What does this have to do with quantum magnetism?

Perfect diamagnetism (Shielding of magnetic field)

(Meissner effect)





André-Marie Tremblay



CuO₂ planes





JUNE 1988 \$3.50

How nonsense is deleted from genetic messages. R_x for economic growth: aggressive use of new technology. Can particle physics test cosmology?



 $YBa_2Cu_3O_{7-\delta}$

2

Recall some basic Solid State Physics





Failure of the «Fermi liquid approach » in High Tc

n=1,

Metal according to band theory Antiferromagnetic insulator in reality







High-temperature superconductivity

Away from half-filling











Superconductivity is d-wave



An « anomalous » normal state



Observing electronic states in d = 2

Angle Resolved Photoemission Spectroscopy (ARPES)





Synchrotron radiation



FIG. 6 Generic beamline equipped with a plane grating monochromator and a Scienta spectrometer [Color].

Damascelli, Shen, Hussain, 2002.





Recall some basic Solid State Physics



Non-interacting case



Damascelli, Shen, Hussain, RMP 75, 473 (2003)



With interactions : the Fermi liquid



Damascelli, Shen, Hussain, RMP 75, 473 (2003) Stherbrooke



FIG. 2. Spectral intensity as a function of binding energy for constant emission angle, normalized to the experimentally determined Fermi cut-off. Data are symbols, while lines are fits to the Lorentzian peaks with a linear background. The dependence on the binding energy (a), temperature (b), and hydrogen exposure (c) is shown.



FIG. 1. ARPES intensity plot of the Mo(110) surface recorded along the $\overline{\Gamma} - \overline{N}$ line of the SBZ at 70 K Shown in the inset is the spectrum of the region around k_F taken with special attention to the surface cleanliness.

T. Valla, A. V. Fedorov, P. D. Johnson, and S. L. Hulbert P.R.L. **83**, 2085 (1999).



Pseudogap: Fermi surface of an e-doped high T_c



Armitage et al. PRL 87, 147003; 88, 257001



Experimental phase diagram



Outline of all three hours

- The Hubbard model : itinerant *vs* localized behavior
- Methodology (non-perturbative)
- Results and concordance between methods.
- Comparisons with experiment





The Hubbard model

Simplest microscopic model for $Cu O_2$ planes.



An effective model

A. Macridin et al., cond-mat/0411092



One band Hubbard model

$$H = \sum_{\sigma} \int d^3 r \ \psi_{\sigma}^{\dagger}(\mathbf{r}) \left(-\frac{\hbar^2}{2m} \nabla^2 + V(\mathbf{r}) \right) \psi_{\sigma}(\mathbf{r}) + \sum_{\sigma,\sigma'} \int \frac{d^3 r d^3 r'}{2} U(\mathbf{r} - \mathbf{r}') \psi_{\sigma}^{\dagger}(\mathbf{r}) \psi_{\sigma'}^{\dagger}(\mathbf{r}') \psi_{\sigma'}(\mathbf{r}') \psi_{\sigma}(\mathbf{r})$$

$$\psi(\mathbf{r}) = \sum_{\mathbf{n} \in \Gamma} \ c_{\mathbf{n}} w(\mathbf{r} - \mathbf{n})$$

$$H_{2} = \frac{1}{4} \sum_{\substack{ijkl\\\sigma_{i}\sigma_{j}\sigma_{k}\sigma_{l}}} \langle i\sigma_{i}, j\sigma_{j}|U|k\sigma_{k}, l\sigma_{l}\rangle c_{i\sigma_{i}}^{\dagger}c_{j\sigma_{j}}^{\dagger}c_{l\sigma_{l}}c_{k\sigma_{k}}$$

Direct exchange is ferromagnetic !



One-band Hubbard model for organics

22

H. Kino + H. Fukuyama, J. Phys. Soc. Jpn **65** 2158 (1996), R.H. McKenzie, Comments Condens Mat Phys. **18**, 309 (1998)



Y. Shimizu, et al. Phys. Rev. Lett. **91**, 107001(2003)



Layered organics (κ -BEDT-X family)





Experimental phase diagram for Cl





F. Kagawa, K. Miyagawa, + K. Kanoda PRB **69** (2004) +Nature **436** (2005)

Diagramme de phase (X=Cu[N(CN)₂]Cl) S. Lefebvre et al. PRL **85**, 5420 (2000), P. Limelette, et al. PRL 91 (2003)



Perspective





« Solutions » of the Hubbard model

- Bethe *ansatz* in one dimension (correlation functions?).
- Renormalization group in one dimension or quasione dimension (spin-charge separation, Luttinger liquid)
 - Solyom, Bourbonnais
- Nagaoka theorem

.

- In two or three dimension :
 - Gutzwiller approximation
 - Various forms of slave bosons (+ gauge fields) meanfield theory.
- Infinite dimension (Dynamical Mean-Field Theory)





The Hubbard model

Simplest microscopic model for $Cu O_2$ planes.









