

Nuclear Spins in Quantum Dots and Interacting 2DEGs

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Outline

A) Motivation

Spin decoherence in GaAs quantum dots

Nuclear spins and hyperfine induced decoherence

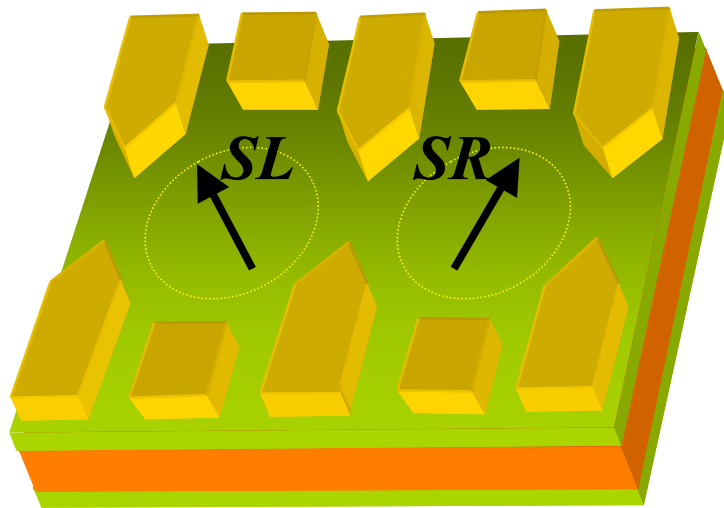
spin $\frac{1}{2}$ in single dot and state narrowing in double dots

B) Ferromagnetic phase transition in nuclear spin system

- Kondo lattice model
- RKKY interaction
- Spin wave analysis and Curie temperature
- correlations in 2DEG

Spin-Qubits from Electrons

DL & DiVincenzo, PRA **57** (1998)



Spin 1/2 of electron = qubit

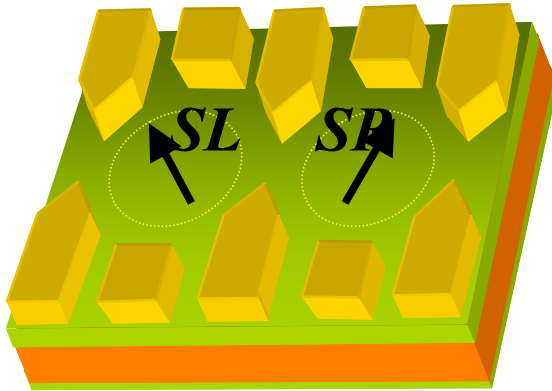
Quantum gates based on exchange interaction:

$$H(t) = J(t) \mathbf{S}_L \cdot \mathbf{S}_R$$

electrically controlled

Spin-Qubits from Electrons

DL & DiVincenzo, 1998



- qubit: Spin-1/2 g.s. of N (odd) electron system (Kramers doublet)
'simplest' case: $N=1$, i.e. $|0\rangle = \uparrow$, $|1\rangle = \downarrow$

- single spin read out via spin-charge conversion \rightarrow Elzerman *et al.*, '04 ($T_1=0.1$ s)

$$H = \sum_{\langle ij \rangle} J_{ij}(t) \vec{S}_i \cdot \vec{S}_j + \sum_i (g_i \mu_B \vec{B}_i)(t) \cdot \vec{S}_i$$

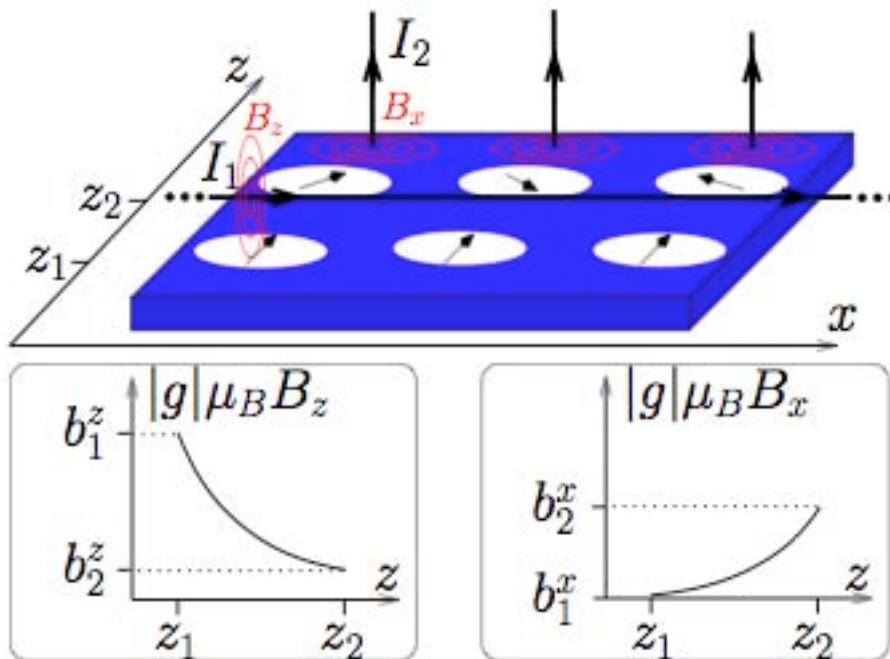
2-qubit gate
'sqrt of swap' ($\tau_s \approx 100$ ps)
Petta *et al.*, Science '05

