anesthetic signal size is independent of eumelanin



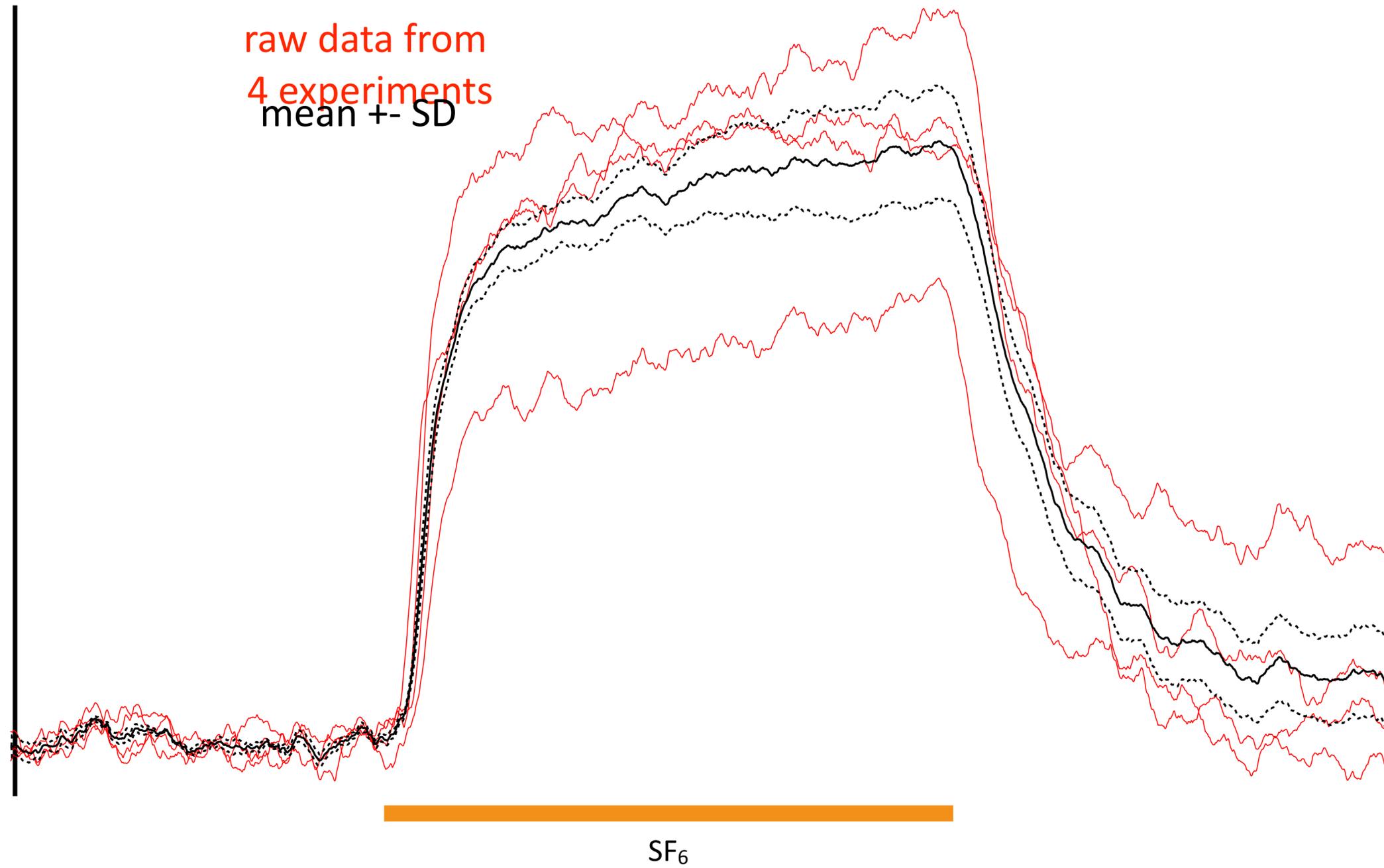
# spin changes with different anesthetics



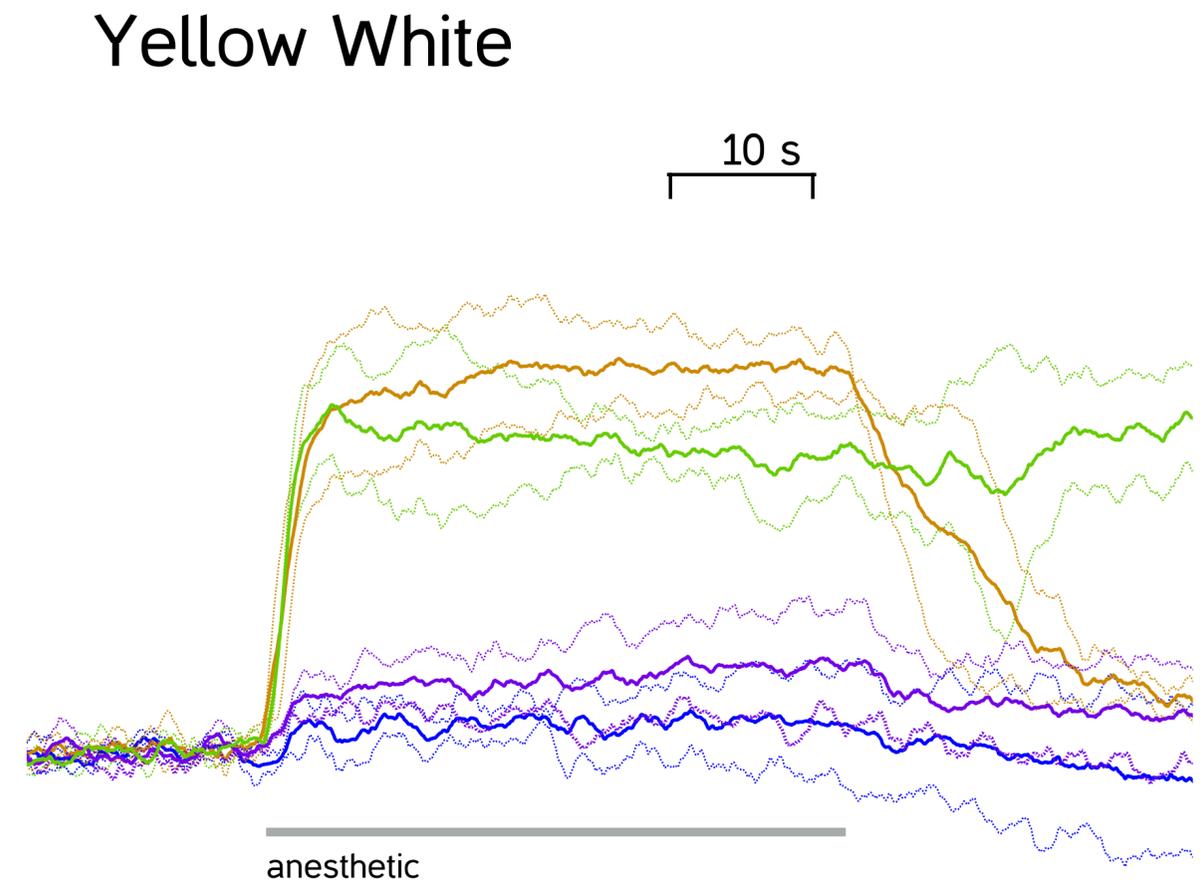
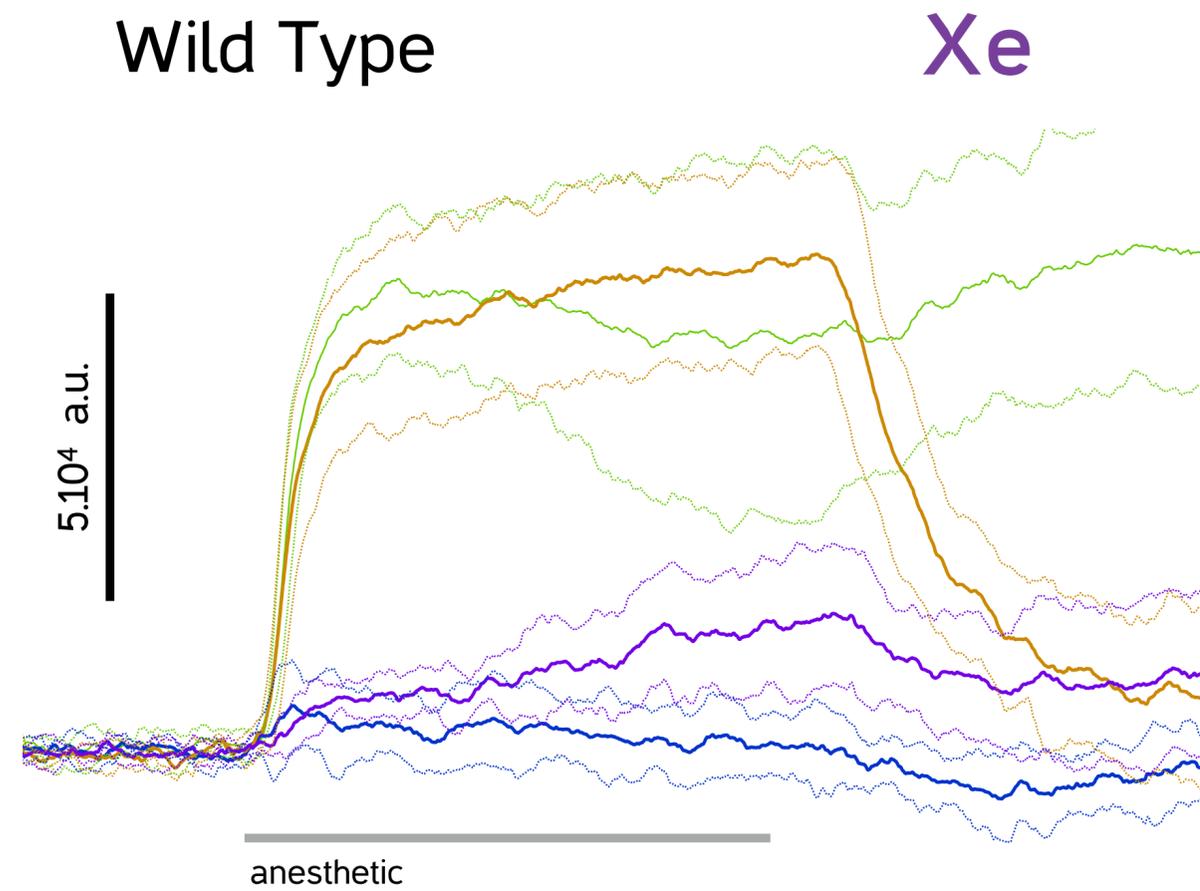
# effect of freeze-thaw on anesthetic signal