A hierarchy of effective models (see Schrödinger vs Dirac)

• t - J model : applying second order degenerate perturbation theory $|\psi_{\alpha}\rangle = |\alpha\rangle + \sum_{E_{\alpha} > 0} \frac{\langle m|H_1|\alpha\rangle}{E_{\alpha} - E_m} |m\rangle$ $= |\alpha\rangle - \frac{1}{U}\sum_{\alpha} \langle\beta|H_1|\alpha\rangle|\beta\rangle$ $= |\alpha\rangle - \frac{1}{U}\sum |m\rangle\langle m|H_1|\alpha\rangle$ m $= |\alpha\rangle - \frac{1}{U}H_1|\alpha\rangle$



n = 1 the Heisenberg model

$$\begin{split} \langle \psi_{\alpha'} | (H_0 + H_1) | \psi_{\alpha} \rangle &= \left(\langle \alpha' | -\frac{1}{U} \langle \alpha' | H_1 \right) (H_0 + H_1) \left(|\alpha\rangle - \frac{1}{U} H_1 | \alpha \rangle \right) \\ &= -\frac{1}{U} \langle \alpha' | H_1^2 | \alpha \rangle + \mathcal{O}(1/U^2) \end{split}$$

$$H_1 = -t \sum_{\langle ij \rangle, \sigma} (c_{i,\sigma}^{\dagger} c_{j,\sigma} + c_{j,\sigma}^{\dagger} c_{i,\sigma})$$

$$H_1^2 = t^2 \sum_{\langle ij \rangle, \sigma} \sum_{\langle kl \rangle, \sigma'} (c_{i,\sigma}^{\dagger} c_{j,\sigma} + c_{j,\sigma}^{\dagger} c_{i,\sigma}) (c_{k,\sigma'}^{\dagger} c_{l,\sigma'} + c_{l,\sigma'}^{\dagger} c_{k,\sigma'})$$



n = 1 the Heisenberg model

$$H_{\text{eff.}} = \frac{4t^2}{U} \sum_{\langle ij \rangle} \mathbf{S}_i \cdot \mathbf{S}_j$$
 Worthwhile decrease in size of Hilbert space !



Is this model enough for the AFM at n = 1?

Coldea et al. PRL (2001)



FIG. 1. (color) A The CuO₂ plane showing the atomic orbitals (Cu $3d_{x^2-y^2}$ and O $2p_{x,y}$) involved in the magnetic interactions. J, J' and J'' are the first-, second- and third-nearest-neighbor exchanges and J_c is the cyclic interaction which couples spins at the corners of a square plaquette. Arrows indicate the spins of the valence electrons involved in the exchange. **B** Lower surface is the dispersion relation for J=136 meV and no higher-order magnetic couplings or quantum corrections. The upper surface shows the effect of the higher-order magnetic interactions determined by the present experiment. Color is spin-wave intensity.



Including $t(t/U)^3$ terms

35



$$S_i \cdot S_{i'} + J'' \sum_{\langle i,i'' \rangle} S_i \cdot S_{i''}$$

$$F_k \cdot S_l) + (S_i \cdot S_l)(S_k \cdot S_j)$$

$$(1)$$

$$J = 4t^2/U - 24t^4/U^3, J_c = 80t^4/U^3$$

$$\bar{J}' = J'' = 4t^4/U^3$$

$$U/t \sim 7 - 9$$





Delannoy et al. + the

APPENDIX A: SPIN HAMILTONIAN WITH $t,\,t^\prime$ AND t''

The introduction of t' and t'' will to first order renormalized the coupling constants already present in the spin Hamiltonian.



FIG. 6: Label of the different sites

Some terms are added to the one obtained when t' and t'' are not taken into account, but some new terms will be included with new topologies. In order to simplify the notations, we are introducing some notations :

$$\begin{split} \mathbf{P}_{1}^{i,j,k,l} &= \left\{ (\overrightarrow{S_{i}} \cdot \overrightarrow{S_{j}}) (\overrightarrow{S_{k}} \cdot \overrightarrow{S_{l}}) \\ &+ (\overrightarrow{S_{i}} \cdot \overrightarrow{S_{l}}) (\overrightarrow{S_{k}} \cdot \overrightarrow{S_{j}}) - (\overrightarrow{S_{i}} \cdot \overrightarrow{S_{k}}) (\overrightarrow{S_{j}} \cdot \overrightarrow{S_{l}}) \right\} \\ \mathbf{P}_{2}^{i,j,k,l} &= \left\{ \overrightarrow{S_{i}} \cdot \overrightarrow{S_{j}} + \overrightarrow{S_{i}} \cdot \overrightarrow{S_{k}} + \overrightarrow{S_{i}} \cdot \overrightarrow{S_{l}} \\ &+ \overrightarrow{S_{j}} \cdot \overrightarrow{S_{k}} + \overrightarrow{S_{j}} \cdot \overrightarrow{S_{l}} + \overrightarrow{S_{k}} \cdot \overrightarrow{S_{l}} \right\} \end{split}$$
(A1)

The P_1 and P_2 terms are developed below. On the figures, the symbol \rightarrow means that the corresponding sites takes a role in the expression of the coupling interaction, whereas the symbol —O— means that the corresponding site is transparent in the electronic process (i.e. the electron 'hops over' that site). Because of the current interest in diluted Mott-Hubbard systems, such as in the $La_2Cu_xZn_{1-x}O_4$????, we keep track in the derivation of the spin Hamiltonian of the occupation of the sites visited by the electrons. $\epsilon_i = 1$ if a site *i* is occupied by a spin, $\epsilon_i = 0$ if the site is not occupied.