1-qubit gate
via ESR for single spin ($T_2 \approx 1 \mu$ s)
Koppens *et al.*, Nature '06

$$\rightarrow T_2/T_s \sim 10^4$$

Single-Spin Rotations by Exchange

Coish & DL, cond-mat/0606550



Requires auxiliary spins,
Zeeman gradient & **exchange**
→ fast switching times (1ns)
with high fidelity ($< 10^{-3}$)

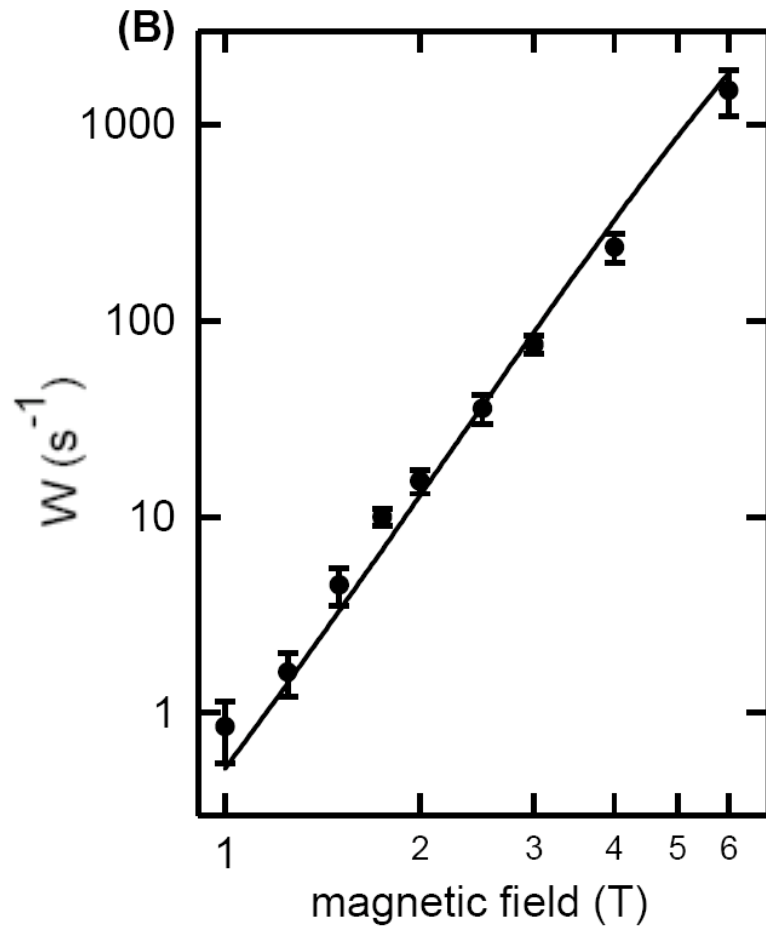
Relaxation of *spin* in GaAs quantum dots dominated by spin-orbit & phonons with ultra-long relaxation times T_1 :

$$T_1 \sim O(s) \text{ for } B \sim 1T$$

Amasha *et al.*,
cond-mat/0607110

Current record: $T_1 > 1 \text{ s}$ ($B \approx 1 \text{ T}$)