# data processing for analysis



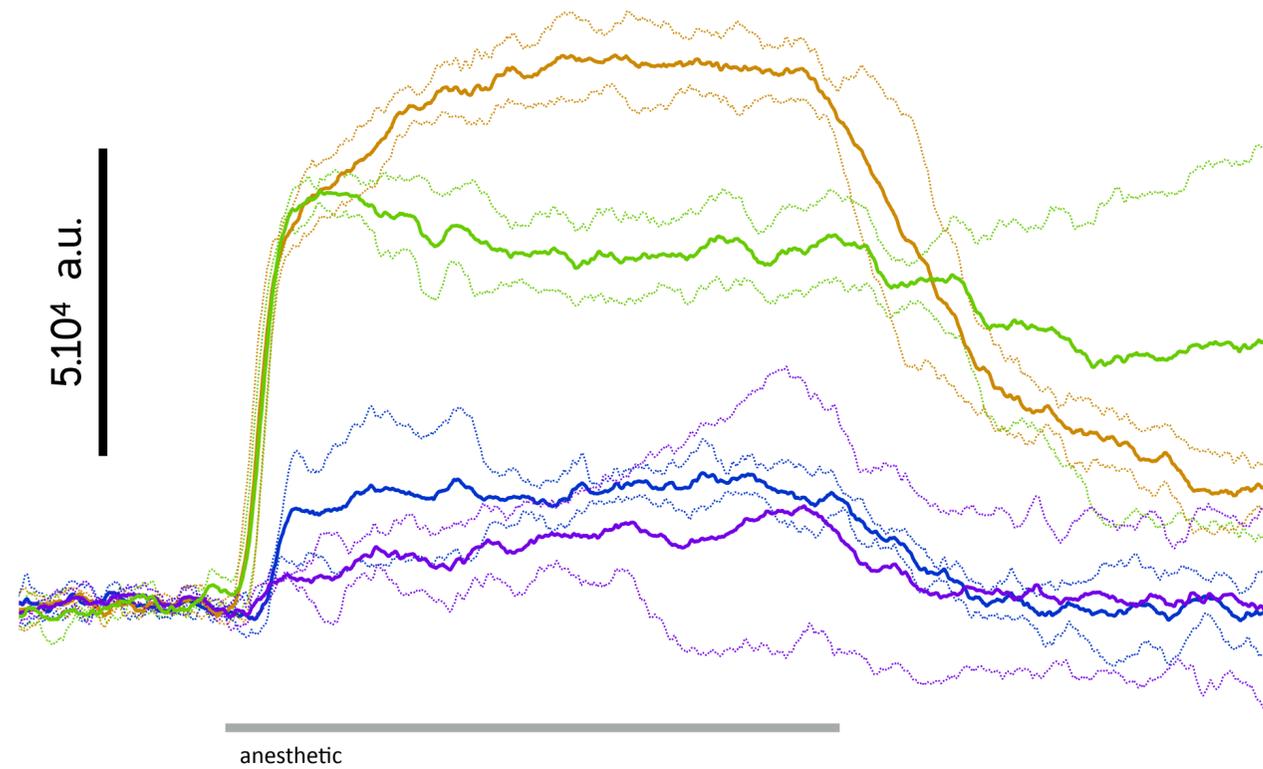
# control responses to general anesthetics