×

ed by the electrons.
$$\epsilon_i = 1$$
 if a site i is occupied by a
in, $\epsilon_i = 0$ if the site is not occupied.
 $\downarrow \downarrow \downarrow \downarrow k$
 $\downarrow \downarrow \downarrow \downarrow Z \overrightarrow{S_i} \cdot \overrightarrow{S_k} \{\epsilon_i \epsilon_k\}$
 $\downarrow \downarrow \downarrow \downarrow Z \overrightarrow{S_i} \cdot \overrightarrow{S_k} \{\epsilon_i \epsilon_k\}$
 $\downarrow \downarrow \downarrow \downarrow \downarrow Z \overrightarrow{S_i} \cdot \overrightarrow{S_m} \{\epsilon_i \epsilon_m\}$
 $\downarrow \downarrow \downarrow \downarrow I = k$
 $-8 \frac{t'^2 t^2}{U^3} \{\epsilon_i \epsilon_j \epsilon_k \epsilon_l\} P_2^{i,j,k,l}$
 $\downarrow \downarrow \downarrow \downarrow I = k$
 $160 \frac{t'^2 t^2}{U^3} (\overrightarrow{S_i} \cdot \overrightarrow{S_k}) (\overrightarrow{S_j} \cdot \overrightarrow{S_l}) \{\epsilon_i \epsilon_j \epsilon_k \epsilon_l\}$



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Delannoy et al. + thesis 2006



(here we will consider the case where the magnetization is polarized around the \hat{z} direction). $|\Omega\rangle$ is the spin density wave groundstate and \mathbf{Q} a nesting wave vector. The charge density operator $S^i_{\mathbf{q}}$ is defined by :

$$S_{\mathbf{q}}^{i} = \frac{1}{N} \sum_{k,\alpha,\beta} c_{k+q,\alpha}^{\dagger} \hat{\sigma}_{\alpha,\beta}^{i} c_{k,\beta}, \qquad (B6)$$

N being the number of sites.

tions :

In order to diagonalize this hamiltonian, we introduce the bogoliubov transformation :

$$\begin{cases} \gamma_{k,\alpha}^{c} = u_{k}c_{k,\alpha} + v_{k}\sum_{\beta}\hat{\sigma}_{\alpha,\beta}^{3}c_{k+Q,\beta}, \\ \gamma_{k,\alpha}^{v} = v_{k}c_{k,\alpha} - u_{k}\sum_{\beta}\hat{\sigma}_{\alpha,\beta}^{3}c_{k+Q,\beta}. \end{cases}$$
(B7)

Our goal is to diagonalize the system through the rela-

APPENDIX B: CALCULATION OF THE CHARGE RENORMALIZATION FACTOR

We start with the t, t', t'', U Hubbard model and use a method explained in Ref. ? .

$$H_{\rm H} = T + T' + T'' + V \tag{B1}$$

= $-t \sum_{i,j_1;\sigma} c^{\dagger}_{i,\sigma} c_{j_1,\sigma} - t' \sum_{i,j_2;\sigma} c^{\dagger}_{i,\sigma} c_{j_2,\sigma} - t' \sum_{i,j_3;\sigma} c^{\dagger}_{i,\sigma} c_{j_2,\sigma}$
 $+ U \sum n_{i,\uparrow} n_{i,\uparrow} n_{i,\downarrow}, \tag{B2}$

$$\begin{cases} \left[H_{\rm H}, \gamma_{k,\sigma}^{c}\right] = E_{k}\gamma_{k,\sigma}^{c}, \\ \left[H_{\rm H}, \gamma_{k,\sigma}^{v}\right] = -E_{k}\gamma_{k,\sigma}^{v}. \end{cases}$$
(B8)

Injecting (B7) in the Hamiltonian (B3), we get :

$$E_k = \epsilon'_k + \epsilon''_k \pm \sqrt{\Delta^2 + \epsilon_k^2}, \tag{B9}$$

where the density wave gap Δ is defined by :

from that we can infer that

 j_1, j_2 and j_3 are respectively the first, second and third nearest neighbors of i. Fourier transforming this expression leads to:

$$\Delta = -\frac{UM}{2}.$$
 (B10)

(B11)

The Bogoliubov coefficients u_k and v_k are obtained using

$$\begin{aligned} H_{\rm H} &= \sum_{k,\sigma} (\epsilon_k + \epsilon'_k) c^{\dagger}_{k,\sigma} c_{k,\sigma} & \text{ In Be Bogoliubov coefficients } u_k \text{ and} \\ &+ \frac{U}{2N} \sum_{k,k',q} \sum_{\sigma,\sigma',\beta,\beta'} \delta_{\sigma,\sigma'} \delta_{\beta,\beta'} c^{\dagger}_{k',\sigma} c^{\dagger}_{-k'+q,\beta'} c_{-k+q,\beta} c_{k,\sigma}, \\ \text{where :} & \text{(B3)} & \begin{cases} u_k^2 &= \frac{1}{2} \left(1 + \frac{\epsilon_k}{E_k - \epsilon'_k} \right) \\ v_k^2 &= \frac{1}{2} \left(1 - \frac{\epsilon_k}{E_k - \epsilon'_k} \right) \end{cases} \end{aligned}$$

(B5)

where

$$\begin{cases} \epsilon_k = -2t(\cos(k_x) + \cos(k_y)), \\ \epsilon'_k = -2t'(\cos(k_x + k_y) + \cos(k_x - k_y)), \\ \epsilon''_k = -2t''(\cos(2k_x) + \cos(2k_y)). \end{cases}$$
(B4)