Amasha, Kastner & Zumbühl, '07

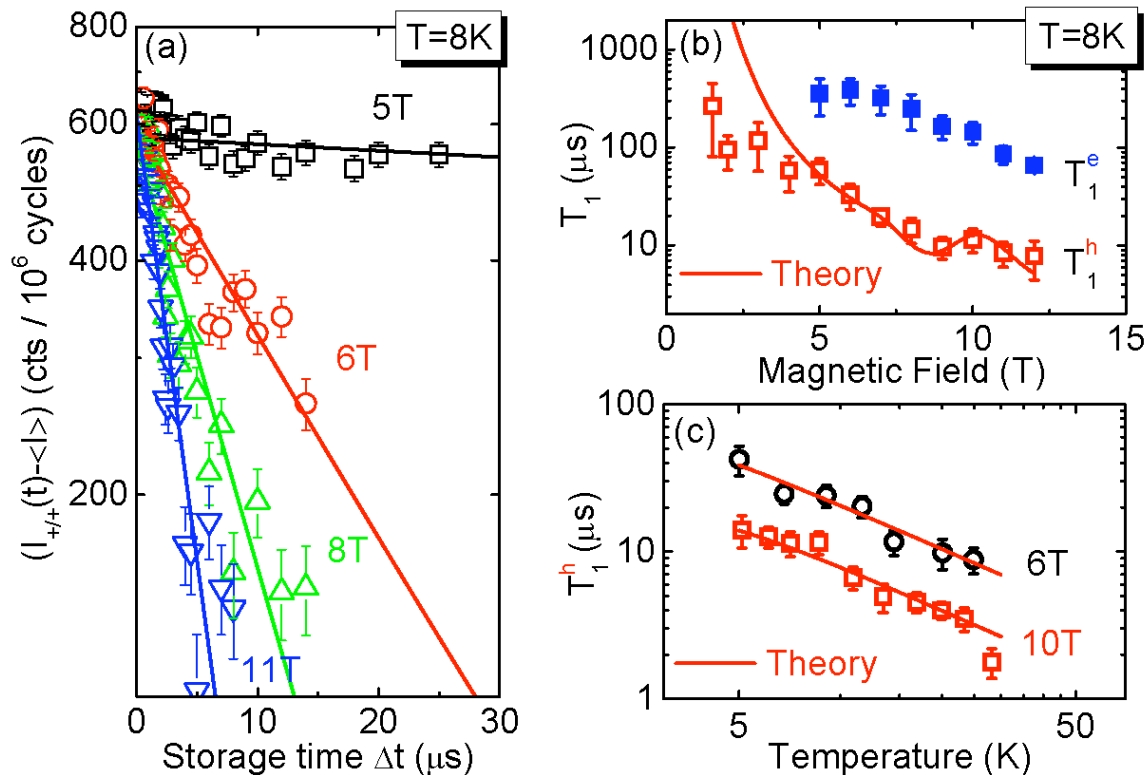


→ data in good agreement with theory
Golovach, Khaetskii, DL, PRL 93 ('04)

Hole Spin Relaxation: $T_1 \sim 200 \mu\text{s}$

Theory: Bulaev & D. Loss, Phys. Rev. Lett. 95, 076805 (2005)

Experiment: Abstreiter & Finley group, cond-mat/0705.1466



Relaxation of **spin** in GaAs quantum dots dominated by **spin-orbit & phonons** with ultra-long relaxation times T_1 :

$$T_1 \sim O(s) \text{ for } B \sim 1T$$

Amasha *et al.*,
cond-mat/0607110

From SOI we expect $T_2=2T_1$ Golovach *et al.*, PRL '04

But measured **spin decoherence** times are much shorter: $T_2 \sim 1-10 \mu s$ Petta *et al.* '05; Koppens *et al.* '06/'07

Thus, **spin decoherence** in GaAs must be dominated by other effects \rightarrow **hyperfine interaction** with nuclear spins

Burkard, DL, DiVincenzo, PRB '99

Hyperfine Interaction in *Single Quantum Dot*

$$H = \sum_i A_i \vec{S} \cdot \vec{I}_i + g\mu_B B S^z + H_{dd}$$

hyperfine interaction
is non-uniform:

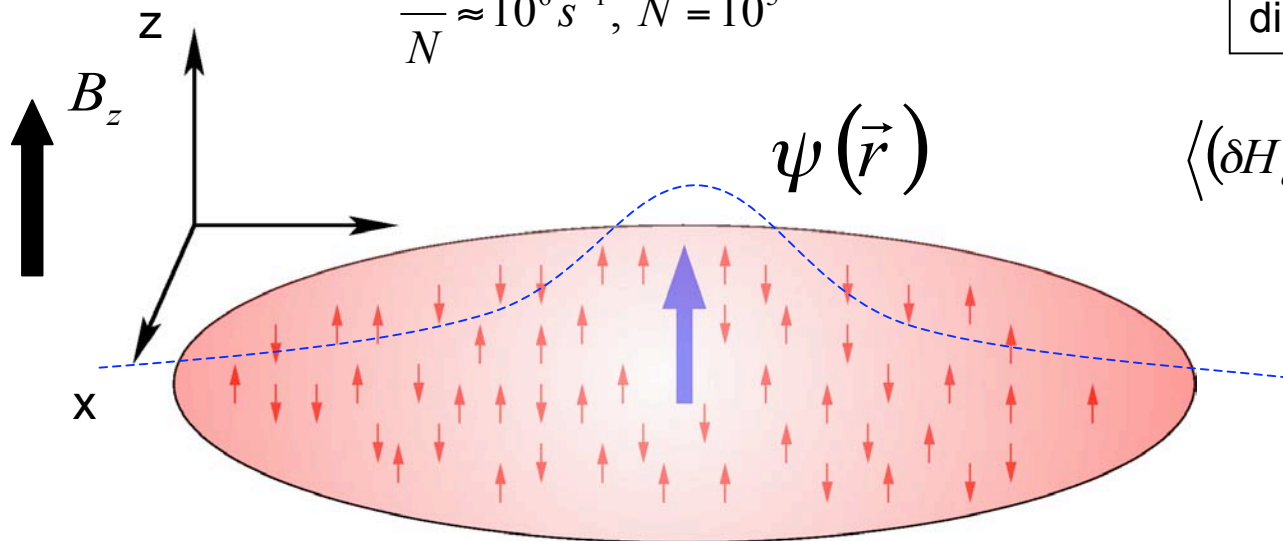
electron Zeeman energy

nuclear spin
dipole-dipole interaction

$$A_i \propto A |\psi(\vec{r}_i)|^2$$

$$\frac{A}{N} \approx 10^6 \text{ s}^{-1}, N = 10^5$$

$$\langle (\delta H_{dd})^2 \rangle^{1/2} \approx 10^4 \text{ s}^{-1} \approx 100 \text{ nK}$$