# mutant responses to general anesthetics 1/3

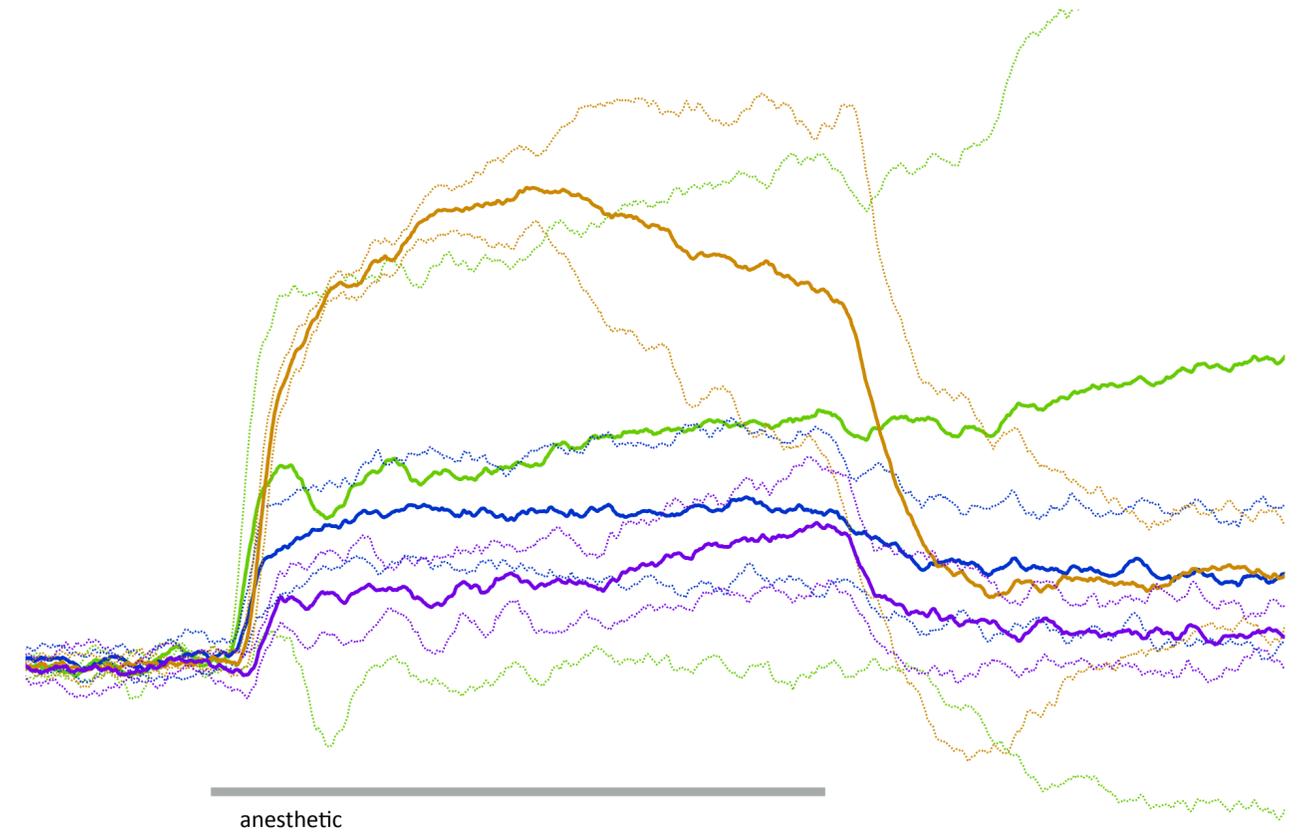
ion channel mutant  
HAR85

$N_2O$



halothane resistant  
AGAR11

$N_2O$  Xe **awake**

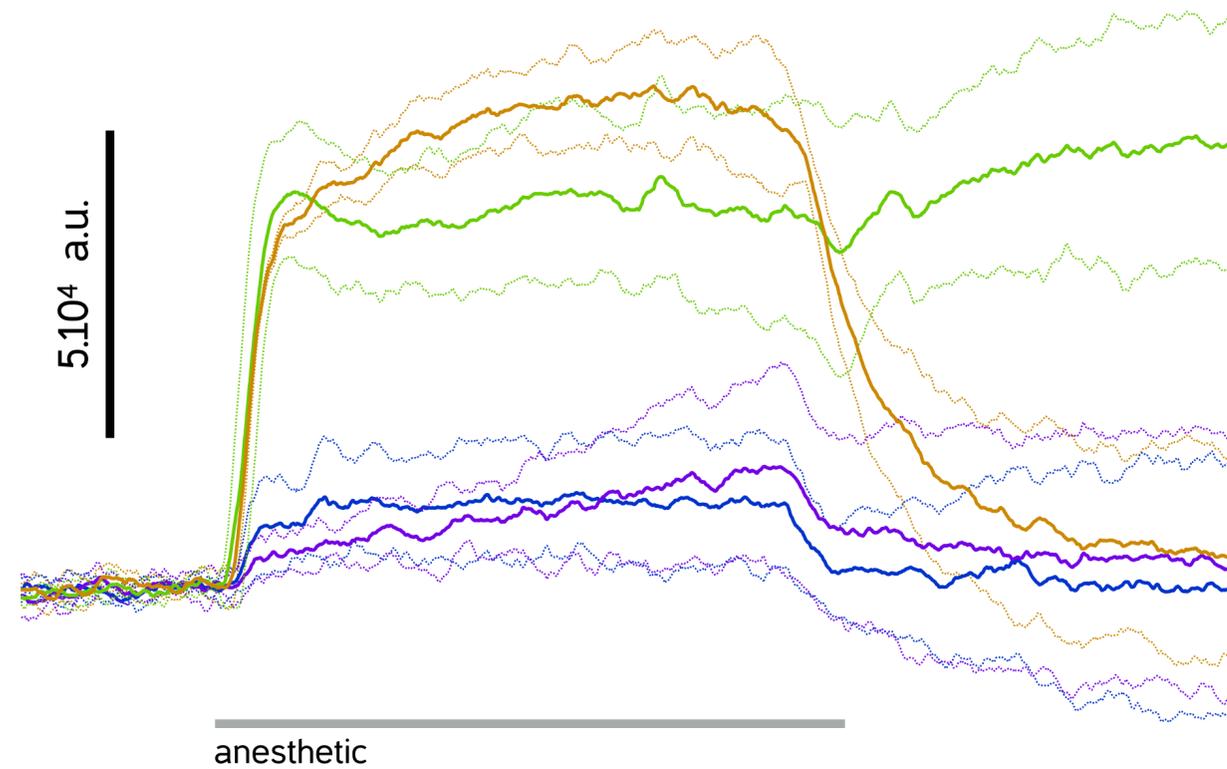


# mutant responses to general anesthetics 2/3

halothane resistant

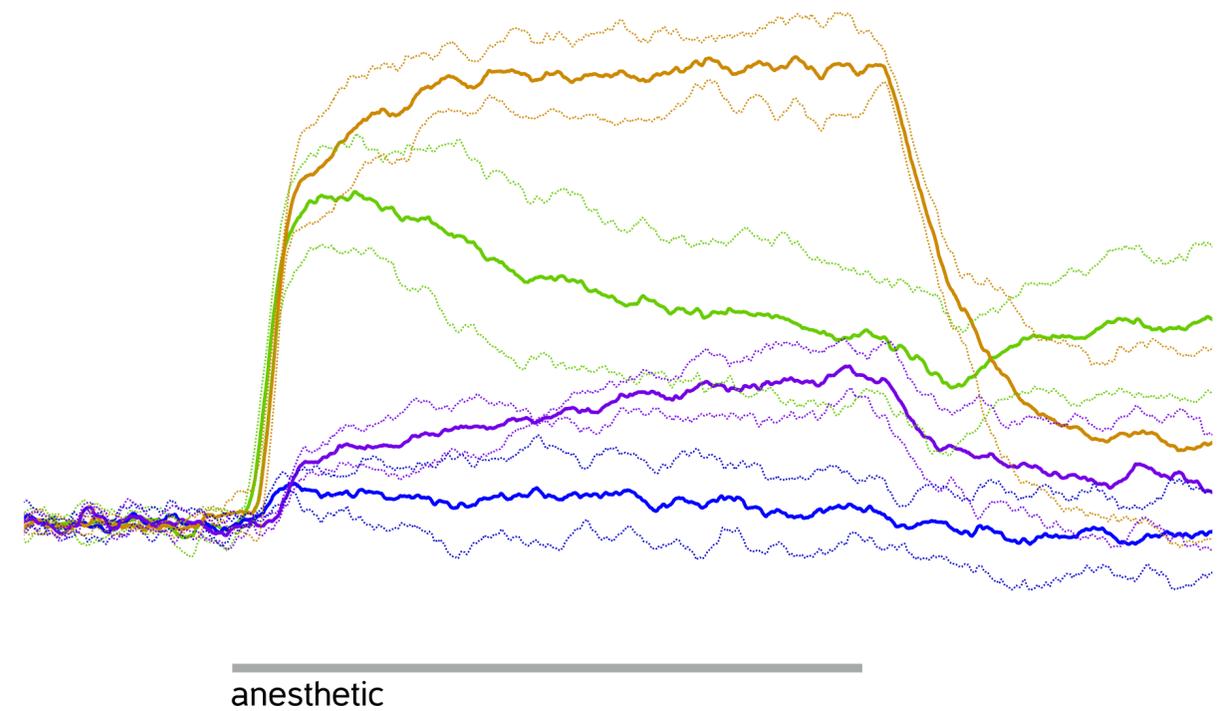
AGAR53

awake



halothane resistant

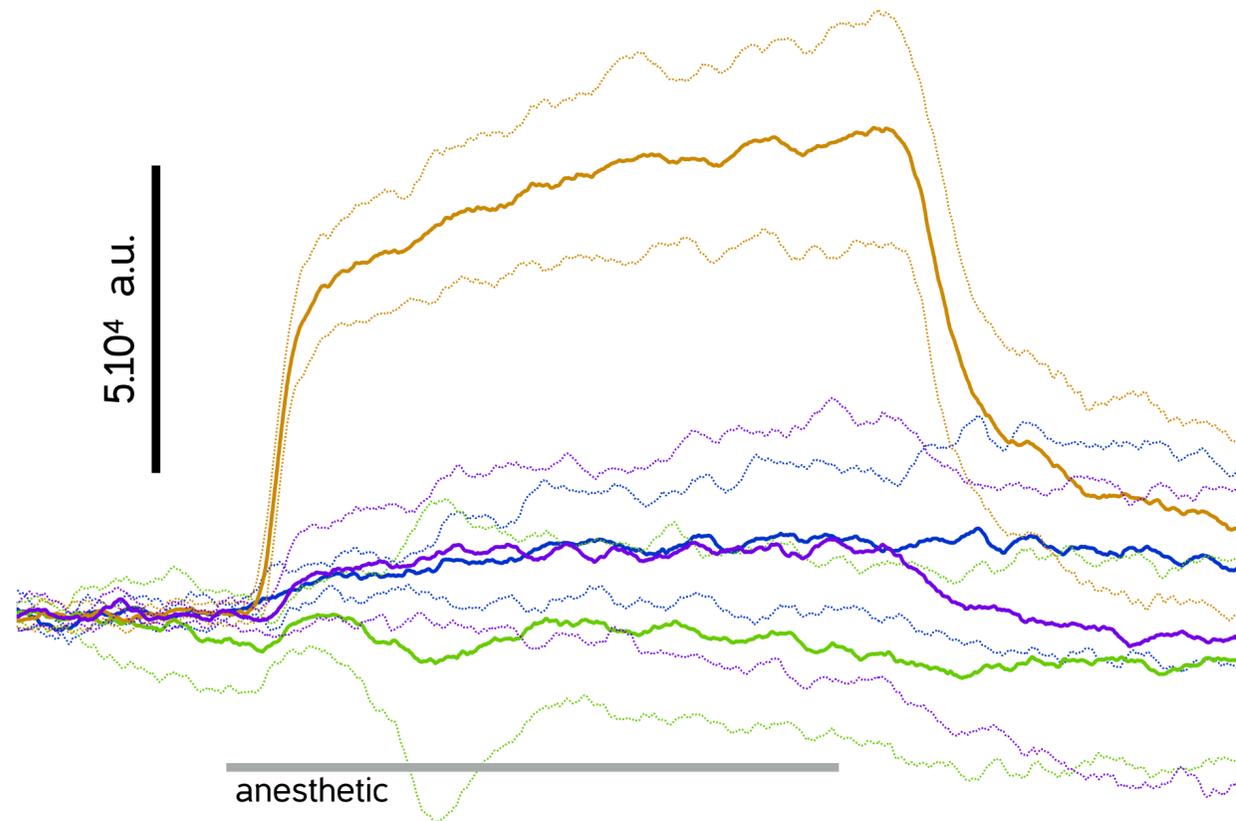
AGAR211 small CCl<sub>3</sub> Xe



# mutant responses to general anesthetics 3/3

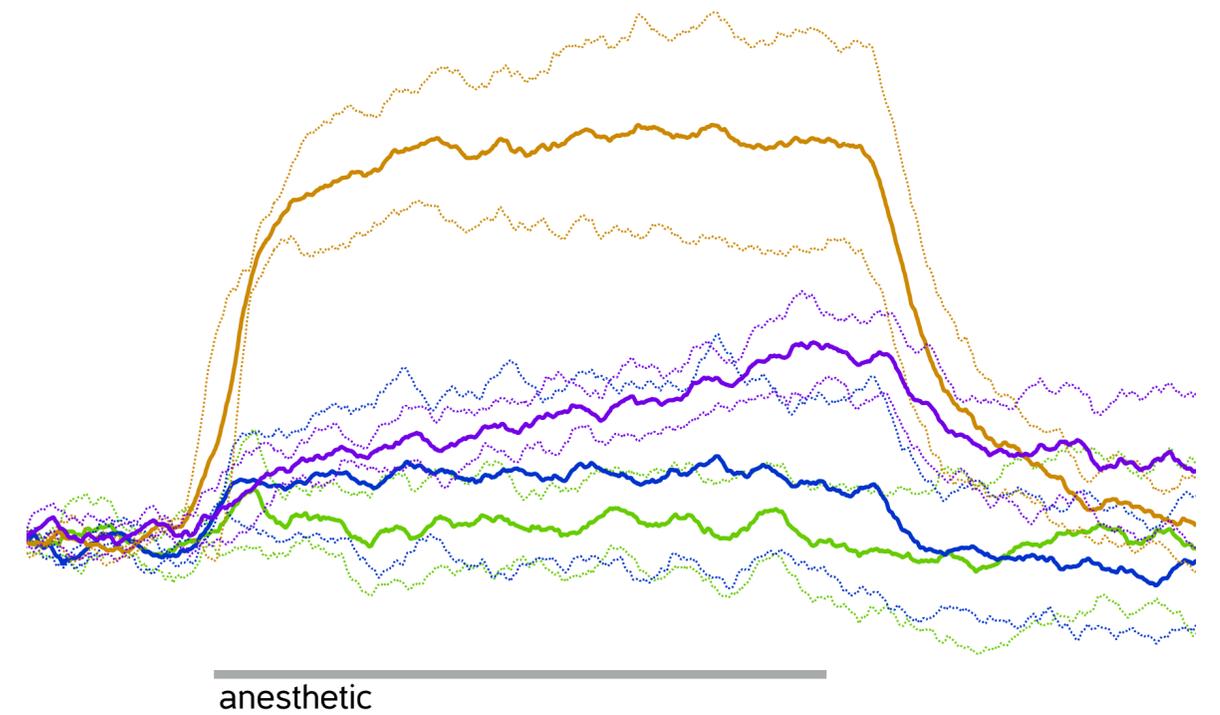
halothane resistant

AGAR21      no  $\text{CCl}_3$     awake



halothane resistant

AGAR52      no  $\text{CCl}_3$     Xe    awake



### Imaging Xe with a Low-Temperature Scanning Tunneling Microscope

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N. D. Lang

*IBM Research Division, Thomas J. Watson Research Center, Yorktown Heights, New York 10598*

(Received 19 October 1990)

We have obtained images of individual Xe atoms absorbed on a Ni(110) surface using a low-temperature scanning tunneling microscope (STM). The atom-on-jellium model has been used to calculate the apparent height of a Xe atom as imaged with the STM and the result is found to be in good agreement with experiment. We conclude that the Xe 6s resonance, although lying close to the vacuum level, is the origin of the Fermi-level local state density which renders Xe "visible" in the STM.