 $M = \langle \Omega | S_{\mathbf{O}}^z | \Omega \rangle,$

$$M = \langle \Omega | S^z_{\mathbf{Q}} | \Omega \rangle = \frac{1}{N} \sum u_k v_k \; ; \qquad (B12)$$

Our goal here is to obtain the sublattice magnetization hence : defined by :

$$M = \frac{1}{N} \sum_{k} \frac{1}{2} \frac{\Delta}{E_k - \epsilon'_k - \epsilon''_k}.$$
 (B13)


Alternate set of parameters



$$\begin{cases} t/U = 0.1 & \pm 0.05 , \\ t'/t = -0.35 & \pm 0.05 , \\ t''/t = 0.22 & \pm 0.05 , \\ t = 0.35 & \text{eV} \\ U = 3.5 & \text{eV} \end{cases}$$

Delannoy et al. + thesis 2006



General methods for the derivation of effective spin Hamiltonians : all canonically equivalent

Chernyshev et al. PRB 2004

- Brillouin-Wigner degenerate perturbation theory
 - Expansion of denominators
- Resolvent operator (for Hamiltonian)
- Canonical transformation



Canonical transformation

$$\langle \psi' | H | \psi \rangle = \langle \alpha' | e^{iS} H e^{-iS} | \alpha \rangle$$

$$H = H_0 + V_D + V_X \qquad e^{iS} A e^{-iS} = A + [iS, A] + \frac{1}{2} [iS, [iS, A]] + \dots$$

$$S_X = S_1 + S_2 + \dots$$

$$S_D = 0 \qquad e^{iS} (H_0 + V_D + V_X) e^{-iS} = H_0 + V_D$$

$$+ V_X + [iS_1, H_0]$$

$$+ [iS_2, H_0] + [iS_1, V_D]$$

$$+ [iS_1, V_X] + \frac{1}{2} [iS_1, [iS_1, H_0]]$$

$$V_D = T_0$$

$$V_X = T_1 + T_{-1}$$

$$40 \qquad [H_0, T_m] = mUT_m$$

Beware ! When spin is not spin...

- A paradox
- $$\begin{split} \widetilde{M}_{s}^{\dagger} &= \frac{1}{N} \sum_{i} S_{i}^{z} (-1)^{i} \\ & \left| 0 \right\rangle_{H} = e^{-iS} \right| 0 \rangle_{s} \\ & \left\langle O \right\rangle = \frac{H^{\langle 0 | O_{H} | 0 \rangle_{H}}}{H^{\langle 0 | 0 \rangle_{H}}} \\ & O_{s} = e^{iS} O_{H} e^{-iS} \end{split}$$





FIG. 2. (Color online) t/U dependence of the staggered magnetization $M_{2,s}^{\dagger}$, $M_{2,H}^{\dagger}$ and $\tilde{M}_{2,s}$ for a 2×4 lattice.

$$M_{s}^{\dagger} = \frac{1}{N} \left(\sum_{i} S_{i}^{z} (-1)^{i} - 2 \frac{t^{2}}{U^{2}} \sum_{\langle i,j \rangle} (S_{i}^{z} - S_{j}^{z}) (-1)^{i} \right)$$





Back to the general problem: Theoretical difficulties

- Low dimension
 - (quantum and thermal fluctuations)
- Large residual interactions
 - (Potential ~ Kinetic)
 - Expansion parameter?
 - Particle-wave?
- By now we should be as quantitative as possible!



Theory without small parameter: How should we proceed?

- Identify important physical principles and laws to constrain non-perturbative approximation schemes
 - From weak coupling (kinetic)
 - From strong coupling (potential)
- Benchmark against "exact" (numerical) results.
- Check that weak and strong coupling approaches agree at intermediate coupling.
- Compare with experiment



Question

- Is the Hubbard model rich enough to contain the essential physics of the cuprates and the organics? (e- and h-doped and layered?)
- Yes (in part):
 - New theoretical approaches
 - Increase in computing power
 - Theoretical approaches (numerical, analytical) give consistent results even if the starting points are very different.



Mounting evidence for d-wave in Hubbard

- Weak coupling (U << W)
 - AF spin fluctuations mediated pairing with d-wave symmetry
 - (Bickers et al., PRL 1989; Monthoux et al., PRL 1991; Scalapino, JLTP 1999, Kyung et al. (2003))
 - RG \rightarrow Groundstate d-wave superconducting
 - (Bourbonnais (86), Halboth, PRB 2000; Zanchi, PRB 2000, Berker 2005)

• Strong coupling (U >> W)

- Early mean-field
 - (Kotliar, Liu 1988, Inui et al. 1988)
- Finite size simulations of t-J model
 - Groundstate superconducting
 - (Sorella et al., PRL 2002; Poilblanc, Scalapnio, PRB 2002)



Numerical methods that show Tc at strong coupling

DCA



FIG. 5. The temperature-doping phase diagram of the 2D Hubbard model calculated with QMC and DCA for $N_c = 4, U = 2$. T_N and T_c were calculated from the divergences of the antiferromagnetic and d-wave susceptibilities, respectively. T^* was calculated from the peak of the bulk magnetic susceptibility.

Th. Maier, M. Jarrell, Th. Pruschke, and J. Keller Phys. Rev. Lett. 85, 1524 (2000) T.A. Maier et al. PRL (2005)

Variational



FIG. 1. (a) The variational parameter Δ_{var} (filled squares) and the $(\pi, 0)$ hump scale (open triangles) in ARPES [10] versus doping. (b) Doping dependence of the *d*-wave SC order parameter Φ . Solid lines in (a) and (b) are guides to the eye. (c) The coherence length $\xi_{\text{sc}} \ge \max(\xi_{\text{pair}}, 1/\sqrt{x})$.