Khaetskii, DL, Glazman, '02; Coish & DL, '04; De Sousa ea, '05; Sham ea, '06; Altshuler ea, '06;

Separation of the Hyperfine Hamiltonian

Hamiltonian:
$$H = g\mu_B B S_z + \vec{S} \cdot \vec{h} = H_0 + V$$

Note: nuclear field $\vec{h} = \sum_i A_i \vec{I}_i$ is a quantum operator

Separation:

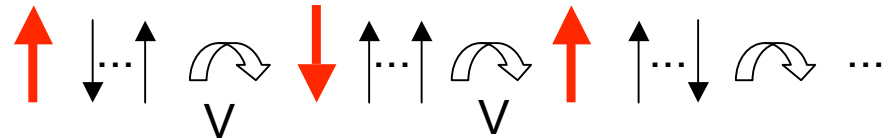
$$H_0 = (g\mu_B B + h_z) S_z$$

$$V = \frac{1}{2} (h_+ S_- + h_- S_+)$$

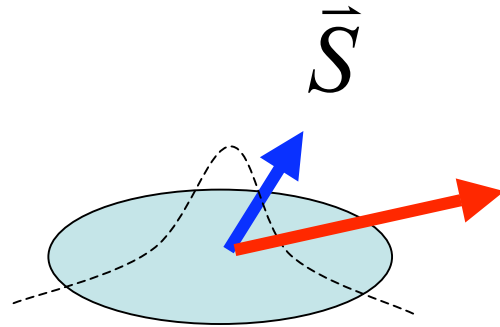
$$h_{\pm} = h_x \pm ih_y$$

longitudinal component

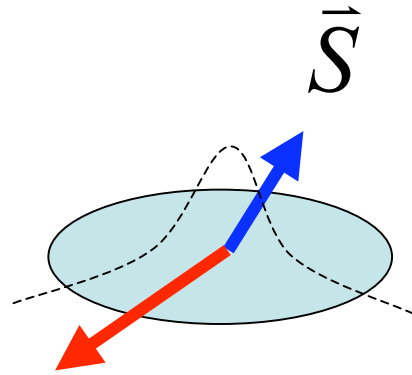
flip-flop terms



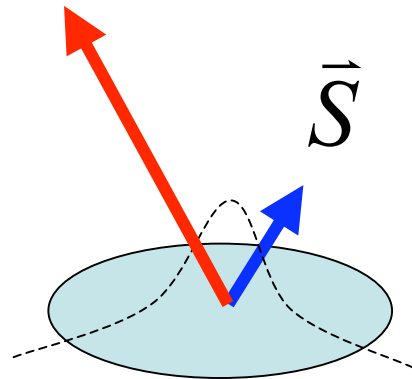
Nuclear spins provide hyperfine field h with quantum fluctuations seen by electron spin:



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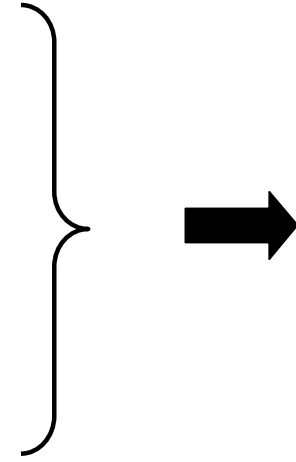


With mean $\langle h \rangle = 0$ and quantum variance δh :

what state?

Initial conditions for nuclear spins

Coish &DL, PRB 70, 195340 (2004)



Spin dynamics for $V=0^*$):

- Superposition (1) or mixture (2) of h_z -eigenstates:

Rapid Gaussian decay!



- But: Single h_z eigenstate (3):

No decay! (if flip-flop V is neglected)

*) corresponds to $B \gg \hbar$

Initial conditions for nuclear spins

Coish & DL, PRB 70, 195340 (2004)

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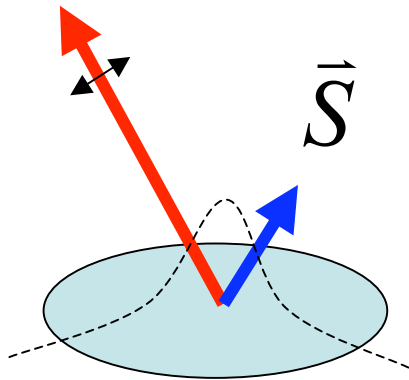


It is advantageous to **prepare** the nuclear spin system with a von Neumann measurement on the Overhauser field (operator!):