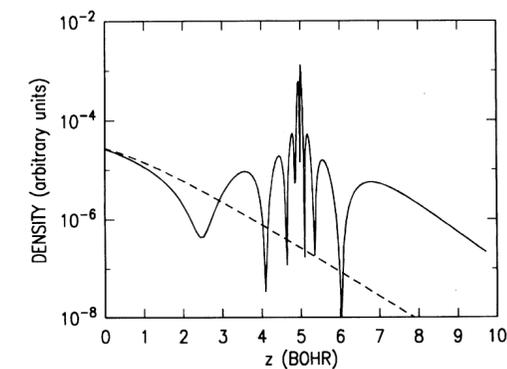
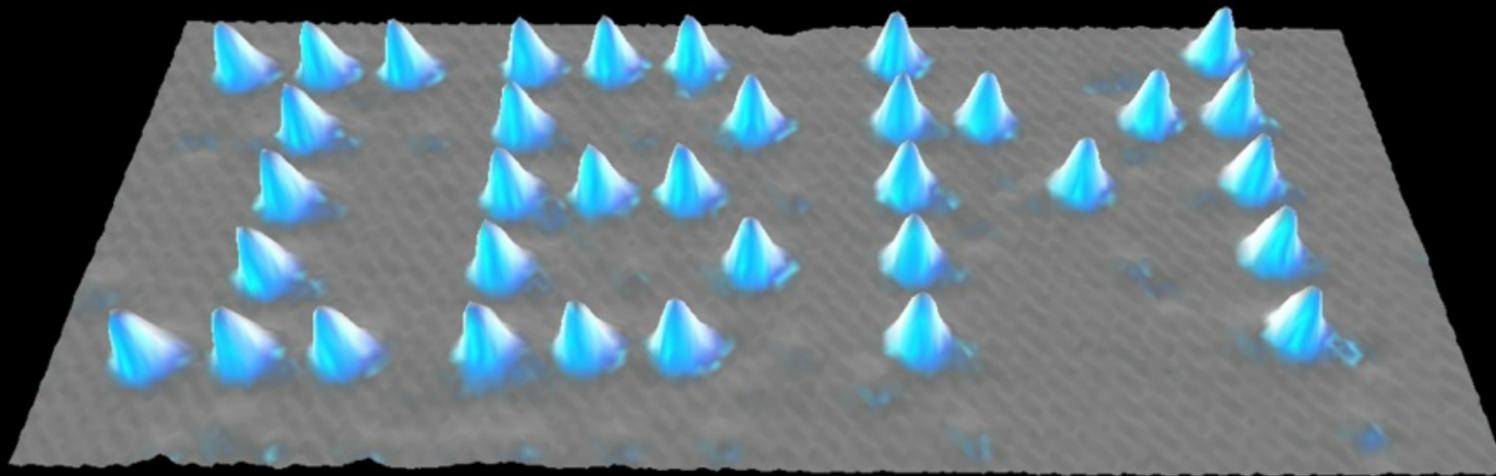


FIG. 2. The Fermi-level conduction-electron density along a normal to the surface through the nucleus of a Xe atom adsorbed at a distance of 5 bohrs from a metal modeled as  $r_s = 2$  jellium (solid curve). The bare-metal density (dashed curve) is shown in order to emphasize the form and extent of the conduction-electron density redistribution. The conduction electrons extend further out into the vacuum at the Xe atom.

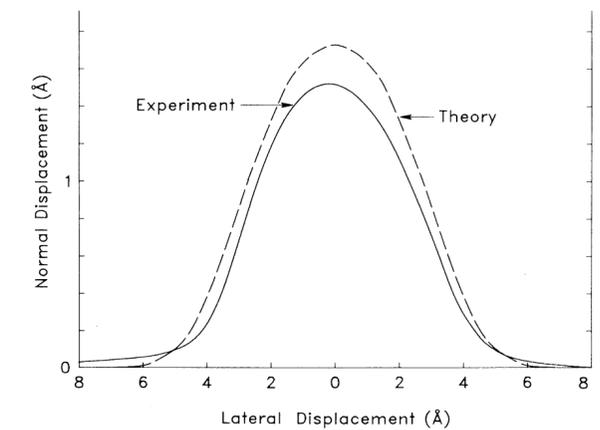
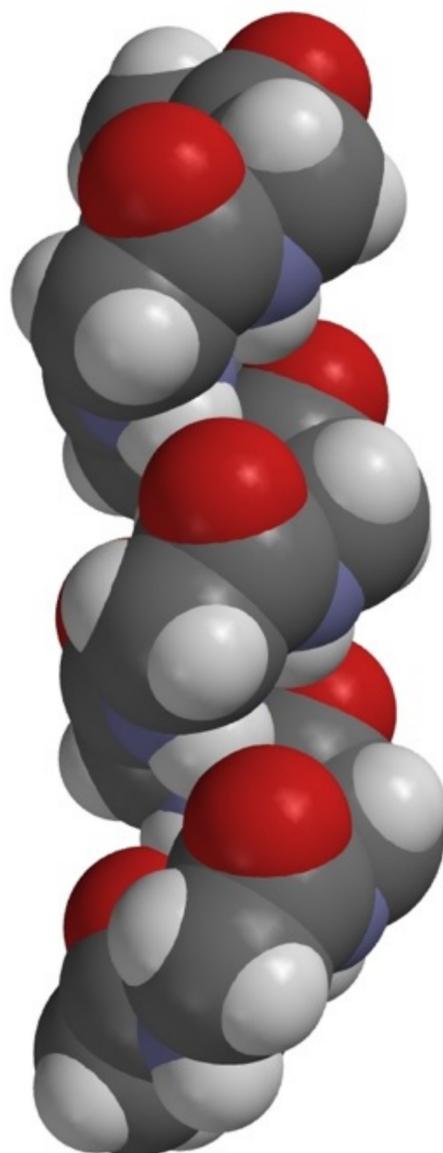


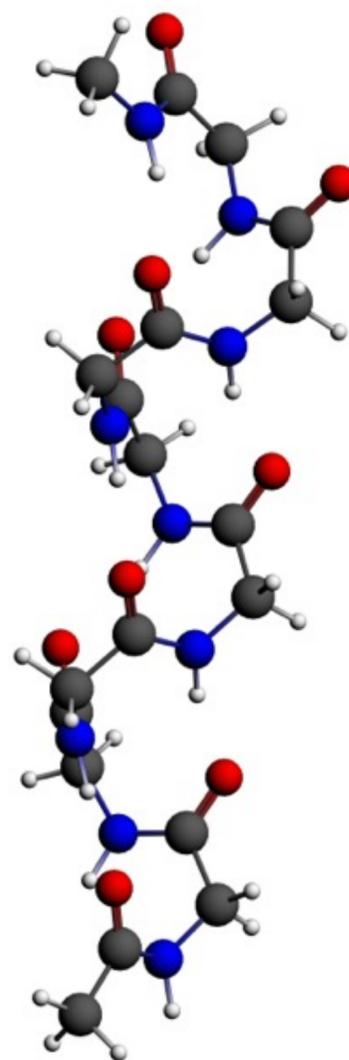
FIG. 3. A comparison of theoretical and experimental normal tip displacement ( $\text{\AA}$ ) vs lateral tip displacement ( $\text{\AA}$ ) curve for Xe adsorbed on a metal surface. The experimental curve is derived by taking a slice out of the data presented in Fig. 1. The theoretical curve is calculated using the atom-on-jellium model of Lang (Refs. 2 and 3) as described in the text.