Paramekanti, M. Randeria, and N. Trivedi 46 Phys. Rev. Lett. 87, 217002 (2001)



Methodology

Weak-coupling approaches



Theory difficult even at weak to intermediate coupling!

- $\frac{1}{3} = \frac{1}{3} = \frac{1}$
- RPA (OK with conservation laws)
 - Mermin Wagner
 - Pauli
- Moryia (Conjugate variables HS $\phi^4 = \langle \phi^2 \rangle \phi^2$)
 - Adjustable parameters: c and U_{eff}
 - Pauli
- FLEX
 - No pseudogap
 - Pauli
- Renormalization Group
 - 2 loops

Rohe and Metzner (2004) Katanin and Kampf (2004)



Σ





Two-Particle Self-Consistent Approach (U < 8t)

- How it works

- General philosophy
 - Drop diagrams
 - Impose constraints and sum rules
 - Conservation laws
 - Pauli principle ($< n_{\sigma}^2 > = < n_{\sigma} >)$
 - Local moment and local density sum-rules
- Get for free:
 - Mermin-Wagner theorem
 - Kanamori-Brückner screening
 - Consistency between one- and two-particle $\Sigma G = U \langle n_{\sigma} n_{-\sigma} \rangle$

Vilk, AMT J. Phys. I France, **7**, 1309 (1997); Allen et al.in *Theoretical methods for strongly correlated elect***5**00*ns* also cond-mat/0110130 (Mahan, third edition)

TPSC approach: two steps

I: Two-particle self consistency

- 1. Functional derivative formalism (conservation laws)
 - (a) spin vertex: $U_{sp} = \frac{\delta \Sigma_{\uparrow}}{\delta G_{\downarrow}} \frac{\delta \Sigma_{\uparrow}}{\delta G_{\uparrow}}$
 - (b) analog of the Bethe-Salpeter equation:

$$\chi_{sp} = \frac{\delta G}{\delta \phi} = GG + GU_{sp}\chi_{sp}G$$

(c) self-energy: $\Sigma_{\sigma}(1,\overline{1};\{\phi\}) G_{\sigma}(\overline{1},2;\{\phi\}) = -U \left\langle c^{\dagger}_{-\sigma}(1^{+}) c_{-\sigma}(1) c_{\sigma}(1) c^{\dagger}_{\sigma}(2) \right\rangle_{\phi}$ $\approx A_{\{\phi\}} G^{(1)}_{-\sigma}(1,1^{+};\{\phi\}) G^{(1)}_{\sigma}(1,2;\{\phi\})$

2. Factorization -



TPSC...

$$U_{sp} = U \frac{\langle n_{\uparrow} n_{\downarrow} \rangle}{\langle n_{\uparrow} \rangle \langle n_{\downarrow} \rangle}$$
$$\chi_{sp}^{(1)}(q) = \frac{\chi_0(q)}{1 - \frac{1}{2} U_{sp} \chi_0(q)}$$

Kanamori-Brückner screening

3. The F.D. theorem and Pauli principle

$$\left\langle \left(n_{\uparrow} - n_{\downarrow}\right)^{2} \right\rangle = \left\langle n_{\uparrow} \right\rangle + \left\langle n_{\downarrow} \right\rangle - 2 \left\langle n_{\uparrow} n_{\downarrow} \right\rangle$$
$$\frac{T}{N} \sum_{q} \chi_{sp}^{(1)}(q) = n - 2 \left\langle n_{\uparrow} n_{\downarrow} \right\rangle$$
II: Improved self-energy

Insert the first step results into exact equation: $\Sigma_{\sigma}(1,\overline{1};\{\phi\}) G_{\sigma}(\overline{1},2;\{\phi\}) = -U \langle c^{\dagger}_{-\sigma}(1^{+}) c_{-\sigma}(1) c_{\sigma}(1) c^{\dagger}_{\sigma}(2) \rangle_{\phi}$

$$\Sigma_{\sigma}^{(2)}(k) = U n_{\bar{\sigma}} + \frac{U}{8} \frac{T}{N} \sum_{q} \left[3U_{sp} \chi_{sp}^{(1)}(q) + U_{ch} \chi_{ch}^{(1)}(q) \right] G_{\sigma}^{(1)}(k+q)$$



A better approximation for single-particle properties (Ruckenstein)

Y.M. Vilk and A.-M.S. Tremblay, J. Phys. Chem. Solids 56, 1769 (1995).
 Y.M. Vilk and A.-M.S. Tremblay, Europhys. Lett. 33, 159 (1996);
 N.B.: No Migdal theorem⁵³



Benchmarks for TPSC



Benchmark for TPSC : Quantum Monte Carlo

- Advantages of QMC
 - Sizes much larger than exact diagonalizations
 - As accurate as needed
- Disadvantages of QMC
 - Cannot go to very low temperature in certain doping ranges, yet low enough in certain cases to discard existing theories.



Proofs...





Calc. + QMC: Moukouri et al. P.R. B 61, 7887 (2000).