[via ESR, see Klauser, Coish & DL, PRB **73**, 205302 (2006)]

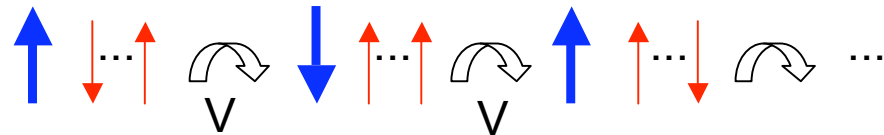
Sharp initial nuclear spin state: $\delta h=0$ at $t=0$



→ back action of \mathbf{S} on \mathbf{h}

flip-flops

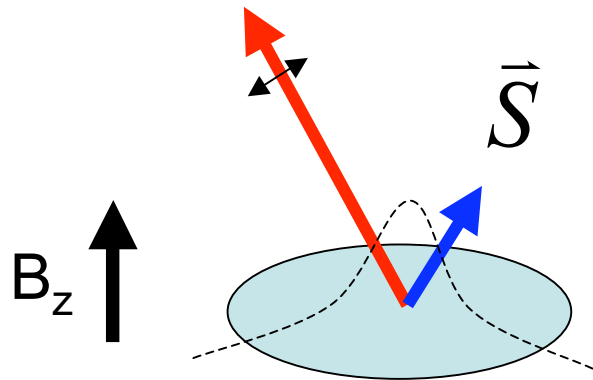
$t>0$: quantum dynamics



changes hyperfine field in time by $1/N$ → spin precesses in
fluctuating hyperfine field → spin dephases (power law decay)

Khaetskii, DL, Glazman, PRL '02 & PRB '03
Coish & DL, PRB 70, 195340 (2004)

Sharp initial nuclear spin state $\rightarrow \delta h=0$ at $t=0$



\rightarrow back action of S on h

Dynamics (flip-flops): $\uparrow \downarrow \dots \uparrow \curvearrowright \downarrow \uparrow \dots \uparrow \curvearrowright \uparrow \uparrow \dots \downarrow \curvearrowright \dots$

E.g.
$$S_z(t) - S_z(0) \propto \frac{A^2}{4N(b + pIA)^2} \frac{e^{itA/N}}{(At/N)^{3/2}}$$
 power law decay

Time scale is $N/A = 1\mu\text{s}$ (GaAs) and **decay is bounded**

Summary: Nuclear spins in quantum dot

Dephasing due to 'random hyperfine fields' yields Gaussian decay on a scale:

$$T_2^* = \sqrt{N/A} = 10 \text{ ns} \quad \text{dephasing}^*)$$

Note: for times $t > T_2^* = \sqrt{N/A} = 10 \text{ ns}$ (and $B > 0$)
classical (mean field) and quantum dynamics differ!

Coish, Yuzbashyan, Altshuler, and DL, cond-mat/0610633

Dephasing removable by state preparation and/or spin echo, and remaining decay is purely quantum (power law) on a scale:

$$T_2 = N/A = 1 \mu\text{s}$$

and amount of decay is strongly suppressed by factor $(A/B)^2 (1/p^2N) \ll 1$

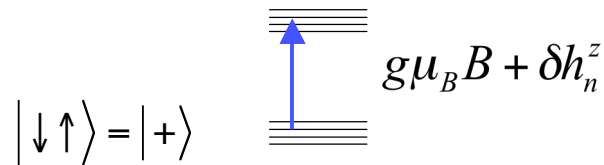
i.e. for large magnetic fields $B (>4\text{T})$ and/or high polarization p

*) ensemble of dots: Merkulov, Efros & Rosen, PRB '02

Narrowing of nuclear spins in double dots with ESR

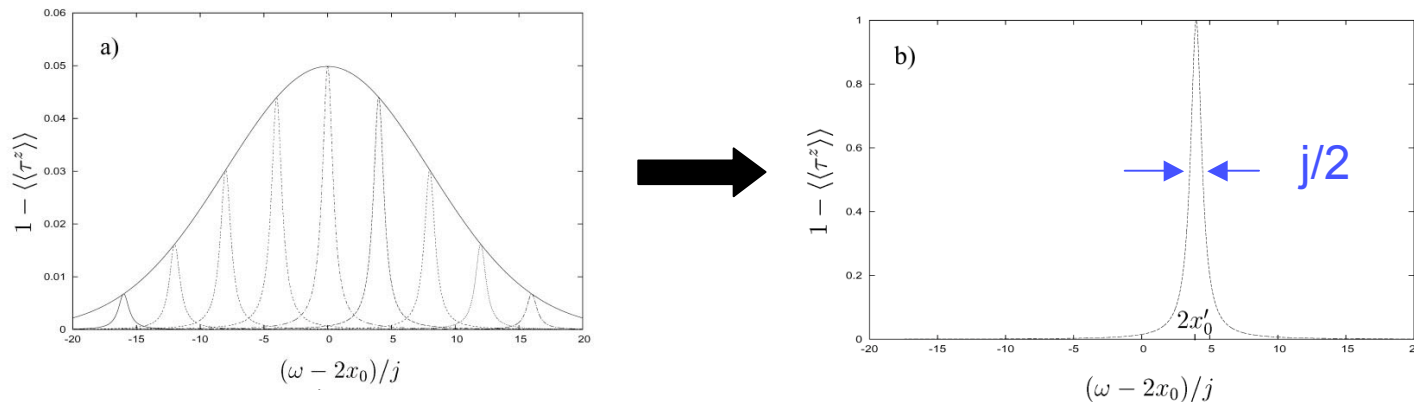
Klauser, Coish & DL, PRB **73**, 205302 (2006)