# CH<sub>3</sub>-terminated 5-gly alpha helix

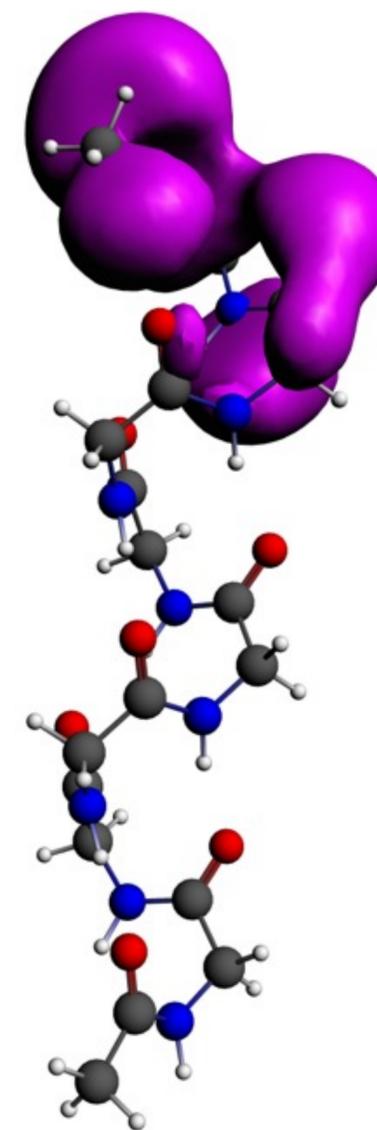
VdW radii



ball and stick

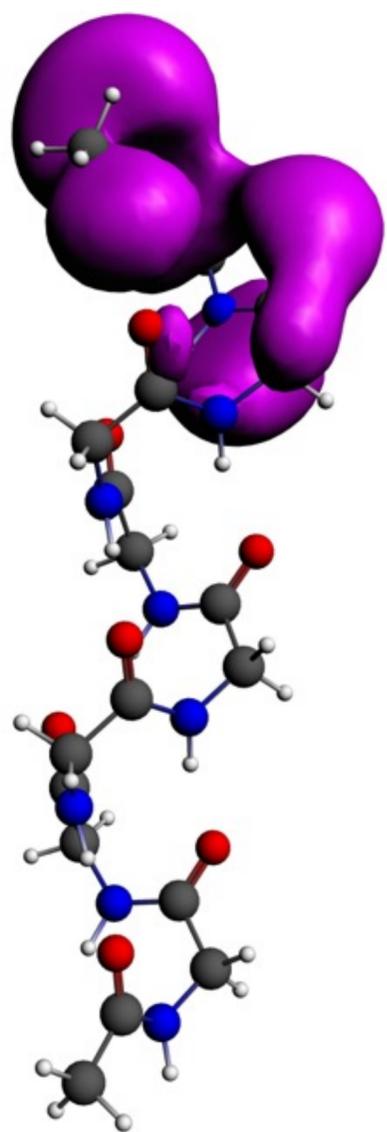


HOMO\*

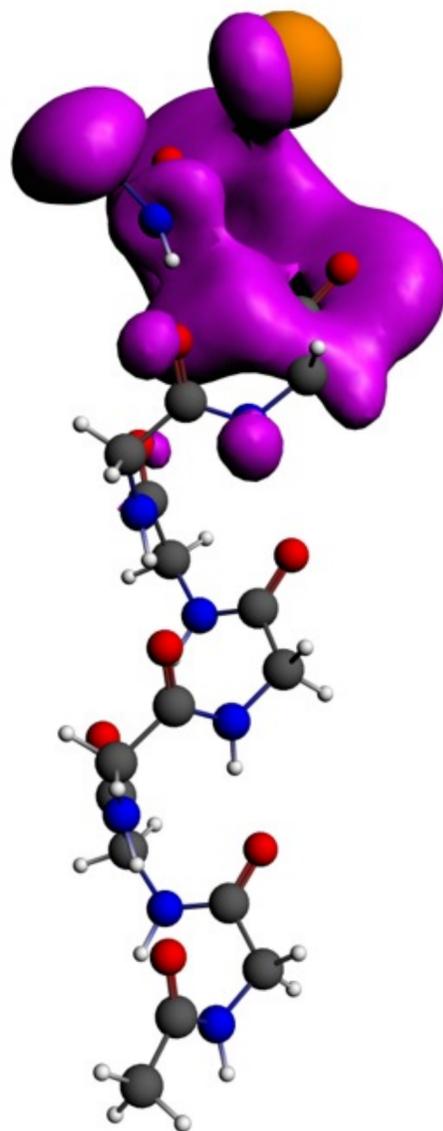


# effect of anesthetics on helix HOMO

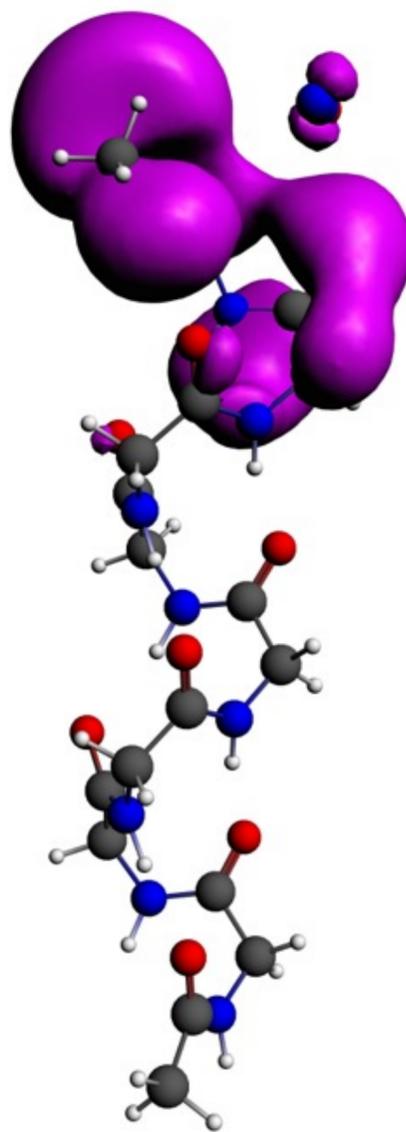
vacuum



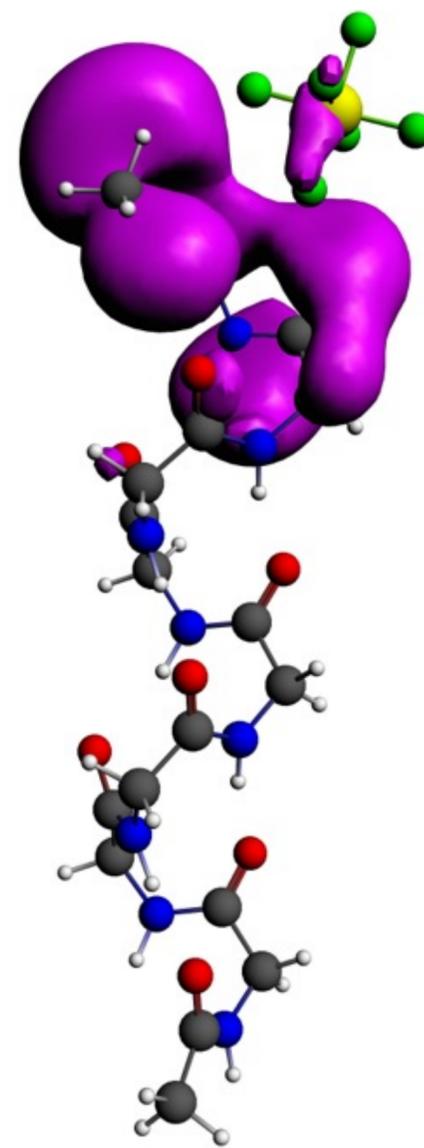
Xe



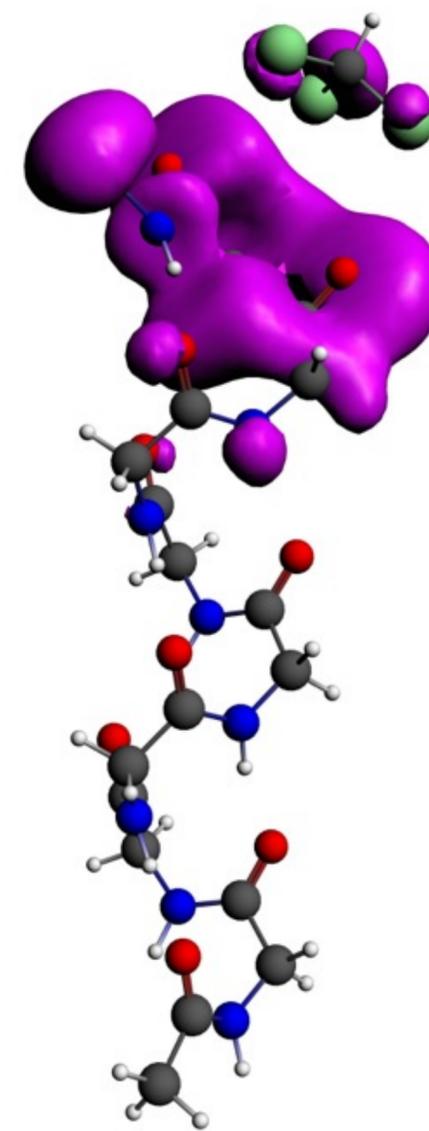
N<sub>2</sub>O



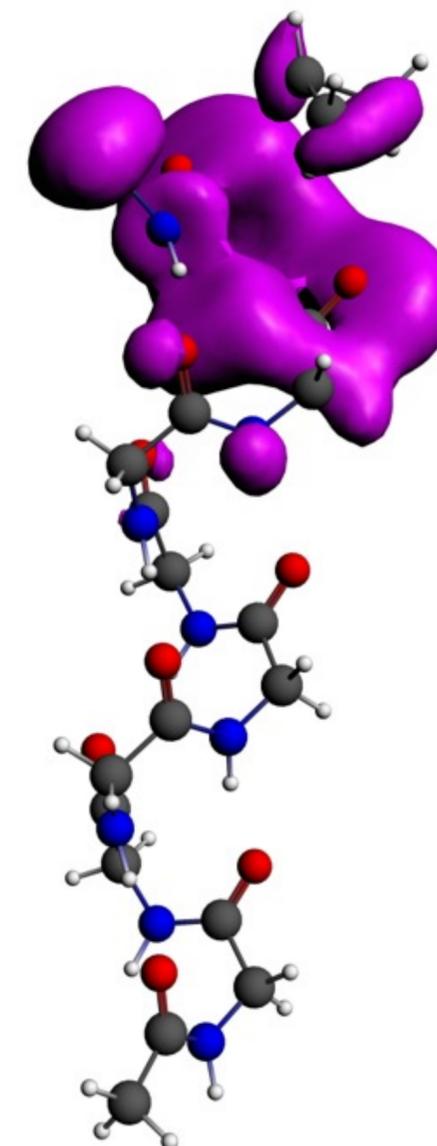
SF<sub>6</sub>



CHCl<sub>3</sub>

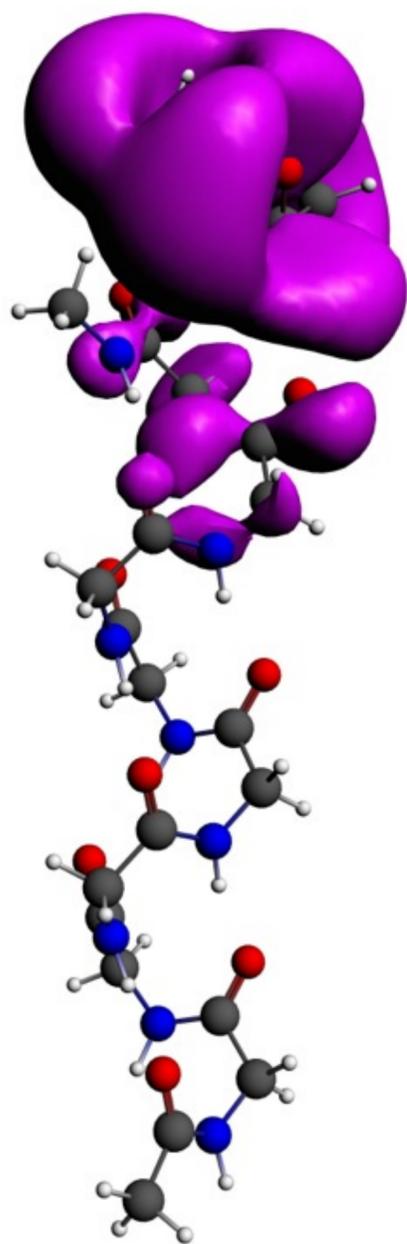


C<sub>3</sub>H<sub>6</sub>