Methodology

Strong-coupling approaches



Cluster perturbation theory





- ▶ Tile the lattice into identical clusters
- ▶ Solve exactly (numerically) within a cluster
- ▶ Treat inter-cluster hopping in perturbation theory



W. Metzner, PRB **43**, 8549 (1991). Pairault, Sénéchal, AMST, PRL 80, 5389 (1998).

Vary cluster shape and size

D. Sénéchal *et al.*, PRL. **84**, 522 (2000); PRB **66**, 075129 (2002). Gross, Valenti, PRB 48, 418 (1993).



David Sénéchal



Different clusters





David Sénéchal



L = 10

L = 6

Ο

Ο

Ο

Q

 \bigcirc

Ο

UNIVERSITÉ DE SHERBROOKE

inter-cluster hopping
intra-cluster hopping

$$t_{ab}^{mn} = t_{ab}^{(c)} \delta_{mn} + V_{ab}^{mn}$$

site index
 $\hat{G}_0^{-1} = \omega - \hat{t} = \omega - \hat{t}^{(c)} - \hat{V}$
noninteracting Green function
Basic CPT approximation :
 $\hat{G}_0^{-1}(\mathbf{K}, \omega) = \hat{G}^{(c)-1}(\omega) - \hat{V}(\mathbf{K})$
CPT Green function

Final Fourier transform :

$$G_{\rm CPT}(\mathbf{k},\omega) = \frac{1}{L} \sum_{a,b=1}^{L} G_{ab}(\mathbf{k},\omega) e^{-i\mathbf{k}\cdot(\mathbf{r}_a - \mathbf{r}_b)}$$

Spectral function :

$$A(\mathbf{k},\omega) = -2\lim_{\eta \to 0^+} \operatorname{Im} G(\mathbf{k},\omega+i\eta)$$



Self-energy functional approach and special cases



Dynamical "variational" principle

$$\Omega_{\mathbf{t}}[G] = \Phi[G] - Tr[(G_{0\mathbf{t}}^{-1} - G^{-1})G] + Tr\ln(-G)$$



Luttinger and Ward 1960, Baym and Kadanoff (1961)



Another way to look at this (Potthoff)

$$\Omega_{\mathbf{t}}[G] = \Phi[G] - Tr[(G_{0\mathbf{t}}^{-1} - G^{-1})G] + Tr\ln(-G)$$

$$\Omega_{\mathbf{t}}[\Sigma] = \Phi[G] - Tr[\Sigma G] - Tr\ln(-G_{0\mathbf{t}}^{-1} + \Sigma)$$

$$\frac{\delta \Phi[G]}{\delta G} = \Sigma$$
Still stationary (chain rule)
$$\Omega_{\mathbf{t}}[\Sigma] = F[\Sigma] - Tr\ln(-G_{0\mathbf{t}}^{-1} + \Sigma)$$
⁶⁶
M. Potthoff, Eur. Phys. J. B 32, 429 (2003).

SFT : Self-energy Functional Theory

With $F[\Sigma]$ Legendre transform of Luttinger-Ward funct.

$$\Omega_{\mathbf{t}}[\Sigma] = F[\Sigma] + \operatorname{Tr}\ln(-(G_0^{-1} - \Sigma)^{-1})$$

is stationary with respect to Σ and equal to grand potential there. For given interaction, $F[\Sigma]$ is a universal functional of Σ , no explicit dependence on $H_0(\mathbf{t})$. Hence, use solvable cluster $H_0(\mathbf{t'})$ to find $F[\Sigma]$.

$$\Omega_{\mathbf{t}}[\Sigma] = \Omega_{\mathbf{t}'}[\Sigma] - \mathrm{Tr}\ln(-(G_0^{\prime - 1} - \Sigma)^{-1}) + \mathrm{Tr}\ln(-(G_0^{-1} - \Sigma)^{-1}).$$

Vary with respect to parameters of the cluster (including Weiss fields)

Variation of the self-energy, through parameters in $H_0(\mathbf{t'})$



Variational cluster perturbation theory and DMFT as special cases of SFT





Cellular dynamical mean-field theory and Dynamical cluster approximation



Benchmarks for quantum cluster approaches



Quality of approximation made by CDMFT



1D Hubbard model: Worst case scenario



Excellent agreement with exact results in both metallic and insulating limits Capone, Civelli, SSK, Kotliar, Castellani PRB (2004) 71 Bolech, SSK, Kotliar PRB (2003)





G. Biroli and G. Kotliar, Phys. Rev. B 65, 155112 (2002) Aryanpour et al. cond-mat/0301460 Maier et al. cond-mat/0205460

Quantitative aspects of the dynamical impurity approach, Pozgajcic cond-mat/0407172



Results and concordance between different methods



Comparison, TPSC-CDMFT, n=1, U=4t








Hole-doped (17%)



Hole-doped (17%)



 $\eta = 0.12t$ $\eta = 0.4t$

Sénéchal, AMT, PRL 92, 126401 (2004).



Electron-doped (17%)



Electron-doped (17%)



t' = -0.3tt'' = 0.2t

 $\eta = 0.12t$ $\eta = 0.4t$

Sénéchal, AMT, PRL in press



TPSC : Fermi surface plots

Hubbard repulsion U has to...