- **ESR**: oscillating exchange $J(t)=J_0+j \cos(\omega t)$ leads to **Rabi oscillations**:



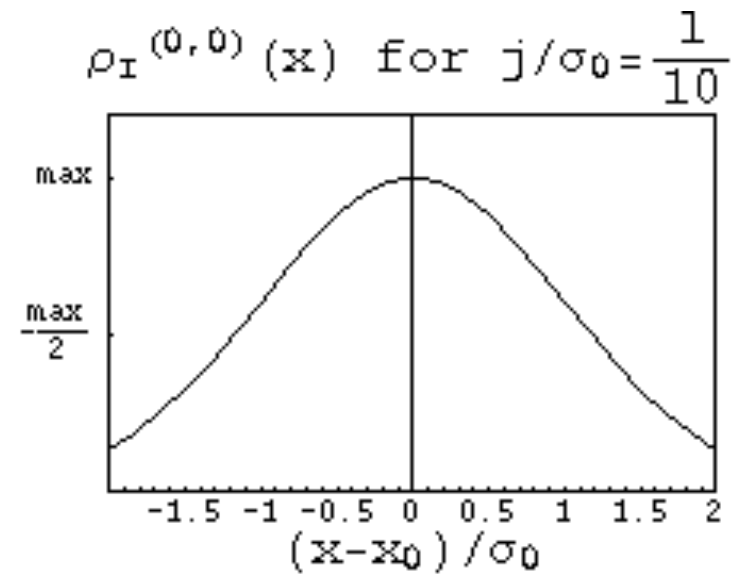
ESR at frequency $\omega = g\mu_B B + \delta h_n^z$ measures **eigenvalue**
 → nuclear spins projected into corresponding eigenstate $|n\rangle$

If quantum measurement is **ideal**, then Gaussian superposition collapses to a single Lorentzian (ESR linewidth):



Quantum control of many-body system through transport measurement

Klauser, Coish & DL, PRB (2006)



Optical scheme: see [Stepanenko, Burkard, Imamoglu, '06](#)

Polarization of nuclear spins

1. Dynamical polarization

- optical pumping: <65%, Dobers et al. '88, Salis et al. '01, Bracker et al. '04
- transport through dots: 5-60%, Ono & Tarucha, '04/ '07, Koppens et al., '06,...
- projective measurements: experiment?

2. Thermodynamic polarization

i.e. ferromagnetic phase transition? Simon & Loss, PRL '07

Q: Is it possible in a 2DEG? What is Curie temperature?

Problem is quite old and was first studied in 1940
by Fröhlich & Nabarro for bulk metals!

Hyperfine interaction in tight-binding formulation

P. Simon & DL, Phys. Rev. Lett. 98, 156401 (2007)



on d-dimensional
lattice

Kondo Lattice formulation

is the electron spin operator at lattice site \vec{r}_j



NB: For a single electron in a strong confining potential, we recover quantum dot description by projecting the hyperfine Hamiltonian in the electronic ground state



alternative approach for numerics on dot-spin dynamics ?

Effective nuclear spin Hamiltonian (RKKY)

Strategy: A (hyperfine) is the smallest energy scale \rightarrow
integrate out electronic degrees of freedom
including e-e interactions (e.g. via Schrieffer-Wolff trafo):

Pure spin-spin Hamiltonian for nuclear spins only:



'RKKY interaction'

where χ is the electronic spin susceptibility in the static limit ($\omega=0$)
(justified since nuclear spin dynamics is much slower than electron dynamics)

Assuming no electronic polarization:

Effective nuclear spin Hamiltonian (RKKY)

$$\text{[Redacted Equation]}$$

where

$$\text{[Redacted Equation]}$$

'RKKY interaction'

and

is the electronic longitudinal spin susceptibility in the static limit ($\omega=0$).

Free electrons: J_r is standard RKKY interaction Ruderman & Kittel, 1954

Note that result is also valid in the presence of electron-electron interactions

Curie-Weiss mean field theory (1)

Consider a particular
nuclear spin at site \vec{r}



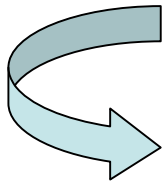
Mean field approx.:



Effective magnetic field:

with:

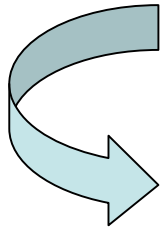
If we assume _____, we obtain the MFT eq.