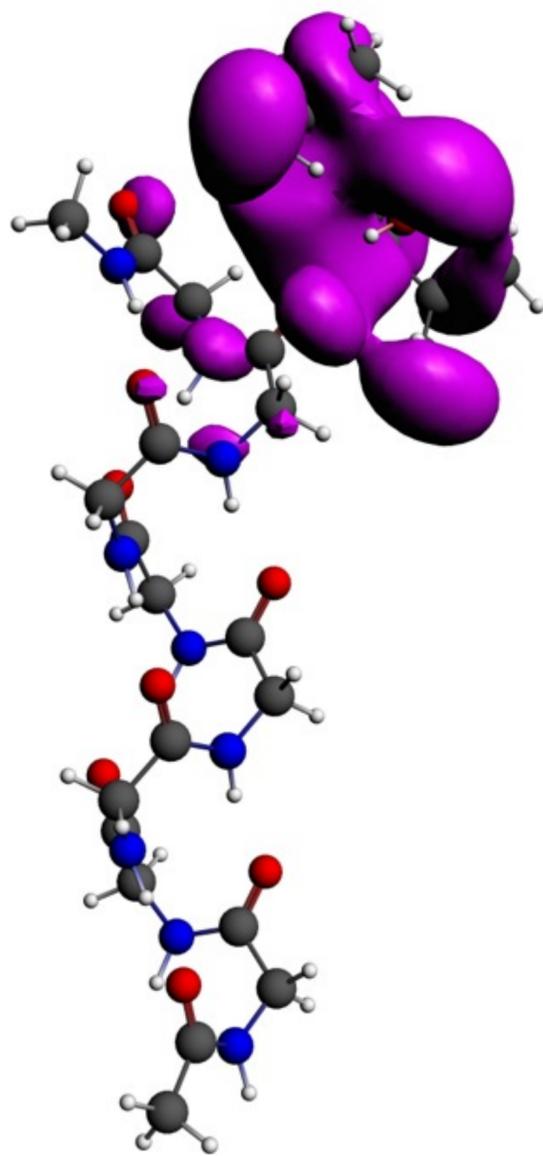


# effect of anesthetics on helix HOMO

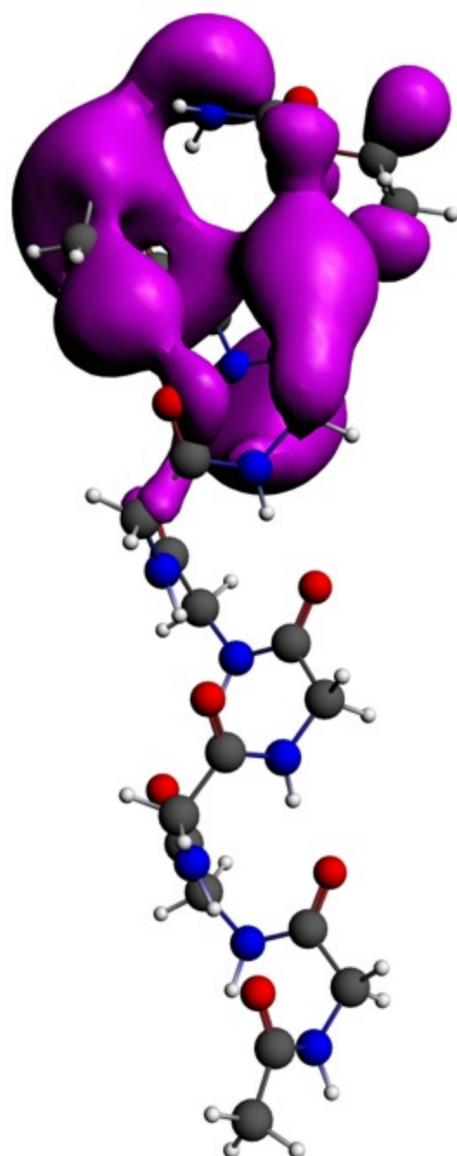
ether



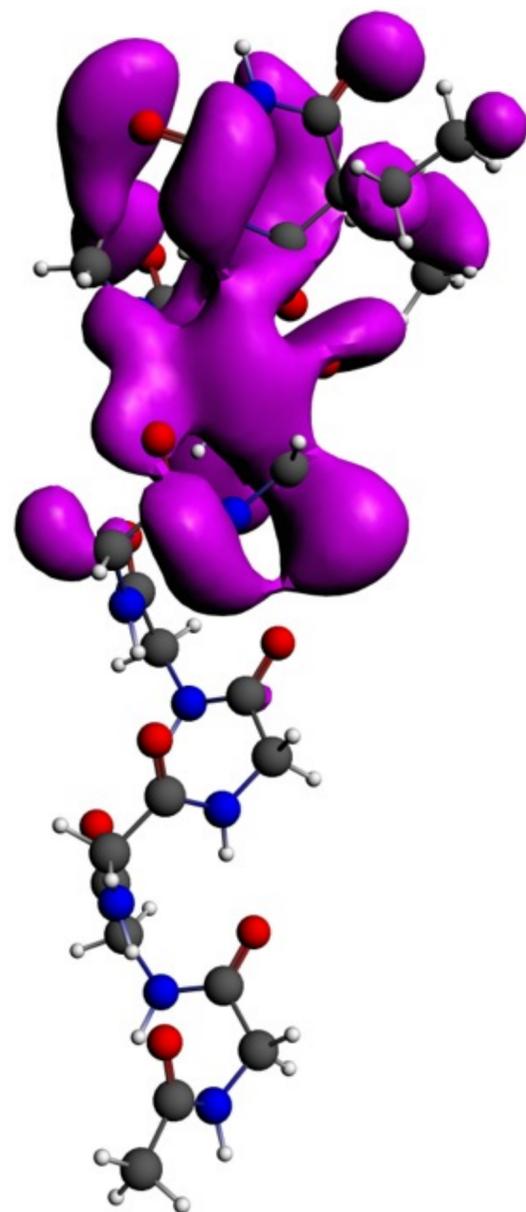
propofol



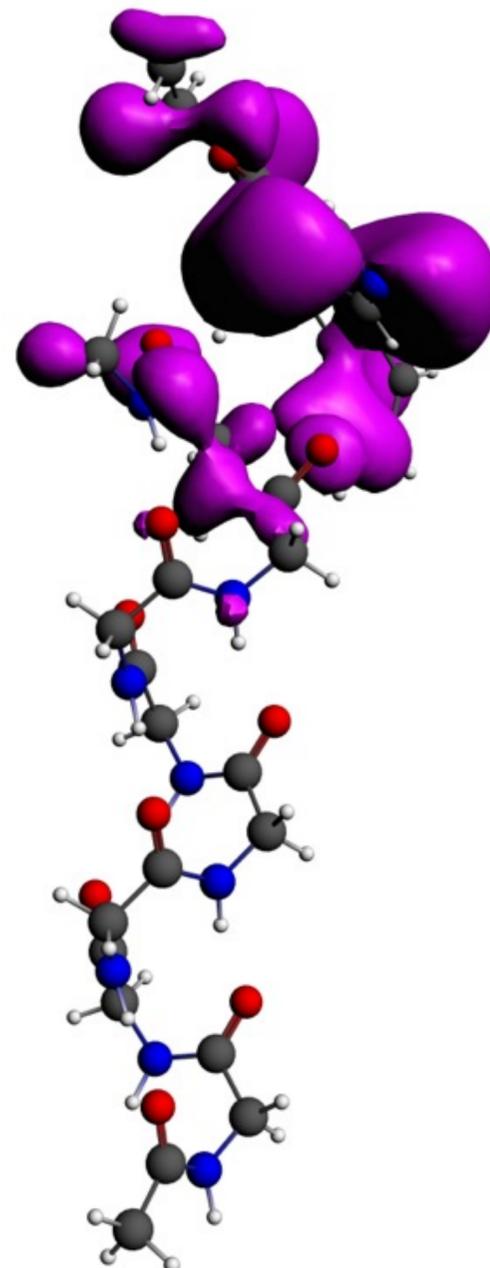
urethane



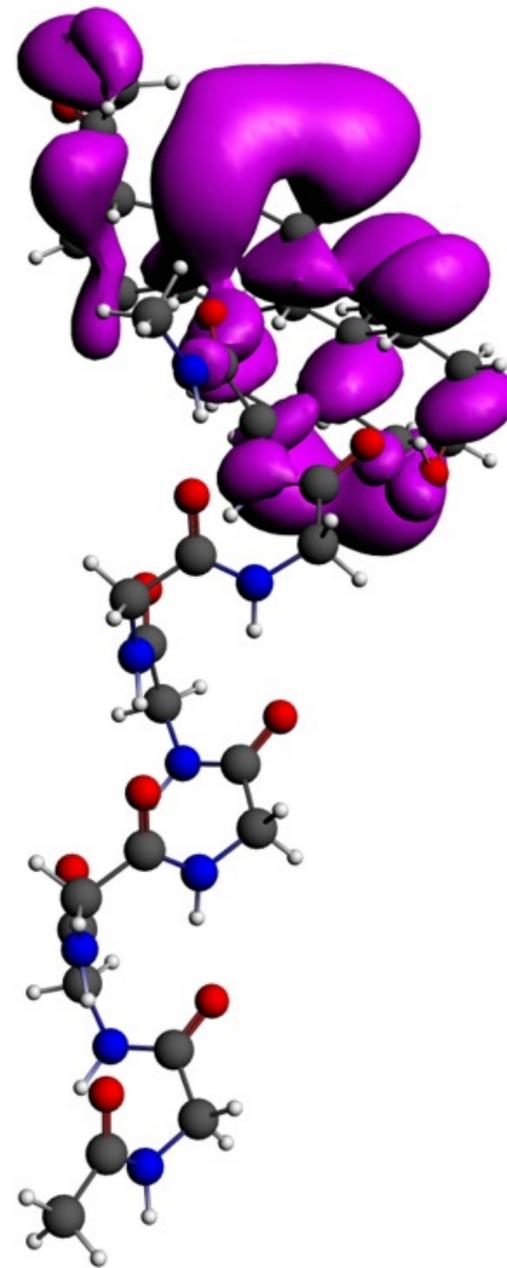
barbital



etomidate

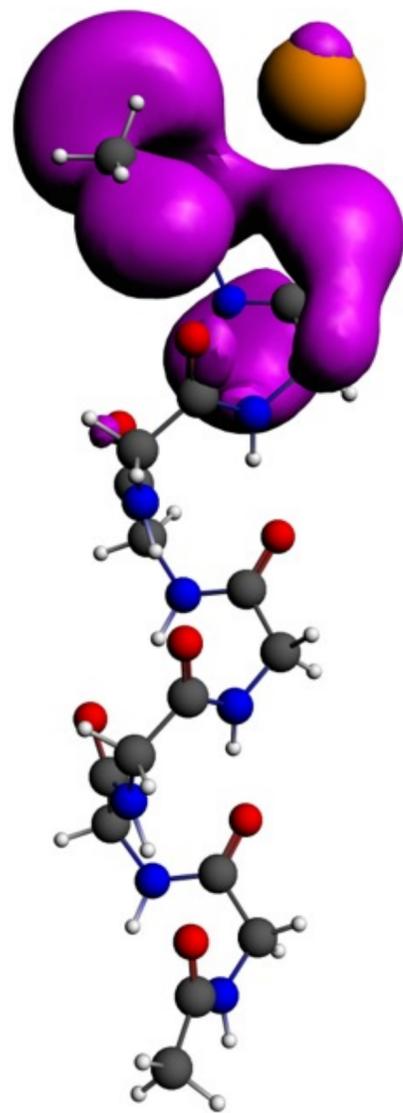


alfaxalone

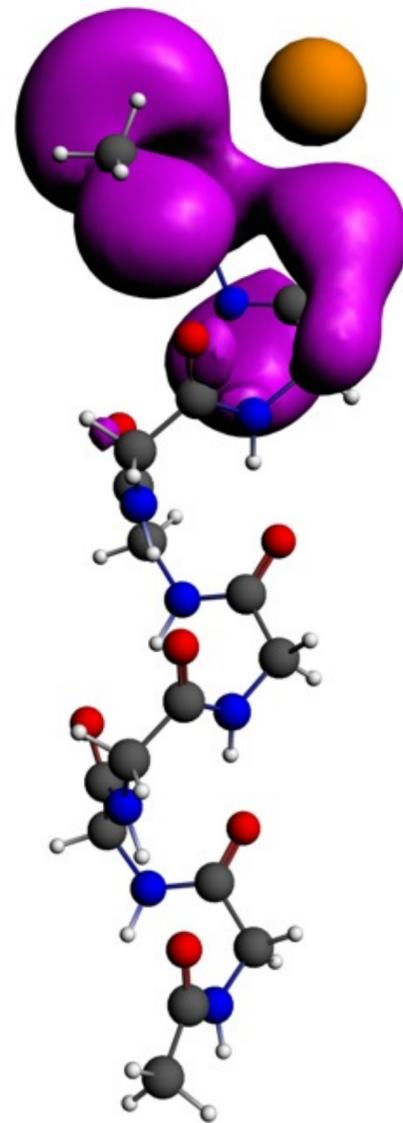


# effect of diving gases on helix HOMO

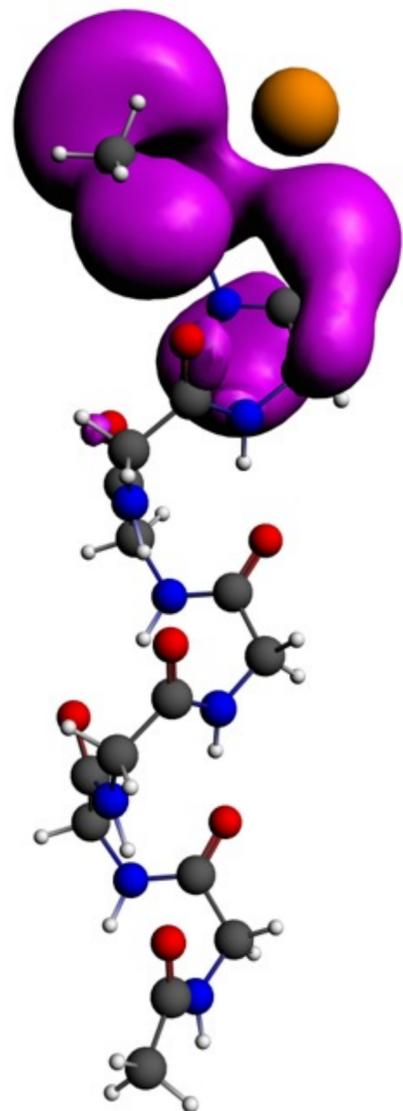
Kr



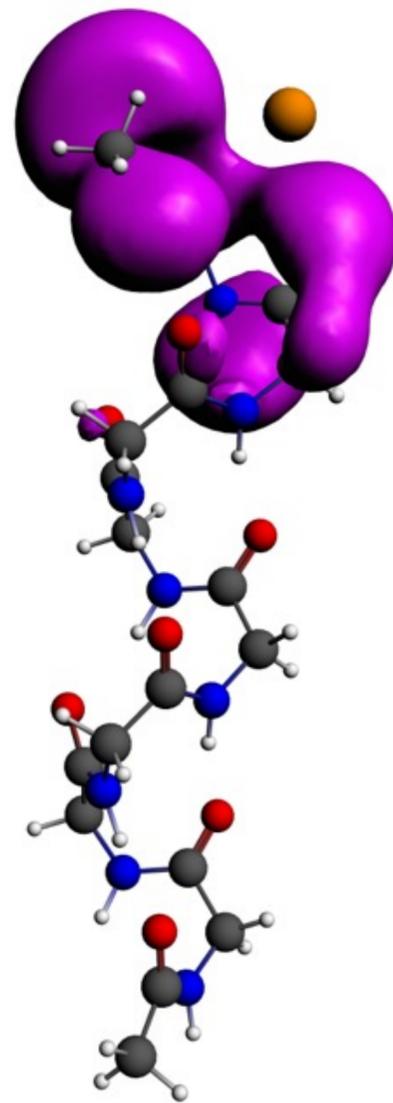
Ar



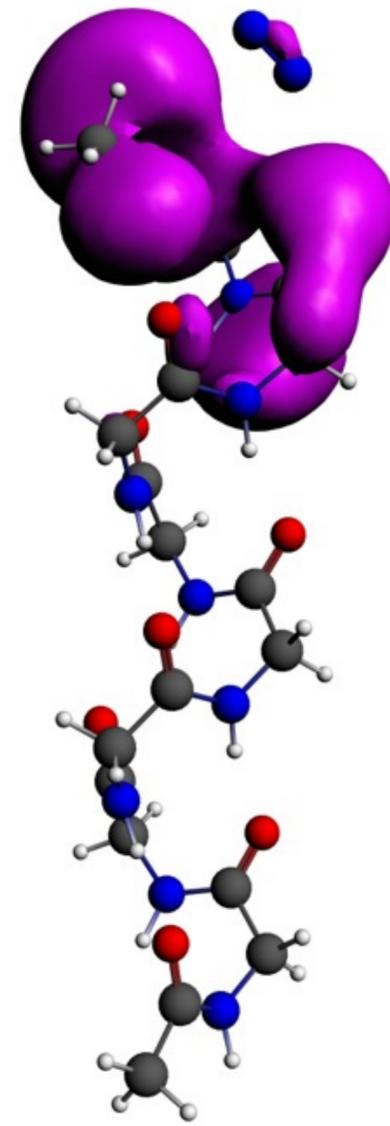