Qualitatively new result: effect of critical fluctuations on particles (RC regime)

$$\hbar\omega_{sf} << k_B T$$

$$\Sigma(\mathbf{k}_{F}, ik_{n}) \propto T \int d^{d}q \frac{1}{q_{\perp}^{2} + q_{\parallel}^{2} + \xi^{-2}} \frac{1}{ik_{n} + \varepsilon_{-\mathbf{k}+\mathbf{q}}}$$

Im $\Sigma^{R}(\mathbf{k}_{F}, 0) \propto -\frac{T}{v_{F}} \xi^{3-d}$
in 2D: $\xi > \xi_{th}$ $(\xi_{th} \equiv \hbar v_{F} / \pi \ k_{B}T)$
 $\Delta \varepsilon \approx \nabla \varepsilon_{k} \cdot \Delta k \approx v_{F} \hbar \Delta k = k_{B}T$
Im $\Sigma^{R}(\mathbf{k}_{F}, 0) \propto -U\xi / (\xi_{h}\xi_{0}^{2}) > 1$

in 3D: marginal case

in 4D: quasiparticle survives up to T_c

Y.M. Vilk and A.-M.S. Tremblay, J. Phys. Chem. Solids **56**, 1769 (1995). Y.M. Vilk and A.-M.S. Tremblay, Europhys. Lett. **33**, 159 (1996);



Analytically : effect of critical fluctuations on particles (RC regime) $\hbar \omega_{sf} \ll k_B T$

Imaginary part: compare Fermi liquid, $\lim_{T\to 0} \Sigma_R''(\mathbf{k}_F, 0) = 0$ $\Sigma_R''(\mathbf{k}_F, 0) \propto \frac{T}{v_F} \int d^{d-1}q_{\perp} \frac{1}{q_{\perp}^2 + \xi^{-2}} \propto \frac{T}{v_f} \xi^{3-d} \propto \frac{\xi}{\xi_{th}}$ $\lim \Sigma^R(\mathbf{k}_F, 0) \propto -U\xi/(\xi_h \xi_0^2) > 1$

Why leads to pseudogap
$$A(\mathbf{k}, \omega) = \frac{-2\Sigma_R''}{(\omega - \varepsilon_{\mathbf{k}} - \Sigma_R')^2 + \Sigma_R''^2}$$

Y.M. Vilk and A.-M.S. Tremblay, J. Phys. Chem. Solids **56**, 1769 (1995). Y.M. Vilk and A.-M.S. Tremblay, Europhys. Lett. **33**, 159 (1996);



Comparisons with experiment



ARPES spectrum, an overview



Strong coupling pseudogap (U > 8t) t' = -0.3t, t'' = 0.2t

- Different from Mott gap that is local (all k) not tied to ω=0.
- Pseudogap tied to ω=0 and only in regions nearly connected by (π,π). (e and h),
- Pseudogap is independent of Hole-doped, 10% cluster shape (and size) in CPT.
- Not caused by AFM LRO
 - No LRO, few lattice spacings.
 - Not very sensitive to t'
 - Scales like *t*.

Sénéchal, AMT, PRL 92, 126401 (2004).



F. Ronning et al. Jan. 2002, Ca_{2-x}Na_xCuO₂Cl₂





Weak-coupling pseudogap

- In CPT
 - is mostly a depression in weight
 - depends on system size and shape.
 - located precisely at intersection with AFM Brillouin zone
- Coupling weaker
 because better screened
 U(n) ~ dμ/dn

Sénéchal, AMT, PRL 92, 126401 (2004).





The pseudogap in electron-doped cuprates

- Near optimal doping $U \sim 6t$
 - Optical gap 1.3eV vs 2eV
 - Weight near the diagonal
 - CPT, Slave-bosons, *t-J*
 - dT_c/dp negative.
 - Simple screening









$$H = -\sum_{\langle ij \rangle \sigma} t_{i,j} \left(c_{i\sigma}^{\dagger} c_{j\sigma} + c_{j\sigma}^{\dagger} c_{i\sigma} \right) + U \sum_{i} n_{i\uparrow} n_{i\downarrow}$$





Weak coupling U < 8t

n=1+x-electron filling



Fermi surface, electron-doped case



15% doping: EDCs along the Fermi surface TPSC



Hot spots from AFM quasi-static scattering



AFM correlation length (neutron)



Hankevych, Kyung, A.-M.S.T., PRL, sept. 2004 Expt: P. K. Mang et al., cond-mat/0307093, Matsuda (1992).



Pseudogap temperature and QCP



 $> \Delta_{PG} \approx 10 k_B T^*$ comparable with optical measurements

Hankevych, Kyung, A.-M.S.T., PRL 2004 9Expt: Y. Onose et al., PRL (2001).



Observation



Matsui et al. PRL 94, 047005 (2005)



Reduced, x=0.13AFM 110 K, SC 20 K

does not change with temperature. On further increasing the temperature, the pseudogap is totally filled in in the spectrum at 250 K, suggesting that the short-range AF correlation disappears at around this temperature. The



Precursor of SDW state (dynamic symmetry breaking)

- Y.M. Vilk and A.-M.S. Tremblay, J. Phys. Chem. Solids **56**, 1769-1771 (1995).
- Y. M. Vilk, Phys. Rev. B 55, 3870 (1997).
- J. Schmalian, et al. Phys. Rev. B 60, 667 (1999).
- B.Kyung et al., PRB 68, 174502 (2003).
- Hankevych, Kyung, A.-M.S.T., PRL, sept 2004
- R. S. Markiewicz, cond-mat/0308469.