Curie temperature
for **FM** phase

Curie-Weiss mean field theory (2)

electron DOS (2D)



Simon &DL, PRL '07

For a **3D metal** with **one electron per lattice site**:

Fröhlich &
Nabarro, 1940

with



Naïve use of MFT for a **2D semiconductor** with **low electronic density** $n_e \ll n$:

GaAs:



Curie temperature is quite low

2D: What about the Mermin-Wagner theorem?

The Mermin-Wagner theorem states that there is no finite temperature phase transition in 2D for a Heisenberg model provided that

$$\sum_{\vec{r}} r^2 |J(r)| < \infty$$

For **non-interacting** electrons, $J(r)$ reduces to the long range **RKKY** interaction:

$$J(r) \sim \frac{\cos(2k_F r)}{r^2}$$

→ nothing can be inferred from the MW-theorem !

Nevertheless, due to the oscillatory character of the RKKY interaction, one may **expect** some extension of the Mermin-Wagner theorem, and, indeed it was conjectured that in 2D $T_c = 0$ (P. Bruno, PRL 87 ('01)).

FM phase and spin waves in 2D

The mean field calculation suggests a **ferromagnetic phase** a low temperature. Let us assume such a **FM phase** and analyze its stability against **spin wave excitations**:

Energy of a **magnon**:

The **magnetization** per site:

magnon occupation number

The **Curie temperature** T_c is then defined by

1. Non-interacting electron gas in 2D

In the continuum limit the condition $m(T_c)=0$ becomes:



with

For non-interacting electrons (2D):

with

the electronic DOS in 2D

But:

for any finite T_c

1. Non-interacting electron gas in 2D

In the continuum limit the condition $m(T_c)=0$ becomes:



with

For non-interacting electrons (2D):

Thus:



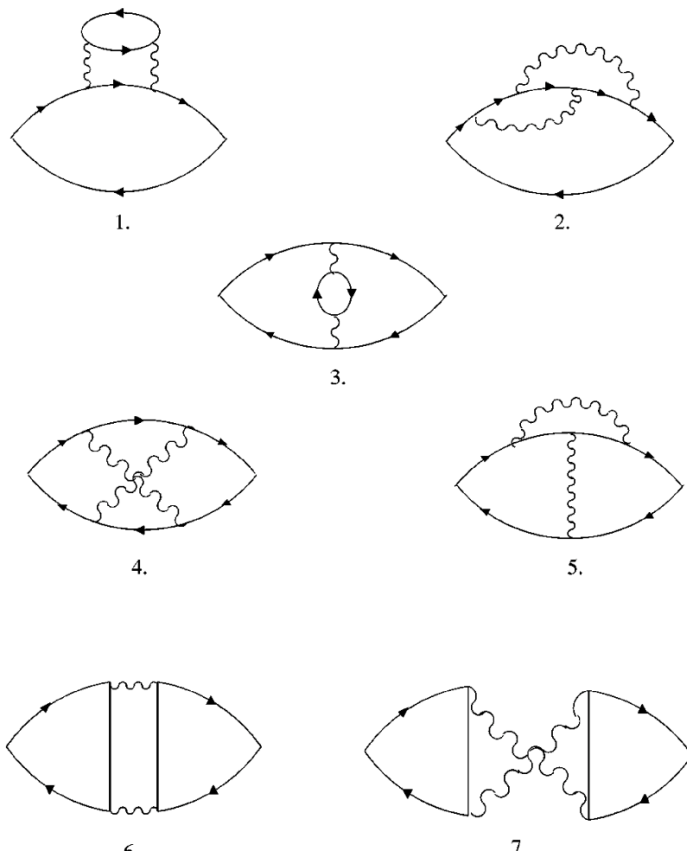
→ **not** consistent with Curie-Weiss MFT, but rather as expected!?

**Include now
electron-electron
interactions**

Perturbative calculation of spin susceptibility in 2DEG

Consider **screened** Coulomb U and 2nd order pert. theory in U :

Chubukov, Maslov, PRB **68**, 155113 (2003)

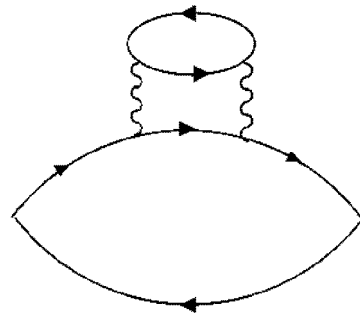


→ give **singular** corrections to spin and charge susceptibility due to **non-analyticity** in polarization propagator Π (sharp Fermi surface)

→ non-Fermi liquid behavior in 2D

Perturbative calculation of spin susceptibility in 2DEG

Consider **screened** Coulomb U and 2nd order pert. theory in U :



Chubukov, Maslov, PRB **68** ('03)



i.e. in the **low q** limit

where $\Gamma_s \sim -Um / 4\pi$ denotes the backscattering amplitude

This linear Γ_s -dependence (non-analyticity*) permits ferromagnetic order with finite Curie temperature!