Ne



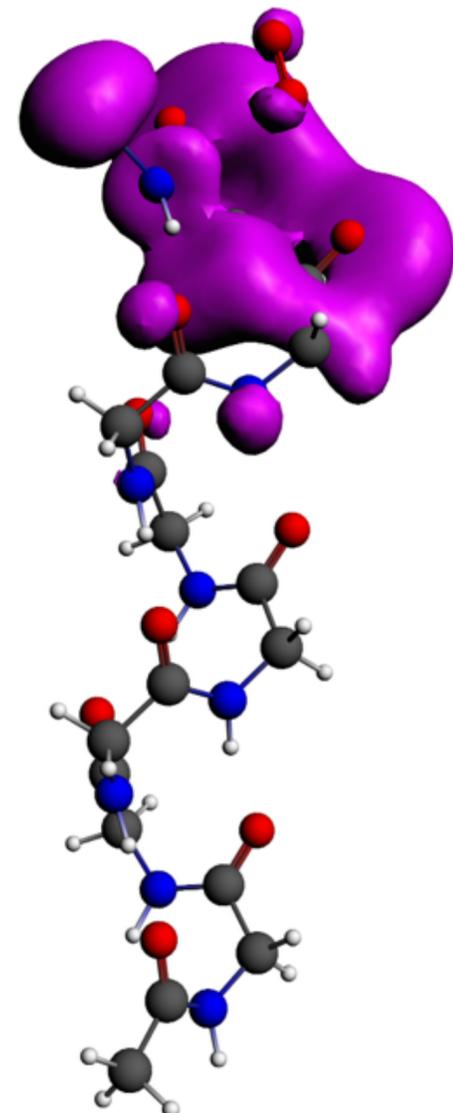
He



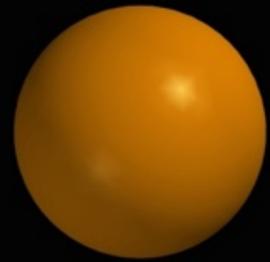
N<sub>2</sub>



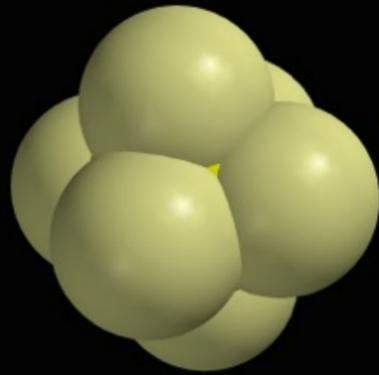
O<sub>2</sub>



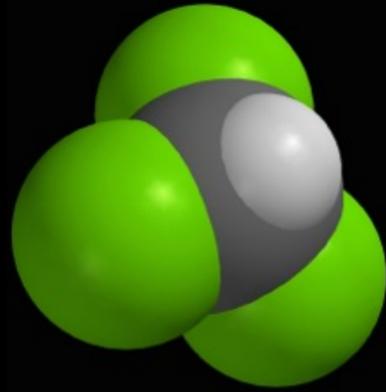
# Così Fan Tutti



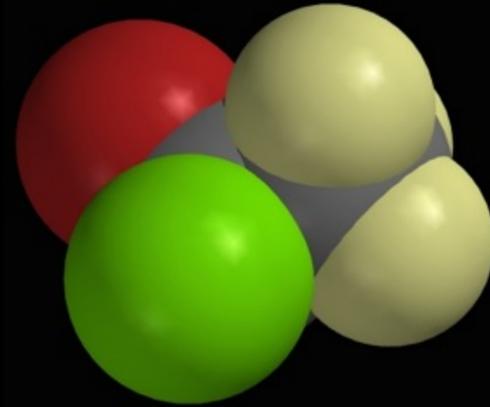
Xe



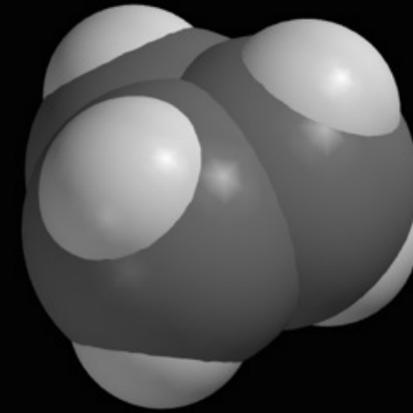
SF<sub>6</sub>



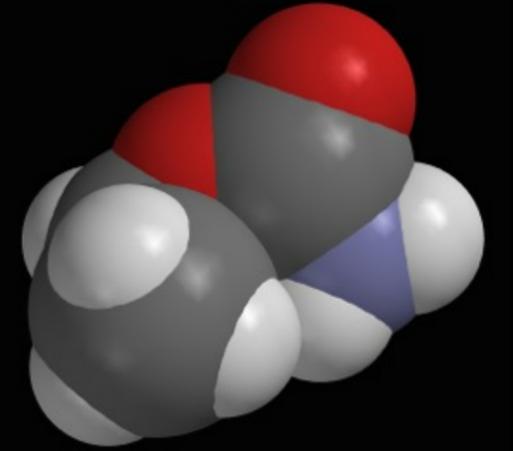
CHCl<sub>3</sub>



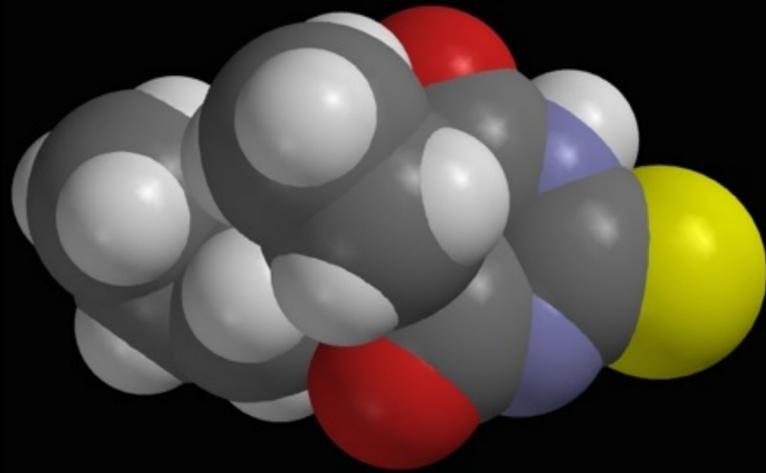
halothane



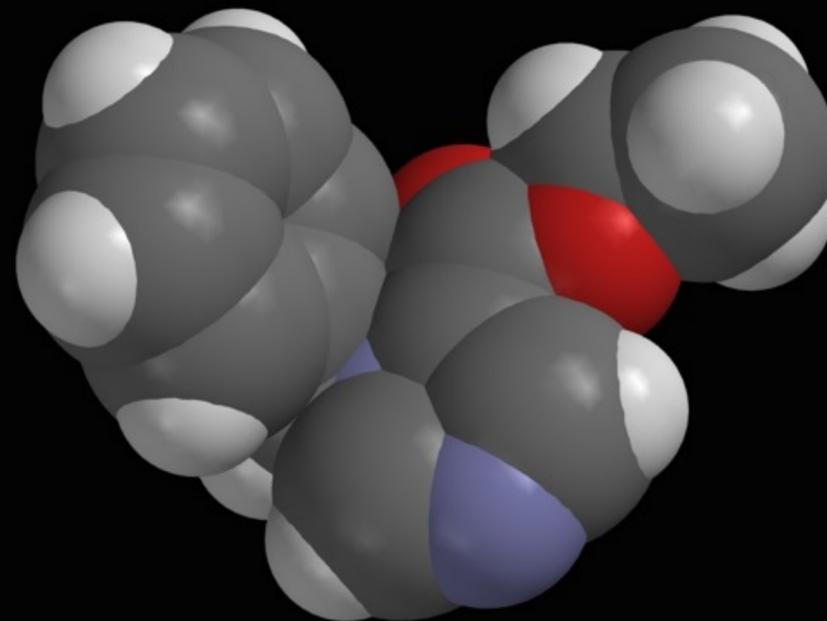
cyclopropane



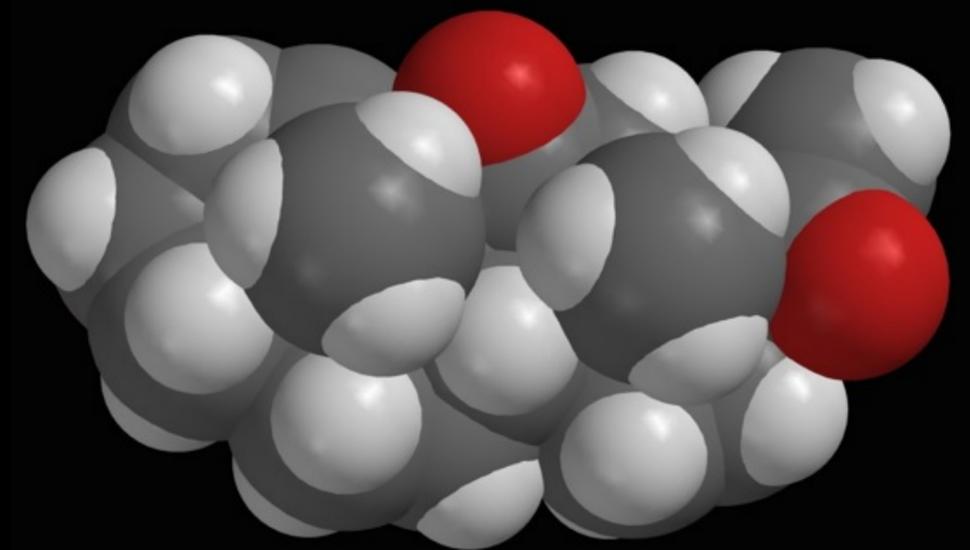
urethane



thiopental



etomidate



alfaxalone

## in conclusion

- general anesthetics cause a change in electron spin
- which is sometimes absent or different in resistant mutants
- they all perturb the electronic structure of proteins



Landauer Limit:  $kT \ln 2$  or  $\sim 18$  meV at 300K

ATP hydrolysis energy:  $\sim 600$  meV  $\sim 33$  times LL

Anesthetic-sensitive energy consumption of **1 g** of brain  $\sim 10$  mW or

**$\sim 10^{17}$  bits/s**

$10^8$  neurons/g, so per neuron

**$10^9$  bits/sec** as opposed to current  $10^3$

Thank You