The phase diagram for high-temperature superconductors



Competition between antiferromagnetism and superconductivity



Competition AFM-dSC – using SFT



Preliminary

t' = -0.3 t, t'' = 0.2 tU = 8t



n, electron density Damascelli, Shen, Hussain, RMP 75, 473 (20) \boxtimes



Anomalous superconductivity near the Mott transition





Effect of proximity to Mott

Sarma Kancharla



FIG. 1: SC order parameter ψ as a function of filling n and onsite Coulomb repulsion U, t' = 0.



Gap vs order parameter



FIG. 2: The dSC gap as a function of filling, U=8t, t' = -0.3t.



One-band Hubbard model for organics

104

H. Kino + H. Fukuyama, J. Phys. Soc. Jpn **65** 2158 (1996), R.H. McKenzie, Comments Condens Mat Phys. **18**, 309 (1998)



Y. Shimizu, et al. Phys. Rev. Lett. **91**, 107001(2003)



Perspective





Experimental phase diagram for Cl





F. Kagawa, K. Miyagawa, + K. Kanoda PRB **69** (2004) +Nature **436** (2005)

Diagramme de phase (X=Cu[N(CN)₂]Cl) S. Lefebvre et al. PRL **85**, 5420 (2000), P. Limelette, et al. PRL 91 (2003)





Mott transition (C-DMFT)

9



FIG. 3. Double occupancy as a function of U/D. The curves correspond (from bottom to top) to T/D = 1/20, 1/30, 1/40, 1/44.



Kyung, A.-M.S.T. (2006)

Parcollet, Biroli, Kotliar, PRL 92 (2004)

See also, Sénéchal, Sahebsara, cond-mat/0604057



Mott transition (C-DMFT)



Kyung, A.-M.S.T. (2006)

See also, Sénéchal, Sahebsara, cond-mat/0604057



Normal phase theoretical results for BEDT-X



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Experimental phase diagram for Cl





F. Kagawa, K. Miyagawa, + K. Kanoda PRB **69** (2004) +Nature **436** (2005)

Diagramme de phase (X=Cu[N(CN)₂]Cl) S. Lefebvre et al. PRL **85**, 5420 (20 ρ), P. Limelette, et al. PRL 91 (2003)




Theoretical phase diagram BEDT



AFM and dSC order parameters for various t'/t

•Discontinuous jump •Correlation between maximum order parameter and Tc

Cu(NCS)₂

0.84

10.4

X

ť/t

T_c

Cu[N(CN)₂]Br

0.68

11.6

AF multiplied by 0.1



112 Kyung, A.-M.S.T. cond-mat/0604377







Kyung, A.-M.S.T. cond-mat/0604377 Sénéchal, Sahebsara, cond-mat/0604057



Prediction of a new type of pressure behavior

Sénéchal, Sahebsara, cond-mat/0604057



Kyung, A.-M.S.T. cond-mat/0604377



Références on layered organics

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- H. Morita et al., J. Phys. Soc. Jpn. 71, 2109 (2002).
- J. Liu et al., Phys. Rev. Lett. 94, 127003 (2005).
- S.S. Lee et al., Phys. Rev. Lett. 95, 036403 (2005).
- B. Powell et al., Phys. Rev. Lett. 94, 047004 (2005).
- J.Y. Gan et al., Phys. Rev. Lett. 94, 067005 (2005).
- T. Watanabe et al., cond-mat/0602098



Summary - Conclusion

- Ground state of CuO₂ planes (h-, e-doped)
 - V-CPT, (C-DMFT) give overall ground state phase diagram with *U* at intermediate coupling.
 - Effect of t'.
- Non-BCS feature
 - Order parameter decreases towards n = 1 but gap increases.
 - Max dSC scales like J.
 - Emerges from a pseudogaped normal state (Z) (scales like *t*).



Sénéchal, Lavertu, Marois,
A.-M.S.T., PRL, 2005
Kancharla, Civelli, Capone, Kyung,
¹¹⁶Sénéchal, Kotliar,
A-M.S.T. cond-mat/05082^[S] SHERBROOKE

Conclusion

- Normal state (pseudogap in ARPES)
 - Strong and weak coupling mechanism for pseudogap.
 - CPT, TPSC, slave bosons suggests $U \sim 6t$ near optimal doping for e-doped with slight variations of U with doping.







Conclusion

- The Physics of High-temperature superconductors is in the Hubbard model (with a very high probability).
- We are beginning to know how to squeeze it out of the model!
- Insight from other compounds
- Numerical solutions ... DCA (Jarrell, Maier) Variational QMC (Paramekanti, Randeria, Trivedi).

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- Role of mean-field theories : Physics
- Lot more work to do.





Conclusion, open problems

- Methodology:
 - Response functions
 - $-T_{c}$
 - Variational principle
 - First principles
- Questions:

. . .

- Why not 3d?
- Best « mean-field » approach.
- Manifestations of mechanism
- Frustration vs nesting





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André-Marie Tremblay

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Le regroupement québécois sur les matériaux de pointe



CIAR The Canadian Institute for Advanced Research

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Recent review articles

- A.-M.S. Tremblay, B. Kyung et D. Sénéchal, Fizika Nizkikh Temperatur, 32,561 (2006).
- T. Maier, M. Jarrell, T. Pruschke, and M. H. Hettler, Rev. Mod. Phys. **77**, 1027 (2005)
- G. Kotliar, S. Y. Savrasov, K. Haule, V. S. Oudovenko, O. Parcollet, and C.A. Marianetti, cond-mat/0511085 v1 3 Nov 2005