*) See also Belitz et al., PRB B 55 (1997)

Nuclear magnetization at finite temperature

Magnon spectrum ω_q becomes now **linear** in q **due to e-e interactions**:



with spin wave velocity

(GaAs: $c \sim 20 \text{ cm/s}$)

What about $q > 2k_F$? \rightarrow such q 's are **not** relevant in $m(T)$ for **temperatures** T with



since then $\beta\omega_q > 1$ for all $q > 2k_F$

Nuclear magnetization at finite temperature



where T_c is the 'Curie temperature':



→ finite magnetization at finite temperature in 2D!

estimate for GaAs 2DEG: $T_c \sim 25 \mu\text{K}$

Note that self-consistency requires

→ temperatures are finite but still very small!

since $a\pi/a_B \sim 1/10$ in GaAs

Beyond simple perturbation theory (1)

P. Simon & DL, PRL 98, 156401 (2007)

vertex

Γ is the exact electron-hole scattering amplitude
and G the exact propagator

Γ obeys [Bethe-Salpeter](#) equation as function of p-h--irreducible vertex Γ_{irr}

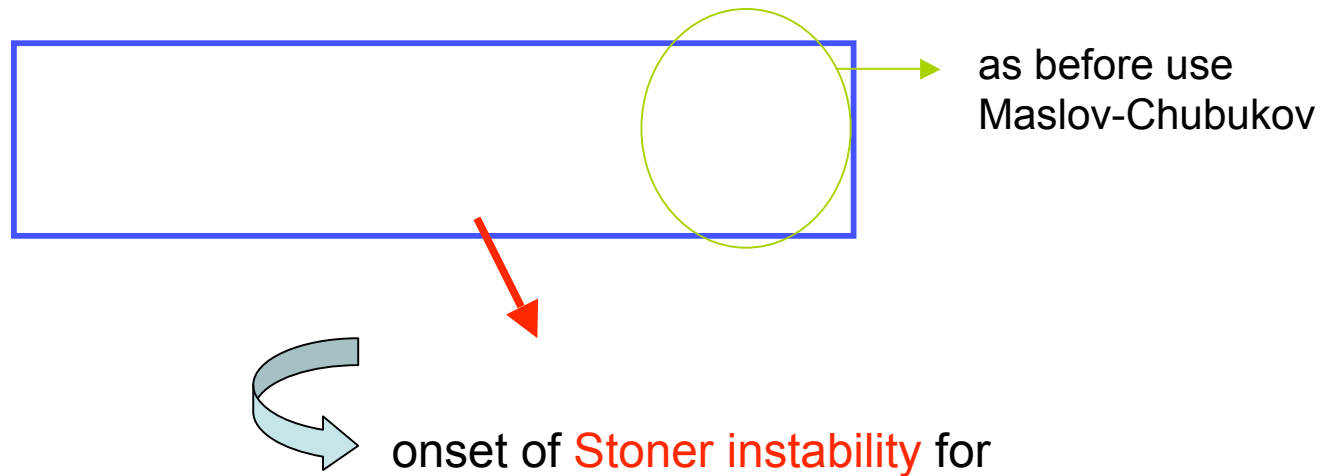
→ solve BS in lowest order in Γ_{irr}

Beyond simple perturbation theory (2)

P. Simon & DL, PRL 98, 156401 (2007)

Lowest approx. for vertex:

→ can derive simple formula:



This leads to a dramatic **enhancement** of

and therefore also of **Curie temperature** $T_c \sim \delta\chi_s$

Estimate:

'**Stoner factor**'

The local field factor approximation

with long history: see e.g. Giuliani & Vignale*, '06

Consider **unscreened** 2D-Coulomb interaction



Idea (**Hubbard**): replace the average electrostatic potential seen by an electron by a local potential:



Determine 'local spin field factor' $G_{\sigma}(q)$ semi-phenomenologically*:

Thomas-Fermi wave vector,
and $g_0=g(r=0)$ pair correlation
function

Note: $G_{\sigma}(q) \sim q$ for $q < 2k_F \rightarrow$ this is in agreement also with
Quantum Monte Carlo (**Ceperley et al., '92,'95**)

The local field factor approximation



i.e. again **strong enhancement through correlations:**



for

Giuliani & Vignale, '06



strong enhancement of the Curie temperature:



for $r_s \sim 5$

Conclusions

- Spin decoherence in GaAs quantum dots dominated by **hyperfine interaction** → increase nuclear polarization
- **Kondo lattice formulation** of hyperfine interaction in 2DEG (→ useful for numerics in quantum dots?)
- **non-Fermi liquid correlations in 2DEG permit ferromagnetic phase in 2d-Kondo lattice at finite temperature**
- **Electron correlations increase Curie temperature:**

$$T_c \approx O(mK) \text{ for } r_s \sim 5$$

- Many open questions:
Disorder, nuclear spin glass? Spin decoherence in ordered phase? Experimental signature?